

HARBIN INSTITUTE OF TECHNOLOGY
SCHOOL OF MANAGEMENT

Research Ethics Checklist (for staff and student research involving human participants)

Part A: Basic Information

Title of Research/Student Project:	The Competitive Newsvendors: Theory and Experiment
Name of Principal Investigator:	Prof. Hang Wu (School of Management, Harbin Institute of Technology)
Department:	Department of International Trading and Economics
Email/Tel:	hang.wu@hit.edu.cn
Grant Type if any (for PI):	
Proposal/Project No. if any (for PI):	

* In the case of student research, the supervisor assumes this responsibility

Part B: Ethical Issues

		Yes	No	Please provide relevant information under each item to facilitate consideration for an expedited review
1	Will the study involve participants who do not possess the legal, physical or mental capacity to provide valid informed consent to participate in the study (e.g. children, people with developmental disabilities)? If so, parental/guardian consent must be obtained.		√	The participants in this study are college students (including undergraduate and graduate students), who have full cognitive ability and full behavioral ability. This study did not include children or people with physical or mental disorders.
2	Will deception of participants e.g. misleading participants be necessary during the study?		√	This study is to observe the participant' newspaper trading decisions in different situations, without cheating the subjects. This study provides instructions with full experiment information. The participants will be fully informed and will not be misled.
3	Will financial inducements/incentives (other than reasonable expenses and compensation for time applicable to the nature of the study for the discipline concerned) be offered to participants? If so, please specify details e.g. value, in cash or in kind, the usual rate for the discipline concerned and applicable precedent cases.		√	The expenses incurred in this study include two parts, one is the profits participants earn from their decisions in the experiment, and the other is the fixed show-off fee for each participant. The above two parts are reasonable expenses.

4	Will the study involve sensitive aspects of the participant's own behavior such as illegal conduct, drug or alcohol use, and sexual conduct?		✓	This study is to observe participants' newspaper trading decisions in real situations, without involving the sensitive aspects of participants' behaviors.
5	If the observations on the participants are disclosed, will it reasonably place the participant at risk of criminal or civil liability or be damaging to the participant's financial standing, employability, or reputation?		✓	This study is a simulation of newspaper transactions and does not reveal participants' opinions or harm their financial position, employability or reputation.
6	Will the study/experiment induce undue psychological stress?		✓	There is no sensitive questions in all experiments of this study, which will not cause psychological pressure of the participants.
7	Is pain or more than mild discomfort likely to result from the study?		✓	In this study, participants just need to make their decisions on computers. It does not lead to pain or more than mild discomfort.
8	Will the study involve prolonged and repetitive testing sessions?		✓	All participants in this study were randomly matched in groups for newspaper transactions, with different group members for each round. This study does not involve prolonged and repetitive testing sessions.
9	Will the study involve the collection of identifiable information (i.e. information that is not anonymous) from participants? If so, explain whether sensitive or private information will be collected and how the confidentiality of the information collected will be maintained?		✓	This study did not involve the collection of identifiable information about participants, and there was no sensitive or private information.

If you have checked "yes" to any of the above items, you must go through a full review as advised by the IRB.

Signature
(Principal Investigator/Supervisor)

September 12th 2021
Date

Approved by

Signature
(IRB Head, School of Management, HIT)

Date