Baby's First Years: Summary, Pre-registered Hypotheses, Analysis Strategies and Paper Plans

June 17, 2021

Project Summary

One thousand infants born to mothers with incomes falling below the federal poverty threshold in four metropolitan areas in the United States are being assigned at random within metropolitan area to one of two cash gift conditions. The sites are: New York City, the greater New Orleans metropolitan area, the greater Omaha metropolitan area, and the Twin Cities. IRB and recruiting issues led to a distribution of the 1,000 mothers across sites of 121 in one site (the Twin Cities), 295 in two of the other sites (New Orleans and Omaha) and 289 in New York. The high cash gift treatment group mothers (40% of all mothers) will receive unconditioned cash payments of \$333 per month (\$4,000 per year) via debit care for 52 months. Mothers in the low cash gift comparator group (60% of all mothers) receive a nominal payment – \$20 per month, delivered in the same way and also for 52 months. The 40/60 randomization assignment is stratified by site, but not by hospitals, within each of the four sites.

Mothers are being recruited in maternity wards of the 12 participating hospitals shortly after giving birth and, after consenting, are administered a 30-minute baseline interview. They then are asked to consent to the cash gifts. The three follow-up waves of data collection conducted at child ages 1, 2 and 3 will provide information about family functioning as well as developmentally appropriate measures of children's cognitive and behavioral development. An additional feature of our ages 1-3 data collection plans is that we will attempt to randomly assign a designated interview date within a one-month interval centered on the child's birthday. This provides variation in the timing of outcome data with respect to participants' receipt of the cash gift that will enable us to learn more about the incremental value of a stable predictable monthly infusion of cash.

We will collect information about the mother and child in the home when the child is 12 and 24 months of age (with information collected via phone during the COVID-19 restrictions on in-person data collection). We had planned to assess and interview mothers and children in research laboratories at each site at age 3. Due to COVID-19, the age 3 data collection is being conducted via phone and in-person interviews and laboratory-based assessments will be conducted at child ages 45-48 months. Conditional on participants' consent and our success in securing agreements with state and county agencies, we will also collect state and local administrative data regarding parental employment, utilization of public benefits such as Medicaid and Supplemental Nutrition Assistance Programs (SNAP), and any involvement in child protective services. We also have plans to randomly sample 80 of the participating families in two of the sites (the Twin Cities and New Orleans) to participate in an in-depth qualitative study, but do not elaborate on those plans in this document.

The compensation difference between families in the high and low cash gift groups will

boost family incomes by \$3,760 per year, an amount shown in the economics and developmental psychology literatures to be associated with socially significant and policy relevant improvements in children's school achievement. (We have worked with state and local officials to ensure to the extent feasible that our cash gifts are not considered countable income for the purposes of determining benefit levels from social assistance programs.) After accounting for likely attrition, our total sample size of 800 at age 3 years, divided 40/60 between high and low payment groups, provides sufficient statistical power to detect meaningful differences in cognitive, emotional and brain functioning, and key dimensions of family context (see below).

Cognitive and emotional development measures will be gathered at 12, 24, 36, and 45-48 months of age. At the age 45-48 months lab visit we will administer validated, reliable and developmentally sensitive measures of language, memory, executive functioning and socioemotional skills. We will also collect direct measures of young children's brain development at ages 1 and 45-48 months. Measures and preregistered hypotheses about them as well as family based measures are shown in the two tables at the end of this document.

The family process measures that we will gather are based on two theories of change surrounding the income supplements: that increased investment and reduced stress will facilitate children's healthy development. We will obtain data measuring both of these pathways annually. Investment pathway: Additional resources enable parents to buy goods and services for their families and children that support cognitive development. These include higher quality housing, nutrition and non-parental child care; more cognitively stimulating home environments and learning opportunities outside of the home; and, by reducing or restructuring work hours, more parental time spent with children. Stress pathway: A second pathway is that additional economic resources may reduce parents' own stress and improve their mental health. This may allow parents to devote more positive attention to their children, thus providing a more predictable family life, less conflicted relationships, and warmer and more responsive interactions.

Analysis Plan

Pre-registered Hypotheses. We preregistered hypotheses with clinicaltrials.gov within a month after recruitment began (May, 2018) and in September, 2018, preregistered hypotheses with the <u>Registry of Effectiveness Studies</u> and the <u>AEA RCT Registry</u>. Appendix Tables 1 and 2 detail our original hypothesized impacts and which groups of measures will be subject to multiple testing adjustments. Appendix Tables 3 and 4 incorporate minor changes to the tables that were originally posted in our pre-registrations. These changes are mostly made to data collection at age 2, with a few changes to age 3 data collection. There were no changes to age 1. Appendix Tables 5 and 6 incorporate minor changes to reflect the COVID-19 disruptions that impacted data collection at age 2, and altered data collection plans at age 3 and ages 45-48 months.

Hypothesis Testing and Power Analysis. Our key aims are to evaluate the impacts of income supplementation on: validated, reliable, and developmentally-sensitive measures of cognitive, language, memory, self-regulation, and socio-emotional functioning at child ages 1 (a

small subset), 2 and 3 (a larger subset), and ages 45-48 months (almost all) – this is Aim 1 in our NICHD application; developmentally-sensitive electroencephalographic-based measures of brain functioning at child ages 1 and 45-48 months (Aim 2); and family expenditures, food insecurity, housing and neighborhood quality, parent stress and parenting practices, and child care arrangements gathered at child ages 1, 2, 3, and 45-48 months (Aim 3).

All of our pre-registered hypotheses focus on full-sample impacts, although we will also estimate in exploratory analyses moderation of impacts by gender, race/ethnicity (African American, Latino, White), family structure at birth and depth of poverty at birth (income to needs \leq .5 or not). Before conducting these main analyses, all measures will be examined for psychometric equivalence across race/ethnicity and whether Spanish or English is a primary language spoken at home and we will compare high and low cash gift groups within site on all baseline characteristics to confirm successful implementation of random assignment.

Our basic empirical approach will use the survey and neuroscience data to compare the pooled cross-city \$333/month and \$20/month groups on a wide range of family process and child outcome measures. Because of random assignment, the low cash gift group average enables us to identify the average outcomes corresponding to the counterfactual state that would have occurred for individuals in the high cash gift group if they had not been offered the additional \$313/month income supplement. Therefore differences in outcomes for the high compared with the low group (after random assignment) can be interpreted as estimates of causal treatment effects of the \$313/month higher income (regardless of whether treatment-group participants actually expend all of the funds.) These are commonly known as intent-to-treat effects.

Estimation strategy. We illustrate our approach to estimation in a simple regression framework. The "Intent-To-Treat effect" (ITT) is captured by the estimate of the coefficient π_1 in a regression of some child or family process outcome (Y) on a dichotomous indicator for assignment (Z) to the high payment group as in (1).

(1) $Y = Z\pi_1 + X\beta_1 + \varepsilon_1$

Consistent with experiences from a 30-family pilot study we conducted in 2014, we anticipate extremely low rates of "non-compliance" with the offer of cash gifts paid via the debit cards.

We will adjust standard errors using robust variance estimation techniques (Cameron et al. 2008). We will estimate (1) without and then with baseline demographic child and family characteristics (X) to improve the precision of our estimates by accounting for residual variation. These baseline measures, all gathered prior to random assignment, will first be checked for adequate variation and sufficient independence from other baseline measures. They include: dummy variables for three of the four sites; mother's age, completed schooling, household income, net worth, general health, mental health, race and Hispanic ethnicity, marital status, number of adult in the mother's household, number of other children born to the mother, whether

the mother smoked or drank alcohol during pregnancy and whether the father is currently living with the mother; and child's sex, birth weight, gestational age at birth and birth order.

We will apply our regression estimation strategy to the assessment-based measures of cognitive, language, memory, self-regulation, and socio-emotional functioning at child ages 2 and 3, and the EEG measures of brain activity at ages 1 and 45-48 months and ERP measures of brain activity at ages 45-48 months (see Appendix Tables 5 and 6). To investigate family process impacts, we will apply our estimation strategy to measures of stress physiology, family expenditures, food insecurity, housing and neighborhood quality, mothers' executive function, parent stress and parenting practices, and child care arrangements gathered at child ages 1, 2, 3, and 45-48 months as shown in Appendix Tables 5 and 6 and described in the section on paper plans.

Attrition. The greatest threat to internal validity is potential bias from sample attrition overall, within site, and differential attrition rates by treatment status overall and within site. We will carefully track response rates by site, by treatment status across sites, and then treatment status within site. Any early signs of differential attrition will be expediently addressed through small, strategic adjustments in survey follow-up efforts, including use of financial incentives, or more tailored strategies such as using on-the-ground reconnaissance techniques to locate individuals. Based on the successes in our pilot study, our investigators' prior experience with the Survey Research Center, and because of the continued contact with all participants the debit card ensures, we anticipate high response rates in later data collection (80+% at 36 months) with little to no differential attrition.

If necessary, we will consider a two-stage sampling procedure at the final stages of our data collection efforts during each wave in order to minimize attrition-related biases. The procedure calls for randomly subsampling from the remaining difficult-to-reach nonrespondents and concentrating resources and efforts to locate them. Analysis weights will be developed to adjust for the possible two-stage survey response sampling. This weighting approach has been successfully implemented in comparable studies. In addition to case-based nonresponse we also anticipate the usual (i.e., infrequent but not nonexistent) item-based nonresponse owing to refusals, interview breakoffs, etc.

We will also conduct sensitivity checks to evaluate whether missing data might be biasing estimates. Most sample attrition that is systematically related to our outcomes of interest (Y) would presumably also be related to the distribution of baseline characteristics (X), and so bias due to sample attrition would be evident if our estimates are sensitive to conditioning on baseline characteristics. Some attrition may be due to time-varying (or unobserved) characteristics and we can approach this problem in two ways. First, we will examine the sensitivity of our results to worst-case bounds, which enable us to bracket the true effects of our treatment without imposing any assumptions about the unobserved outcomes of participants (Manski, 1989; Manski, 1990; Manski, 1995). A second approach to addressing the problem of missing data will be to use multiple imputation strategies with all available data, (including all survey and administrative data on outcomes and predictor variables). Multiple imputation is an appropriate method if, conditional on all observed information, data are missing at random. Finally, because we expect relatively high rates (~80%) of baseline consent to collect administrative data, we will be able to compare survey respondents and survey non-respondents on formal earnings and receipt of income from social programs.

Interpretation of parameters. The coefficients obtained in our regression models will be used to quantify the causal effects of the \$313/month difference in income supplementation on age-1 and 45-48 month child brain circuitry, cognitive development and socioemotional functioning. We will use the same methods to generate causal impact estimates for the family processes in each of the conceptual pathways. Examining the possible explanatory mechanisms in this way uses a series of separate regression equations to estimate program effects on possible treatment mediators, rather than estimating a structural-equation mediation model, and has been effectively used to infer possible mediation in comparable studies. This approach is preferred because it preserves the experimental variation in income generated by random assignment. The underlying insight is that randomization occurred with respect to receipt of the cash gifts and not on the basis of the proposed pathway mediators. With the potential for multiple mediators, a causal interpretation cannot be given to mediational models without very strong, often implausible, assumptions that there are no unobserved confounds of the association between the mediator and outcome. Still, the pattern of impacts can yield important insight as to which processes are likely to be present and absent and set the stage for future analyses.

Statistical power. The compensation difference between families in the high and low cash gift groups amounts to \$313 per month and \$16,276 over the course of the 52 months. This amount is in the range of income increases associated with child impacts of around .20 sd in studies of welfare experiments and the EITC (Duncan, Morris & Rodrigues, 2011; Morris, Duncan, Clark-Kauffman, 2005; Dahl & Lochner, 2012). After accounting for likely 20% attrition, and in the absence of adjustments for sample clustering within hospitals or increased precision owing to the inclusion of baseline covariates in our impact estimates, the sample size of 800 at age 3, divided 40%/60% between high and low payment groups, provides 80% statistical power to detect a .219 sd impact at p <.05 in a two-tailed test on cognitive functioning and family processes. The use of baseline covariates in estimation models will improve this power, while the use of bootstrap standard errors will decrease it. Based on exploratory analyses of age-3 cognitive outcomes in the Fragile Families study, we expect that these two offsetting factors will have little net impact on the size of our estimated standard errors.

Multiple comparisons. One strength of our proposal is the collection of survey, neuroscience lab and administrative data on a wide range of outcomes and explanatory pathways. However, the probability of rejecting a true null hypothesis for at least one outcome is greater than the significance level used for each test. We will address the possibility of false positives while minimizing the reduction in statistical power to detect meaningful effects. Best-practice methods differ across disciplines so we will draw from multiple approaches with the goal of ensuring that results from one approach are consistent with results from others (Romano & Wolfe, 2005; Porter, 2018; Benjamini, 2010; Holm, 1979, Westfall & Young, 1993; Schochet,

2008). Where possible we have aggregated measures used to test our pre-registered hypotheses into indexes. In the case of related measures that cannot be aggregated into a single index, we will estimate the statistical significance of the entire family ("familywise error rate") using stepdown resampling methods in Westfall and Young (1993; Westfall, Tobias, Wolfinger, 2011). Pre-registered clusters of measures are identified with grey bars in appendix tables.

Data release. We will release data and documentation for our study to the research community at the end of each data collection wave once data are cleaned and coded, to enable independent researchers to pursue replication, mediation, moderation as well as other related analytic questions.

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For Appendix Tables 1, 2, 3, and 4 see <u>Statistical Analysis Plan uploaded in July 2020.</u>

Appendix Table 5: Child Focused Pre-Registered Hypotheses

			Age	Age	Measures
Domains (in gray) and sub-domains	Measure source	Psychometrics	preregistered <u>Primary</u> Outcome	preregistered <u>Secondary</u> Outcome	(All measures between grey lines will be subject to multiple testing adjustments)
Language Development Language Milestones	Squires et al., 2009	sensitivity .86 specifity .85	1	1	Measured using ASQ- Communication Subscale
Language Processing	Golinkoff et al., 2017	sublining to specify to	45-48 months		Measured using Link Tetractive Language Screener (QUILS)- Language Processing Subscale
Vocabulary*	Fenson, 2002	internal consistency .85		2	Measured by short-form versions of the MacArthur Communicative Development Inventories
	Martin & Brownell, 2011 Martin & Brownell, 2010			45-48 months	Measured by Receptive One Word Picture Vocabulary Test (ROWPVT) and Expressive One Word Picture Vocabulary Test (EOWPVT)
Maternal concern for language delay	Glascoe, 1997		3		Measured by the sum of the two questions included in the PEDS on expressive language and articulation and receptive language: 1. Do you have any concerns about how your child talks and makes speech sounds? (0: No; 1: Yes or a little) 2. Do you have any concerns about how your child understands what you say? (0: No; 1: Yes or a little)
Executive Function & Self-Regulation	Contribution of the control of the c	internal consistences of	45 40	1	Marcondle de Durchard C. (CD as Jatim Assessment - DCD A
Self-Regulation	Smith-Donald et al., 2007	internal consistency of assessor report (not full assessment) .8293	45-48 months		Measured by the Preschool Self-Regulation Assessment - PSRA (examiner report at end of lab)
Executive Function	Carlson, 2017	MEFS: validity .92 test- retest .93	45-48 months		Measured by the Minnesota Executive Function Scale
Socio-Emotional Processing		<u> </u>			
Social-Emotional Problems	Briggs-Gowan et al., 2004	internal consistency .6579 test-retest reliability .87		1, 2	Measured by the Brief Infant–Toddler Social and Emotional Assessment (BITSEA)
Behavior/Emotional Problems	Achenbach et al., 2000	parent report reliability .80	3		Measured by a shortened version of the Child Behavior Checklist measuring the following areas: emotionally reactive, anxious/depressed, attention problems, and aggressive behavior
Social-Emotional Behavior^	Roggman et al., 2013; Griffen & Friedman, 2007; Belsky, 2007			1, 45-48 months	Measured using NICHD SECCYD parent-child-interaction task coding scheme, with child codes Positive Mood, Negative Mood, Activity Level, Sustained Attention, Positive Engagement at age 1 and agency, negativity, persistence, affection at ages 45-48 months
Maternal concern for behavioral and social- emotional problems	Glascoe, 1997		3		Measured by the sum of the two questions included in the PEDS on behavior and social-emotional: 1. Do you have any concerns about how your child behaves? (0: No; 1: Yes or a little) 2. Do you have any concerns about how your child gets along with others? (0: No; 1: Yes or a little)
IQ IQ*	Wechsler, 2012	internal consistency .95 test-retest reliability .8692	45-48 months		Measured by the Wechsler Nonverbal Scale of Ability
Brain Function	1	test-tetest tettability .8092	1		Measured by the weenster Nonverbal Scale of Ability
Resting Brain Function	Tomalski et al., 2013; Otero et al., 2013; Marshall et al., 2004	n/a	45-48 months	1	Measured by electroencephalogram
Auditory Discrimination Brain Function*	Choeur et al., 2000; Garcia- Sierra et al., 2011; Kuhl et al., 2005	n/a		45-48 months	Measured by mismatch negativity (MMN) ERP
Health: BMI					
Body Mass Index (BMI)	Kuczmarski, 2000	n/a	45-48 months		Measured by CDC scales
Health: Physiological Stress Physiological Stress	Ursache et al., 2017; Meyer et al., 2014; Davenport et al., 2006	n/a		45-48 months	Measured by hair cortisol
Health: Sleep	X		2	1.0	Marcall, DDOMR CL, D'AL, CL, D, A
Sleep problems	Yu et al., 2012	reliability .9	3	1, 2	Measured by PROMIS Sleep Disturbance-Short Form adapted from ECHO; Additive index of the following items: 1. trouble falling asleep (0: never, 1: almost never, 2: sometimes; 3: almost always) 2. sleeping through night (reverse coded) 3. problem with sleep
Health: Other Indicators Overall Health, Medical Care, Diagnosis of	Child's overall health item	n/a	3	1, 2	Additive index of the following items*:
Child Epigenetic Age	Halim et al., 2013			., 2	 Additive index of the biologing fields 3. I. Child's overall health? (4: excellent, 3: very good, 2: good, 1: fair, or 0: poor) 2. About how many times in the last year did you take child to a doctor because [he/she] was sick? 0-1 times, 2-5 times, 6+ 3. About how many times in the last year did you take child to a doctor because [he/she] was hurt or injured? 4. Did you ever have to take child to the Emergency Room because [he/she] was sick, hurt or injured? (Y/N) 5. How many times ER? 6. Has child been diagnosed with any health condition or disability since birth? (Y/N) *factor analysis of items will be conducted to scale the index

Appendix Table 5: Child Focused **Pre-Registered Hypotheses**

Domains (in gray) and sub-domains	Measure source	Psychometrics	Age preregistered <u>Primary</u> Outcome	Age preregistered <u>Secondary</u> Outcome	Measures (All measures between grey lines will be subject to multiple testing adjustments)
Epigenetic age	Fiorito et al., 2017	n/a		45-48 months	Measured by the Horvath Method
Child DNA Methylation					
DNA methylation	Hughes, et al., 2018; Cao-Lei et al., 2014	n/a		45-48 months	Analyzed using genomic-wide differences
Child Nutrition					
Consumption of healthy foods	Los Angeles County WIC Survey, 2017			2	Additive index of the number of times per day consumed the following items*: 1. eat fruits 2. eat vegetables
Consumption of unhealthy foods	Los Angeles County WIC Survey, 2017				Additive index of the number of times per day consumed the following items*: 1. juice, soda, chocolate milk or other sweet drinks 2. eat sweets
Any Maternal Concern for Developmental Del	ay	_			
Parents' Evaluation of Developmental Status (PEDS)	Glascoe, 1997				Measured by the total score across categories of components of the PEDS, which includes 10 survey items.
Total "predictive concerns" in the PEDS	Glascoe, 1997			3	Measured by the total number of maternal-reported concerns that are "predictive of developmental delay" in the PEDS
School Achievement & Behavior	•				
School test scores for target children and siblings	Administrative data	n/a	School age (target child)	School age (siblings)	
Student behavioral data for target children and siblings	Administrative data	n/a		School age (target child and siblings)	

Notes. The previous version of this table refered to "waves" of data collection. For clarity, we have replaced "wave" with "age", with both referring to the age of the baby at planned data collection. Minor, non-substantive changes may be made to the wording of specific items across data collection years.

Due to COVID-19, the age 3 data collection wave is in the form of a phone survey. Thus, sub-domains that were supposed to be measured in-person at ages 2 or age 3 are being postponed to ages 45-48 months. These domains include: epigenetic Age, DNA methylation, BMI, physiological stress, language processing, self-regulation, executive function, social-emotional behavior, IQ; resting brain function, auditory discrimination brain function. The sub-domain of child vocalizations was not measured in-person at age 2 (due to COVID-19) and is not being measured at later ages, so it is removed from the pre-registration table.

*Indicates that the sub-domain was called something different in previous versions of this table. The changes are listed below: -Previously "Communicative Development (Vocabulary)"; presently "Vocabulary". -Previously "Intelligence; presently "IQ".

-Previously "Language Related Brain Function"; presently "Auditory Discrimination Brain Function".

Domains and sub-domains that were not previously included in this table for pre-registration at age 3 and were added include: Any Maternal Concern for Developmental Delay (domain); Maternal Concern for Behavioral and Social-Emotional Problems (sub-domain); Maternal Concern for Language Delay (sub-domain); Maternal "Predictive Concern" for Language Delay (sub-domain).

Appendix Table 6: Maternal and Family Focused Pre-Registered Hypotheses

Domains (in gray) and sub-domains	Measure/Item source	Psychometrics	Age preregistered <u>Primary</u> Outcome	Age preregistered <u>Secondary</u> Outcome	Measures (All measures between grey lines will be subject to multiple testing adjustments)
Household Economic Hardship Index of economic stress	MTO; Kling, Liebman, Katz, 2007			1, 2, 3	Additive index of dichotomous variables (higher score=more stress): 1. worried about expenses? (0: occasionally or never; 1: frequently or more)
					 whether spent more than income? (0: no; 1: yes) missed rent or mortgage (0 if homeless or not missed; 1 if missed rent or mortgage) 4 Set aside rainy day funds for 1 no (0: Yes : No) Ability to cover expenses for 1 mo with loss of income (0: Yes; 1: No) 6 in past 12 mos, missed payments for water, gas, oil, electricity? (0: no or not applicable; 1: yes) 7, in past 12 mos, gas, water, electricity ever shult of? (0: no; 1: yes)
					8. Since child's birth, have you ever been evicted or forced to leave? (0: No; 1: Yes).* 9 needed medical or dental carc and did not et i?(00=o; 1=yes) * changes to "in the past 12 months" for surveys at tages 2 and 3
Household Poverty rate	US Census Bureau			1, 2, 3	Measured using the Census Bureau's poverty thresholds by size of family and number of children
Index of food insecurity	Economic Research Service, USDA, 2012			1, 2, 3	Additive index of 5 dichotomized items (higher score=more food insecurity): 1. Food didn't last, no \$ for more (0: Never true, 1: sometimes or often true) 2. Can't afford balanced meals (0: Never true, 1: sometimes or often true) 3. Cut size or skip means (0: No; 1: Yes) 4. Eat less than should (0:No; 1: Yes) 5. Hungry ⁴ (ONo; 1: Yes)
Social Services Receipt		1	1		
Number of Benefits received by mother	Study PIs			1, 2, 3	Additive index of dichotomized items (higher score=more benefits received): 1. Food stamps SNAP (0: not currently receiving; 1: currently receiving) 2. Free or reduced childcare* 3. Early Head Start or HS* 4. Women, Infancis and Children (WIC) 5. State Unemployment 6. Cash assistance/TANF* 7. Medicaid coverage for self 8. Housing assistance 10. LHHEAP / heat/AC assistance* *Indicates benefits that are not being asked about at age 3.
Mother's Labor Market and Education					
Time to labor market reentry from birth	Current Population Survey			1	Continuous outcome: # of months until mom's reentry into labor market from birth of child derived from the following items: 1. did you ver work for pay since child's birth? 2. in what months did you work for pay?
Time to full-time labor market reentry from birth	Current Population Survey			1	Continuous outcome: # of months until mom's full-time reentry into labor market from birth of child derived from the following items: 1. dd you ever work full time since child's birth? 2. in what months did you work full time?
Mother's education and training attainment	Current Population Survey			1, 2, 3	Dichotomous variable indicating that mother participated in education and/or job training activities since birth* *changes to "in the past 12 months" for surveys at ages 2 and 3
Child-Focused Expenditures		1	1		Addition in the of the following of the terms in the following the terms of the terms of the following the terms of terms o
Index of child-focused expenditures (since birth)	Lugo-Gil, Yoshikowa, 2006			1	Additive index of the following dichotomous items (higher score=more purchased): Since child's birth, purchased 1. Crib? 2. Car seat? 3. High chair? 4. Safety covers for outlets? 5. Latches for cabinets? 6. Gate? 7. Smoke detector? 8. books (yesho)?
Index of child-focused expenditures (in past 30 days)	Lugo-Gil, Yoshikowa, 2006			1, 2, 3	Continuous dollar a mount of age-relevant items*: Past 30 days, total 5 amount spent on 1. books 2. toys 3. clothes 4. dapters 5. videos for age 1; 1. books 2. toys 3. clothes 4. activities 5. videos for ages 2 and 3
Cost of paid child care	National Study of Early Care and Education			1, 2, 3	Out of pocket spending on child care last week. 1. altogether, about how much money did you spend out-of-pocket on all of [CHILDNAMEF]'s child care arrangements last week?
Use of center-based care	National Study of Early Care and Education			1	Has child spent any time in childcare or day care? (Y/N)
Housing and Neighborhoods	1			2, 3	1. Has child spent 5 or more hours in a child care or day care center last week? (Y/N)
Index of perceptions of neighborhood safety	MTO; Kling, Liebman, Katz, 2007			1, 2, 3	Additive index of two items (higher score=feels more safe). 1. how safe during day? (3. very safe, 2: safe, 1: unsafe, 0: very unsafe) 2. how safe during night? (3. very safe, 2: safe, 1: unsafe, 0. very unsafe)
Index of housing quality	MTO; Kling, Liebman, Katz, 2007			1	Additive index of 7 items (higher score=higher quality): 1. Bad walls (0: big problem; 1: small problem; 2: not problem) 2. bad plumbing 3. rodents 4. cockroaches 5. bad windows 6. bad heat 7. overall condition (3: excellent, 2: good 1: fair, 0: poor)
				2	Additive index of 8 items (higher score=higher quality): 1. Bad walls (0: big problem; 1: small problem; 2: not problem) 2. bad plumbing 3. rodents 4. cockroaches 5. bad windows 6. bad heat 7. bad air condition 8. bad locks ² 9. overall condition (3: excellent, 2: good 1: fair, 0: poor)
Homelessness	MTO; Kling, Liebman, Katz, 2007			1, 2, 3	Additive index of two dichotomized items (higher score=more homelessness): 1. Since child's birth, have youe been homeless?* (0: Yes; 1: No) 2. Since birth, have you been in a group shelter?* (0: Yes; 1: No) *changes to "in the past 12 months" for surveys at ages 2 and 3
Excessive Residential mobility	MTO; Kling, Liebman, Katz, 2007			1, 2, 3	Moved three or more times since birth of baby* (Y/N)
Neighborhood poverty	Kling, Liebman, Katz, 2007			1, 2, 3	*changes to "in the last 12 months" for surveys at ages 2 and 3 # of residents below poverty line in census tract divided by total number of residents in census tract

Appendix Table 6: Maternal and Family Focused Pre-Registered Hypotheses

Updated prior to the start of age 3 data collection

		r			
Domains (in gray) and sub-domains	Measure/Item source	Psychometrics	Primary	Age preregistered <u>Secondary</u>	Measures (All measures between grey lines will be subject to multiple testing adjustments)
Family and Maternal Perceived Stress	1	1	Outcome	Outcome	
Perceived stress	Cohen et al., 1994, 1983	alpha: .86		1, 2	Perceived Stress Scale (PSS): additive index of 9 items (0: never; 1: almost never; 2: sometimes; 3: fairly often; 4: very often) 1: upset because of something unexpected 2: felt mable to control important life things 3: felt nervous and stressed 4: confident in ability to handle personal probs (reverse coded - rc) 5: couldn't cope with all things to do 6: control of triations in life (rc) 7: "on top of things" (rc) 8: angered be of things outside control 9: could not vercome difficulties
					Perceived Stress Scale (PSS): additive index of 10 items (0: never; 1: almost never; 2: sometimes; 3: fairly often; 4: very often) 1. upset because of something unexpected 2. felt unable to control important life things 3. felt nervous and stressed 4. confident in ability to handle personal probs (reverse coded - rc) 5. couldn't cope with all things to do 6. control of irritations in life (rc) 7. "on top of things" (rc) 8. angreed be of things outside control 9. could not overcome difficulties 10. felt things were going "your way" (rc)~
Parenting stress	Items 1-4: Project GAIN Items 5-7: PSID-Child Development Supplement			1, 2	Aggravation in Parening Scale: additive index of 7 items (0: Strongly agree-5: Strongly disagree): 1. confidence in parenting abilities 2. feels goal about parenting abilities 3. thinks good parent 4. kids will say she was wonderful 5. giving up more for kids than ever expected 6. feels trapped (rc) 7. unable to do different things bc of kids (rc)
Maternal Happiness and Optimism		1	1		One-item with 3-point response scale"Taken altogether, how happy are you these adys?" (0: not happy; 1:
Global happiness	The General Social Survey from NORC			1, 2, 3	pretty happy; 2: very happy)
Maternal Agency	Snyder et al., 1991	alpha: .86 test-retest: .81		1, 2, 3	HOPE Scale: additive index of 8 items with 5-point response scale (0: definitely false; 5: definitely true) 1. think of ways to get out of a jam 2. energeic pursuit of goals 3. lot of ways around any problem 4. ways to get what's important 5. solves problems 6. past has prepared me for future 7. pretty successful in life 8. meets goals set for oneself
Maternal Physiological Stress	1	1			
Maternal hair cortisol	Ursache et al., 2017		45-48 months	1	Measured using a sample of hair that is >=15mg in weight and -3cm long; analyzed with sensitive and specific enzyme-linked immunosorbent assay; assay readout converted to pg cortisol per mg dry hair weight
Maternal Mental Resources Maternal cognitive resources	Zelazo et al., 2013	test-retest: .92		45-48 months	Flanker Inhibitory Control and Attention Test: additive score of two outcome vectors (accuracy and response time)
Maternal Mental Health Index of maternal depression	Kroenke & Spitzer, 2002			1, 2, 3	PHQ-8: additive index of 8 items (0: not at all; 1: several days; 2: more than half of days; 3: every day) 1. little interest or pleasure doing things 2. feeling down, depressed, hopeless 3. trouble sleeping or sleep too much 4. feel tired and no energy 5. poor appetite or overeating 6. feel like a failure 7. trouble concentrating 8. moving slowhy or fidgety
Index of maternal anxiety	Steer & Beck, 1997	alpha: .92 test-retest: .75		1, 3	Beck Anxiety Inventory: additive index of 21 common anxiety symptom items (0: not at all; 1: mildly; 2: moderately; 3: severely bothersome)
	Spitzer et al., 2006	alpha: .92 test-retest: .83		2, 3	GAD-7: additive index of 7 items (0: not at all; 1:several days; 2: more than half the days; 3: nealy every day)
Maternal Substance abuse [*] Alcohol and cigarette use	MTO; Kling, Liebman, Katz, 2007	1031-10103183		1, 3	Additive index of the following items (0: never in last year; 1: less than 1x per month; 2: several times per month; 3: several times per week; 4: everyday): 1. How often do you smoke eigarettes? 2. How often drink alcohol?
Opioid use	MTO; Kling, Liebman, Katz, 2007			1, 3	Number of times of opioid use in the past year (0: never in last year; 1: less than 1x per month; 2: several
Chaos in Home	I		I	I	times per month; 3: several times per week; 4: everyday):

Appendix Table 6: Maternal and Family Focused Pre-Registered Hypotheses

Domains (in gray) and sub-domains	Measure/Item source	Psychometrics	Age preregistered <u>Primary</u>	Age preregistered <u>Secondary</u>	Measures (All measures between grey lines will be subject to multiple testing adjustments)
			Outcome	Outcome	
Index of chaos in the home	Evans et al., 2005	alpha: .77 test-retest: .93		1, 2	Home Environment Chaos Scale: additive index of 20 items (higher score=more chaos): (0: not true; 1: true) 1. can find things (reverse coded - rc) 2. little commotion in home (rc) 3. always tushed 4. can 'stay on top of things" (rc) 5. always late 6. "zoo' in home 7. can talk wo interruption (rc) 8. always a faus 9. family plans don't work out 10. can't hear oneself think at home 11. draw inito others' arguments 12. can relax at home (rc) 13. phone takes up a lot of time 14. atmosphere is calm at home (rc) 15. regular moring routine (rc) 16. eatt together during duly (rc) 17. evening routine (rch 18. regular late afternoon routine with child (rc) 19. child goes to bed at regular time (rc) 19. child goes to bed at regular time (rc) 19. child goes to bed at regular time (rc)
Maternal Relationships [×] Physical Abuse	Fragile Families and Child Wellbeing			1,2	1. Ever abused? (1: yes; 0: no)
Frequency of Arguing	Study Fragile Families and Child Wellbeing			1,2	I. How often argue about things that are important to you? (1: never; 2: almost never; 2: sometimes; 3: fairly often drawn often in the second
Relationship quality	Study Fragile Families and Child Wellbeing Study			1	often; 4: very often) Additive index of the following items (higher score=higher qual rel) 1. Partner fair and willing to compromise? (3: Often; 2: sometimes; 1: never) 2. partner expressed affection or love? (3: Often; 2: sometimes; 1: never) 3. partner insulted or vriticized you or your ideas (0: Often; 1: sometimes; 2: never) 4. partner made you feel down or bad about yourself during an argument? (0: Often; 1: sometimes; 2: never) 5. partner insulted or vriticized points that were important to you? (2: Often; 1: sometimes; 0: never) 6. partner isolated you? (0: Often; 1: sometimes; 2: never) 7. partner hurty you physically (0: Often; 1: sometimes; 2: never) 8. partner sexually abused you? (0: Often; 1: sometimes; 2: never) 9. partner listened to you? (3: Often; 2: sometimes; 1: never) 10. partner made you feel afraid? (0: Often; 1: sometimes; 2: never) 11. partner threatened or hurt your child/children? [*] (0: Often; 1: sometimes; 2: never)
				2, 3	Dichotomous indicator of relationship quality, where poor quality is defined as 1 if the mother is in a relationship and has a score of 26 or below on the relationship quality scale (approximately the bottom tercile of the low cash gift group distribution of scores) and a 0 either if the mother is not in a relationship and has a relationship quality index score of 27 or above (approximately in the top two terciles of the distribution).
Maternal Physical Health Global health	Idler & Benyamini, 1997	1	1	1, 2	One item with 5-point response scale "overall, how would you describe your health" (0: excellent-5: poor)
Sleep	Yu et al., 2012			1, 3	Additive index of the following items (higher score=higher qual sleep): 1. Quality of sleep (0: very poor-5: very good) 2. Difficulty falling asleep (0: not atll; 5: very much) (rc) 3. Felt tried (0: not at all-5: very much) (rc)
Mother's BMI	CDC scales			45-48 months	Calculated by dividing weight by stature
Parent-Child Interaction Quality					
Adult word count	Xu et al (2009), LENA foundation			1	Measured using LENA processing software Measured using LENA processing software
Conversational turns Index of mother's positive parenting behaviors Maternal Epigenetic Age^	Xu et al (2009), LENA foundation Roggman, et al., 2013; Griffen & Friedman, 2007; Belsky, et al., 2007	inter-rater reliability varies by domain: .69- .80; alpha: .78	45-48 months	1	Measured using PICCOLO coding of parenting behaviors from three sub-scales (affection, responsiveness, encouragement and teaching) with responses ranging from 0: absent, 1: barely, 2: clearly (at age 1); will be replaced at age 45-48 months using NICHD parent-child interaction task, following pilot testing
Epigenetic age	Fiorito et al., 2017			45-48 months	Measured by the Horvath Method
Maternal DNA Methylation^ DNA methylation	Hughes, et al., 2018; Cao-Lei et al., 2014			45-48 months	Analyzed using genomic-wide differences
Frequency of Parent Child Activity Self-Report of Parent-child activities	Rodriguez & Tamis-LeMonda, 2011			2, 3	Additive index of 4 items with response scale (higher score=higher frequency of activities): 1. read books (0: rarely or never; 1: a few times/month; 2: a few times/week ; 4:everyday) 2. tell stories 3. play together 4. play groups Additive index of 5 items with response scale (higher score=higher frequency of activities): 1. read books (0: rarely or never; 1: a few times/month; 2: a few times/week ; 4:everyday) 2. tell stories 3. play together 4. play groups 5. play pretend games
Maternal Discinline [×] Spanking discipline strategy	Reichman et al., 2001			1, 2, 3	Dichotomous indicator using the following item: 1. In past month, have you spanked child due to misbehavior (1: yes; 2:no)
Notes. The previous version of this table refered to	"waves" of data collection. For clarity, we have re	placed "wave" with "	age", with both ref	erring to the age of	the baby at planned data collection.

Notes. The previous version of this table refered to "waves" of data collection. For clarity, we have replaced "wave" with "age", with both referring to the age of the baby at planned data collection. Minor, non-substantive changes may be made to the wording of specific items across data collection years. + indicates that items were omitted or programmed incorrectly in the age 1 survey administered to mothers and cannot be used to calculate outcomes. These include item 5 from the index of food insufficiency ("hungry"), and item 11 from the relationship quality index ("partner threatened or hurry our child/children?"). ¹ indicates outcomes that were not administered at age 1 once in-person interviews switched to phone interviews due to COVID-19. ¹ Indicates that item was omitted from previous pre-registrations but was administered to mothers and is being included in the outcome analyses.

*Indicates that the sub-domain was called something different in previous versions of this table. The sub-domain "Food Insecurity" was previously referred to as "Food Insufficiency"

Appendix Table 6: Maternal and Family Focused Pre-Registered Hypotheses

Domains (in gray) and sub-domains	Measure/Item source	Psychometrics	Age preregistered <u>Primary</u> Outcome	Age preregistered <u>Secondary</u> Outcome	Measures (All measures between grey lines will be subject to multiple testing adjustments)
Due to COVID-19, the age 2 and age 3 data collection wave is in the form of a phone survey. Thus, sub-domains that were supposed to be measured in-person at ages 2 or age 3 are being postponed to ages 45-48 months. These domains include: index of mother's positive parenting behaviors, epigenetic age, DNA methylation, BMI, physiological stress, cognitive resources. Additionally, sub-domains that we had not intended to include in pre-registeration at age 3 have been been added to the phone survey at age 3 and to the pre-registration table. These include: self-report of parent-thild activities, spanking discipline strategy, anxiety.					
Certain sub-domains were pre-registered at age 3 and are no longer preregistered because they are not being included in the age 3 data collection (due to time constraints). These include: global health, physical abuse, index of chaos in the home, parenting stress, index of bouving quality.					

Language Development	
Language Milestones	Squires, J., Bricker, D. D., & Twombly, E. (2009). Ages & stages questionnaires. Baltimore, MD: Paul H. Brookes.
Language Processing	Golinkoff, R. M., De Villiers, J. G., Hirsh-Pasek, K., Iglesias, A., Wilson, M. S., Morini, G., & Brezack, N. (2017). User's Manual for the Quick Interactive Language Screener (QUILS): A Measure of Vocabulary, Syntax, and Language Acquisition Skills in Young Children. Paul H. Brookes Publishing Company
Vocabulary*	Fenson, L., Pethick, S., Renda, C., Cox, J. L., Dale, P. S., & Reznick, J. S. (2000). Short-form versions of the MacArthur Jackson-Maldonado, Donna, Virginia A. Marchman, and Lia C. H. Fernald. 2012. "Short-Form Versions of the Spanish MacArthur-Bates Communicative Development Inventories." Applied Psycholinguistics 34 (4): 837–68.
	Martin, N. A., & Brownell, R. (2011). ROWPVT-4: Receptive One-Word Picture Vocabulary Test. Martin, N., & Brownell, R. (2010). EOWPVT-4: Expressive One-Word Picture Vocabulary Test.
Maternal concern for language delay	Glascoe FP. Parents' Evaluations of Developmental Status: A Method for Detecting and Addressing Developmental and Behavioral Problems in Children. Nashville, TN: Ellsworth & Vandermeer Press, 1997.
Executive Function & Self-Regu	Ilation
Self-Regulation	Smith-Donald, R., Raver, C. C., Hayes, T., & Richardson, B. (2007). Preliminary construct and concurrent validity of the Preschool
Executive Function	Carlson, S. M., & Zelazo, P. D. (2014). Minnesota Executive Function Scale: Test Manual. St. Paul, MN: Reflection Sciences, Inc.
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Socio-Emotional Processing	
Social-Emotional Problems	Briggs-Gowan, M. J., Carter, A. S., Irwin, J. R., Wachtel, K., & Cicchetti, D. V. (2004). The Brief Infant-Toddler Social and Emotional Assessment: screening for social-emotional problems and delays in competence. <i>Journal of pediatric psychology</i> , <i>29</i> (2), 143-155.
Behavior/Emotional Problems	Achenbach, T. M., & Ruffle, T. M. (2000). The Child Behavior Checklist and related forms for assessing behavioral/emotional problems and competencies. <i>Pediatrics in</i> review, 21(8), 265-271.
Social-Emotional Behavior^	Roggman, L. A., Cook, G. A., Innocenti, M. S., Jump Norman, V., & Christiansen, K. (2013). Parenting interactions with children: Checklist of observations linked to outcomes (PICCOLO) in diverse ethnic groups. Infant Mental Health Journal, 34(4), 290-306.
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	Griffin, J. A., & Friedman, S. L. (2007). NICHD Study of Early Childcare and Youth Development. National Institute of Health. Adapted script from mother-child-interaction at 15 months.
Maternal concern for behavioral and social- emotional problems	Glascoe FP. Parents' Evaluations of Developmental Status: A Method for Detecting and Addressing Developmental and Behavioral Problems in Children. Nashville, TN: Ellsworth & Vandermeer Press, 1997.
IQ	
IQ*	Wechsler, D. (2012). Wechsler preschool and primary scale of intelligence—fourth edition. San Antonio, TX: The Psychological Corporation.
Brain Function	
Resting Brain Function	Tomalski, P., Moore, D. G., Ribeiro, H., Axelsson, E. L., Murphy, E., Karmiloff-Smith, A., & Kushnerenko, E. (2013). Socioeconomic status and functional brain development–associations in early infancy. <i>Developmental Science</i> , <i>16</i> (5), 676-687.
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Auditory Discrimination Brain Function*	Cheour, M., Leppänen, P. H., & Kraus, N. (2000). Mismatch negativity (MMN) as a tool for investigating auditory discrimination and sensory memory in infants and children. <i>Clinical neurophysiology</i> , <i>111</i> (1), 4-16.
	Garcia-Sierra, A., Rivera-Gaxiola, M., Percaccio, C. R., Conboy, B. T., Romo, H., Klarman, L., & Kuhl, P. K. (2011). Bilingual language learning: An ERP study relating early brain responses to speech, language input, and later word production. Journal of Phonetics, 39(4), 546-557.
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Health: BMI	
Body Mass Index (BMI)	Kuczmarski, R. J. (2000). CDC growth charts; United States.
Health: Physiological Stress	
Physiological Stress	Ursache, A., Merz, E. C., Melvin, S., Meyer, J., & Noble, K. G. (2017). Socioeconomic status, hair cortisol and internalizing symptoms in parents and children. <i>Psychoneuroendocrinology</i> , 78, 142-150.
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Health: Sleep Sleep problems	Yu, L., Buysse, D. J., Germain, A., Moul, D. E., Stover, A., Dodds, N. E., & Pilkonis, P. A. (2012). Development of short forms from the PROMIS [™] sleep disturbance and sleep-related impairment item banks. Behavioral sleep medicine, 10(1), 6-24.
Health: Other Indicators	
Overall Health, Medical Care, Diagnosis of Condition or Disability	Halim, M. L., Yoshikawa, H., & Amodio, D. M. (2013). Cross-generational effects of discrimination among immigrant mothers: Perceived discrimination predicts child's healthcare visits for illness. <i>Health Psychology</i> , <i>32</i> (2), 203.
	Idler, E. L., & Benyamini, Y. (1997). Self-rated health and mortality: a review of twenty-seven community studies. <i>Journal of health and social behavior</i> , 21-37
Child Epigenetic Age	
Epigenetic age	 Fiorito, G., Polidoro, S., Dugue, P-A., Kivimaki, M., Ponzi, E., Matullo, G., Guarrera, S., Assumma, M.B., Georgiadis, P., Kyrtopoulos, S.A., Krogh, V., Palli, D., Panico, S., Sacerdota, C., Tumino, R., Chadeau-Hyam, M., Stringhini, S., Severi, G., Hodge, A.M., Giles, G.G., Marioni, R., Karlsson Linner, R., O'Halloran, A.M., Kenny, R.A., Layte, R., Baglietto, L., Robinson, O., McCrory, C., Milne, R.L., Vineis, P. (2017). Social adversity and epigenetic aging: a multi-cohort study on socioeconomic differences in peripheral blood DNA methylation. <i>Nature</i>, 7 (16266), doi: 10.1038/s41598-017-16391-5.
Child DNA Methylation	
DNA methylation	Hughes, A., Smart, M., Gorrie-Stone, T., Hannon, E., Mill, J., Bao, Y., Burrage, J., Schalkwyk, L., Kumari, M. (2018). Socioeconomic position and DNA methylation age acceleration across the life course. <i>American Journal of Epidemiology</i> , 187(11, doi: 10.1093/aje/kwy155.
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Child Nutrition	
Consumption of healthy foods	Los Angeles County WIC Survey. (2017). Retrievable from: http://lawicdata.org/wp-content/uploads/2014/09/WIC- Parents-Quex-English-FINAL.pdf
Consumption of unhealthy foods	Los Angeles County WIC Survey. (2017). Retrievable from: http://lawicdata.org/wp-content/uploads/2014/09/WIC-Parents-Quex-English-FINAL.pdf
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Parents' Evaluation of Developmental Status (PEDS)	Glascoe FP. Parents' Evaluations of Developmental Status: A Method for Detecting and Addressing Developmental and Behavioral Problems in Children. Nashville, TN: Ellsworth & Vandermeer Press, 1997.
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National Study of Early Care and Education	
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	Cohen, S., Kamarck, T., Mermelstein, R. (1983). A globa
 scale. Measuring stress: A guide for health and social scientists. PSID-CDS Aggravation in Parenting Scale https://psidonline.isr.umich.edu/cds/cdsi_usergd.pdf for items 5-7 5. giving up more for kids than ever expected 6. feels trapped (rc) 7. unable to do different things bc of kids (rc) 	 measure of perceived stress. <i>Journal of Health and Social Behavior</i>, 24(4), 385-396. Project GAIN (Gaining Access to Income Now) https://preventionboard.wi.gov/Pages/OurWork/ProjectGAIN.aspx for items 1-4 1. confidence in parenting abilities 2. feels good about parenting abilities 3. thinks good parent 4. kids will say she was wonderful
timism	
The General Social Survey from NORC at the University of Chicago, retrieved from: http://gss.norc.org/Get-Documentation/questionnaires	
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Maternal Physiological Stres	s	
Maternal hair cortisol	Ursache, A., Merz, E.C., Melvin, S., Meyer, J., Noble, K.G. (2017).	
	Socioeconomic status, hair cortisol and internalizing symptoms in	
	parents and children. Psychoneuroendocrinology, 78, 142-150.	
Maternal Mental Resources		
Maternal cognitive resources	Zelazo, P.D., Anderson, J.E., Richler, J., Wallner-Allen, K.,	
	Beaumont, J.L., & Weintraub, S. (2013). II NIH Toolbox Cognitive	
	Battery (CB): Measuring executive function and attention. Monographs	
	of the Society for Research in Child Development, 78(4), 16-33.	
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