## Experimental Evidence on Determinants of Truth-Telling: The Role of Financial Incentives, Psychological Cost of Lying, Social Norms and Economic Well-Being

Pre-Analysis Plan

AEA RCT Title: Experimental Evidence on Child Health and Long-run Outcomes in Kenya

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Appendix: KLPS-4 I Module Survey Instrument, KLPS-4 E+ Module Survey Instrument

**Summary:** This document outlines the plan for analyzing the results of an experiment designed to measure truth-telling versus lying. In the experiment, the subjects are asked to choose in their mind which of the two sides of a coin is the winning side. Then, the subjects are shown the outcome of a coin toss, they report their choice, and are paid the monetary payoff based on this report. Since subjects self-report their choice only after they see the outcome, they can lie about the winning side they have chosen in order to get higher earnings. The experiment is implemented within the framework of the fourth round of the Kenya Life Panel Survey (KLPS-4).

The analysis outlined here will be split into studying the following set of experimental manipulations: (1) a childhood health intervention, (2) the size of financial incentives to lie, (3) the size of psychological cost of lying, and (4) contextual factors that increase the salience of social norms associated with religion, politics and markets/financial constraints.

As a secondary analysis, we also study two additional adolescent skills and financial investments, namely a vocational training voucher program and a cash grant program.

This document describes the design of the experiment, all four types of manipulations, and lays out the main regression specifications and description of the outcome variable.

Additional analyses will be carried out beyond those included in this document; this document is therefore not meant to be comprehensive or to preclude additional analyses.

## 1. Introduction

### 1.1. Summary

Many social and economic interactions are characterized by asymmetric information, which create an opportunity to cheat and misreport the private information for own gain. Although economists typically assume that economic agents always misreport in such situations, a growing number of experimental studies have documented that many individuals do not maximize their material payoffs and choose not to lie. Given the importance of truth-telling in economic, social and political life, it is essential to understand its determinants. This project takes a comprehensive approach. We aim to study the influence of four different types of factors that can plausibly affect prevalence of truth-telling: economic well-being, financial incentives, intrinsic costs of lying and social norms associated with religion, politics and economic interactions.

The study will take advantage of a large sample of youth from Kenya, using the data from the fourth round of Kenya Life Panel Survey (KLPS). Below we describe the main features of the experimental design - the task to measure truth-telling and the experimental manipulations. Then, we describe our current thinking about the data analysis, pre-specifying our main outcome of interest and primary regression specifications

## 1.2. Experimental Design

## 1.2.1. The Mind Game

The design of the experiment builds on Green and Paxton (2009) and Jiang (2013). In the task, which we refer to as the "Mind Game", the subjects are told that they will be shown five 40KSh coins each of which can display either heads or tails. Before revealing the outcome, they are asked to choose in their mind which side of the coin is the winning side. At this stage, they do not report their choice. Then, the subjects are shown the outcome, they report their choice of the winning side and are paid the monetary payoff based on this report. Specifically, they receive KSh40 for each coin which displays the winning side they reported. Since subjects self-report their choice only after they see the outcome, they can lie about the winning side they have chosen in order to get higher earnings. Because subjects make their choice in their mind, it is impossible to detect whether the reported choice is the one they actually made. Therefore it is impossible to identify lying at an individual level. Nevertheless, when we compare the share of reported choices which imply higher earnings with the true probability of winning (1/2), we can infer information about truth-telling/lying among different groups of subjects.

The experimental protocol for the Mind Game is a part of the KPLS-4 I module (Section 10, attached in the Appendix).

We are interested in the following four types of experimental manipulations:

## 1.2.2. Childhood Health Intervention and Economic Well-Being

We plan to analyze the 20-year impacts of a child health intervention, specifically a randomized school deworming program in western Kenya, which has documented impacts on education, health and labor market outcomes in adulthood. Between 1998 and 2001, 75 primary schools containing nearly 30,000 children in a rural district of western Kenya were phased into a deworming treatment program known as

the Primary School Deworming Program (PSDP). All children attending treatment schools received drugs twice per year to eliminate intestinal helminths. Schools were phased into the program over time, such that 25 schools were randomly selected to receive treatment starting in 1998, another 25 schools were randomly selected to receive treatment starting in 25 schools began receiving treatment in 2001.

Previous work analyzed the near-term and 10-year impacts of this program. Miguel and Kremer (2004) find substantial impacts on the school attendance of treated children within 1-2 years of program launch, as well as impacts on children attending schools nearby. In subsequent work, Baird et al. (2016) exploit the second round of a longitudinal dataset known as the Kenya Life Panel Survey (KLPS-2), which tracked a representative subset of 7,500 children with an effective tracking rate of 82.5%, in order to study the impacts of improved child health 10 years after the launch of the program. The authors find evidence of increased labor supply among men and education among women, with accompanying shifts in labor market specialization, and increases in meals consumed, as well as wage earnings.

The third round of the KLPS was collected during 2011-2014. KLPS-3 attempted to locate the same representative subset of 7,500 children from Primary School Deworming Program schools, as well as a representative subset of those participating in Girls' Scholarship Program (*GSP*), a separate randomized education intervention that took place in a neighboring area.<sup>1</sup> KLPS-3 achieved an effective tracking rate of 87.3%.

The current data collection round, KLPS-4, seeks to locate the same representative sample of deworming respondents, as well as those from the GSP program that participated in additional vocational training and cash grant programs, described in more detail as part of the secondary analysis in this plan. Data from the KLPS-4 I Module will be used for the analysis in this project.<sup>2</sup> For some control variables (e.g. income), we will also use data from KLPS-4 E+ module, and in case of data unavailability in KLPS-4 we will use the latest available data from KLPS-3 or KLPS-2.

## 1.2.3. Financial Incentives of Lying

Second, we plan to analyze the role of financial incentives of lying and vary the size of the stakes. In order to do that, with equal probability we randomize whether the subject is shown five coins out of which (i) all display the same side (either heads of tails), i.e. the financial incentives to lie are the greatest because the subject can win KSh200 vs. KSh0, (ii) four display the same side, i.e there are medium financial incentives to lie because the subject can win KSh160 vs. KSh40, and (iii) three display the same side, i.e. the financial incentives are the smallest because the subject can win KSh120 vs KSh80. Specifically, the computer displays a random draw from the following six options: 0 tails, 1 tails, 2 tails, 3 tails, 4 tails, 5 tails.

## 1.2.4. Psychological Cost of Lying

Third, we manipulate psychological costs of lying by providing a private reminder about the choice of the subject. Specifically, randomly selected subjects are asked to make a choice of the winning side in their mind and keep a KSh40 coin in their fist with the winning side facing up. Then they are shown the outcome and asked to check in private the winning side by looking at the coin in their fist before reporting their

<sup>&</sup>lt;sup>1</sup> We do not provide more details on this program here, as it is not analyzed separately in the proposed study. For more details on this program, see Kremer, Miguel, and Thornton (2009).

<sup>&</sup>lt;sup>2</sup> See Baird et al. (2019) and references therein for additional outcomes pre-specified as part of the KLPS-4 I-Module data.

choice. This experimental manipulation is described in the protocol for the Mind Game attached in the Appendix.

#### 1.2.5. Social Norms: Religion, Politics and Markets

Fourth, we plan to analyze the role of the social norms associated with various contexts in which the Mind Game is implemented. In particular, we randomize the set of questions that immediately precede the Mind Game. We implement the following four conditions. The choices in the Mind Game are made: (i) after a set of questions measuring economic preferences, (ii) after a set of questions on ethnicity and religion, (iii) after a set of questions on politics, or (iv) after a set of questions on savings and credit. The Mind Game thus comes as the seventh section in the survey for all respondents, but the sixth section is randomized across respondents; the remaining sections are asked following the Mind Game. The aim is to analyze whether making religion, political markets, and financial markets/constraints more salient affects the choices in the Mind Game.

All four sets of questions which precede the Mind Game are part of the KLPS-4 I Module, attached in the Appendix (specifically, sections 6, 7, 8 and 9).

## 1.3. Data examined to Date

All outcome variables pre-specified as part of this plan come from the KLPS-4 I Module. Data collection for the fourth round of the KLPS (KLPS-4) I-Module began in September 2018. On September 19, 2018 the research team registered a data management memo on the AEA registry, to outline who would have access to the KLPS-4 I Module data collected prior to the registration of this pre-analysis plan. This data management memo specified that all KLPS-4 I Module data collected prior to the registration of this plan would be compiled, organized, and stored by the Busia-based field research associate, Eric Ochieng, and that Eric would only provide access to Somara Sabharwal, a Berkeley-based research assistant, so that she could aid in developing data quality checks.<sup>3</sup> Thus far, the individuals writing this pre-analysis plan have only seen summary statistics on survey data quality and respondent tracking rates, which have been used to maintain high data quality and reduce attrition. Those with data access have not had any input into this pre-analysis plan, which was developed and reviewed only by researchers on the project who have not accessed the data in any way beyond these data collection summary statistics.

Access to the KLPS-4 I Module data will only be provided to Alfonsi, Bauer and Chytilová after this preanalysis plan and the pre-analysis plan on the effects of deworming on preferences (Alfonsi et al. 2019) are filed on the AEA RCT Registry. After these plans are filed, Alfonsi, Bauer and Chytilová will receive access to the variables pre-specified in this analysis plan and in Alfonsi et al. (2019), but not to the deworming, vocational training, and cash grant treatment indicator variables. Data access for Miguel and these treatment indicator variables will be provided after all KLPS-4 I Module pre-analysis plans outlined in Alfonsi et al. (2019) have been filed to the AEA Trial Registry.

## 2. Main Analysis

<sup>&</sup>lt;sup>3</sup> In November 2018, the data management plan was updated to allow Maxim Guzman to provide additional support for the Kids data quality checks.

This plan captures our current thinking about analyses with this data, but we anticipate carrying out some additional analyses beyond those included in this plan, so this document is not mean to be comprehensive nor to preclude additional analysis.

## 2.1 Samples for Analysis

There are two main samples of individuals from KLPS-4 that we use for analysis, which we refer to as the deworming sample and the full sample.

The first sample of interest is the deworming sample. As described above, the KLPS sample includes a representative subset of approximately 7,500 individuals who participated in the original Primary School Deworming Program (PSDP). Approximately 1,500 of these individuals additionally took part in a vocational training voucher and cash grant program that was launched in early 2009, prior to the start of the KLPS-4 data collection round. We describe this intervention in more detail as part of the secondary analysis. Approximately three-quarters (1,070) of these individuals were randomly selected to receive either vocational training vouchers or cash grants (or both). These 1,070 individuals will be dropped from the deworming sample. Because the voucher and grant winners and non-winners were selected randomly, the analysis will adjust the survey weights for individuals in the non-winner comparison group in order to maintain initial (baseline PSPD) population representativeness. This sample adjustment was not made in Baird et al. (2016), which focused on data collected prior to the launch of the vocational training vouchers.

Second, when analyzing the impacts of the experimental manipulations on the financial incentives of lying, psychological costs of lying and social norms, we will present results for the full sample, including the 1,070 individuals that were dropped from the deworming sample

#### 2.2. Econometric Approach

#### 2.2.1. Outcome variable

In the analysis, the outcome variable will be a dummy variable equal to one if the respondent reported the choice of a side of a coin which implies higher payoff, and equal to zero if the respondent reported the choice of a side of a coin which implies lower payoff.

## 2.2.2. Estimation

We estimate two different regression equations, depending on whether we are estimating effects for the deworming intervention or the survey-based manipulations. For all both equations, the primary regression will be Ordinary Least Squares, and we will use Probit regressions as a robustness check unless specified otherwise.

First, the deworming analysis builds on the cross-sectional methodology in Baird et al. (2016) and Baird et al. (2017), although we will focus on a different outcome variable. We estimate the 20-year effects of deworming using the following equation with the deworming sample:

$$Y_{ij} = \alpha + \gamma^{deworming} T_j^{deworming} + \delta_1 C_j + \delta_2 P_j + X_{\{ij,0\}} \beta + \epsilon_{ij}, \quad (1)$$

where  $Y_{ij}$  is the outcome Y for individual *i* in school *j*.  $T_j^{deworming} \in \{0,1\}$  is the assigned deworming program treatment status of the individual's primary school (defined as in Baird et al. 2016),  $C_j \in [0,1]$  is an indicator for whether the school was designated as "cost sharing" in later years of the PSDP<sup>4</sup>,  $P_j \in [0,1]$  is the treatment saturation proportion among neighboring schools within 6 km during the PSDP (explained in more detail in Baird et al. 2016),  $X_{\{ij,0\}}$  is a set of individual and school covariates, and  $\varepsilon_{ij}$  is the error term clustered at the school level.

As in Baird et al. (2016), the covariates include a series of baseline school characteristics (average test score, school population size, number of primary school students within 6 km, and an indicator for the administrative zone of school,) as well as baseline individual characteristics (indicators for gender and grade at the launch of the PSDP), and indicators for the month and wave of KLPS-4 survey. We also include an indicator variable denoting individuals in the vocational training voucher and cash grant control group (who are included in the deworming sample). Estimates will be weighted to maintain representativeness with the baseline PSDP population, taking into account the sampling for inclusion in the KLPS, the two-stage KLPS tracking methodology, and inclusion in the vocational training voucher and cash grant program.

Second, we estimate the following equation using the full KLPS-4 sample to estimate the experimentally manipulated variation in financial incentives of lying, intrinsic costs of lying and social norms. Consequently, the main regression specification is the following:

$$Y_{ij} = \alpha + \gamma^{incentives\_m} T_{ij}^{incentives\_m} + \gamma^{incentives\_l} T_{ij}^{incentives\_l} + \gamma^{psych} T_{ij}^{psych} + \gamma^{religion} T_{ij}^{religion} + \gamma^{politics} T_{ij}^{politics} + \gamma^{markets} T_{ij}^{markets} + T_{ij}^{interventions} \varphi + X_{\{ij,0\}}\beta + \epsilon_{ij}.$$
 (2)

We have three survey-based manipulations. First,  $T_{ij}^{incentives\_m}$  is a dummy variable indicating whether a subject faces the condition with medium sized stakes (i.e. winning 160KSh vs. 40KSh),  $T_{ij}^{incentives\_l}$  is a dummy variable indicating the condition with large size of the stakes (i.e. winning 200KSh vs. 0KSh).

Second,  $T_{ij}^{psych}$  is a dummy variable indicating the condition with a private reminder about the choice (i.e. holding a coin in a fist displaying the chosen winning side), the omitted category being the condition without the reminder.

Third,  $T_{ij}^{religion}$  is a dummy variable indicating the condition in which the questions on ethnicity and religion precede the Mind Game,  $T_{ij}^{politics}$  is a dummy variable indicating the condition in which the questions on politics precede the Mind Game,  $T_{ij}^{markets}$  is a dummy variable indicating the condition in which the questions on saving and credit precede the Mind Game, the omitted category being the condition in which the questions preceding the Mind game do not have a clear theme and contain questions on various economic preferences: ambiguity aversion, risk aversion time preference and social preferences.

We control for a vector  $T_{ij}^{interventions}$  of indicators for PSDP treatment, GSP treatment, vocational training treatment, and cash grant treatment, though these coefficients are not of central interest in this equation. We also include controls  $X_{\{ij,0\}}$  for respondent gender and baseline grade, gender of interviewer, and indicators for survey month and wave. We will use survey weights that take into account both the sampling for the KLPS and the two-stage tracking strategy of KLPS-4 data collection. As the randomization for the survey manipulations is conducted at an individual level, we do not cluster standard errors.

<sup>&</sup>lt;sup>4</sup> See Baird et al. (2016) for a description of this cost-sharing experiment.

## 2.2.2. Estimating the Impacts of Economic Well-being

To estimate the impacts of economic well-being on truth-telling using the deworming intervention, we use Equation (1). The main hypothesis test will be a two-sided t-test on the significance of  $\gamma^{deworming}$ , the coefficient on the Treatment indicator  $T_j^{deworming}$ , against a null hypothesis of  $\gamma^{deworming} = 0$ . The secondary hypothesis test will be a F-test on the joint significance of the coefficients on  $T_j$ ,  $C_j$ , and  $P_j$ .

## 2.2.3. Estimating the Impacts of Financial Incentives

Using Equation (2), the main hypotheses tests will be two-sided t-tests on the significance of  $\gamma^{incentives\_m}$  and  $\gamma^{incentives\_l}$ , the coefficient on the Treatment indicators  $T_{ij}^{incentives\_m}$  and  $T_{ij}^{incentives\_l}$ , against null hypotheses of  $\gamma^{incentives\_m} = 0$  and  $\gamma^{incentives\_l} = 0$ , respectively. We will also test statistical significance of the difference between  $\gamma^{incentives\_m}$  and  $\gamma^{incentives\_l}$ .

## 2.2.3. Estimating the Impacts of Psychological Cost of Lying

Using Equation (2), the main hypothesis test will be a two-sided t-test on the significance of  $\gamma^{psych}$ , the coefficient on the Treatment indicator  $T_{ij}^{psych}$ , against a null hypothesis of  $\gamma^{psych} = 0$ .

#### 2.2.4. Estimating the Impacts of Social Norms

Using Equation (2), the main hypotheses tests will be two-sided t-tests on the significance of  $\gamma^{religion}$ ,  $\gamma^{politics}$  and  $\gamma^{markets}$ , the coefficient on the Treatment indicators  $T_{ij}^{religion}$ ,  $T_{ij}^{politics}$  and  $T_{ij}^{markets}$ , against null hypotheses of  $\gamma^{religion} = 0$ ,  $\gamma^{politics} = 0$  and  $\gamma^{markets} = 0$ , respectively. We will also test statistical significance of the difference between  $\gamma^{religion}$ ,  $\gamma^{politics}$  and  $\gamma^{markets}$ .

## 2.2.5. Multiple Testing

For the coefficient estimates of interest on deworming, financial incentives, and psychological costs of lying the analysis will present standard "per comparison" p-values.

For the three coefficients of interest for the experimental survey manipulations of social norms (religion, politics, markets), the analysis will present two sets of p-values. The first is standard "per comparison" p-values. These are appropriate for a researcher with an a priori interest in a specific type of context. For instance, researchers interested in the impact of making religion more salient on the measure of truth-telling should focus directly on this p-value.

Second, the analysis will also present additional p-values that account for multiple testing. Since the analysis tests multiple hypotheses related to impacts of social norms on truth-telling (religion, politics, markets), it is appropriate to control for the possibility that some true null hypotheses will be falsely rejected. Across these three coefficient estimates, the analysis will compute the False Discovery Rate (FDR) adjusted q-values (analogue to the standard p-value) that control the expected proportion of rejections that

are Type I errors. Specifically, the analysis will follow the approach adopted in Anderson (2008) and Casey et al. (2012), and the references cited therein.

Lastly, as a more conservative check against false positives, we will report (in either the paper or appendix) q-values adjusted across each of the six coefficient estimates in Equation (2). These q-values take into account the fact that we test across multiple conceptual manipulations, but may not be appropriate for all audiences. For instance, to the extent that certain behavioral economists may be primarily interested in specific domains (say, social norms), this last adjustment may be unduly conservative as one would not be concerned about false positives in other manipulations, and either the p-value on the specific outcome or the FDR q-value calculated within the social norm manipulation may be more appropriate.

## 2.2.6. Heterogeneity Analysis

First, the analysis described above will be conducted on the entire sample, as well as broken out by (i) gender and (ii) urban vs. rural residence, by estimating the main regression equation separately for each subgroup. There are several ways in which one can define urban status from the KLPS-4 survey. Our primary method is to use an indicator equal to one if the respondent currently lives in a non-rural area (question 3.1d); this includes both cities and towns. We will also explore the robustness to defining urban status as currently living in Nairobi, Mombasa or Kampala.

Second, we will study interaction effects between financial incentives and experimental manipulations designed to (i) affect psychological costs of lying and (ii) activate salience of social norms associated with religion, politics and financial markets/constraints. Specifically, we look at the significance of coefficients on (i) interaction terms between  $T_{ij}^{psych}$  and  $T_{ij}^{incentives\_m}$  and  $T_{ij}^{incentives\_l}$ , and (ii) interactions between  $T_{ij}^{religion}$ ,  $T_{ij}^{politics}$  and  $T_{ij}^{incentives\_m}$  and  $T_{ij}^{incentives\_l}$ .

Third, when estimating the impacts of contextual factors in section 2.2.4., for each of the three abovementioned conditions, we will use two ways (intensity and type) to divide the sample into two sub-samples. Then, we will first estimate Equation 2 separately for both sub-samples, plus in a separate regression on the full sample, we will include the interaction term between the condition and an indicator for a sub-sample to test statistical significance of a potential difference in the coefficients. We will also study the coefficients for the indicators for these sub-sample, i.e. whether different sub-samples are more likely to tell the truth in the Mind Game.<sup>5</sup> For example, for religion, we will estimate Equation 2 separately for those who attended church last week and for those that did not, with our main coefficient of interest being  $\gamma^{religion}$ . We then estimate Equation 2 on the full sample, and include an interaction term between  $T_{ij}^{religion}$  and an indicator for attending church / mosque last week, and test whether this interaction term is statistically significant using a two-sided t-test.

For the condition in which questions on ethnicity and religion precedes the Mind Game, we will divide the sample into the following sub-samples:

<sup>&</sup>lt;sup>5</sup> Decisions about these sample splits were made based on summary statistics from KLPS-3, with the intention of both looking at interesting beliefs and ensuring reasonable sample sizes in each subgroup. If responses in KLPS-4 are very different than those in KLPS-3, then we may need to define alternate splits.

- A) (i) subjects who report they attended the church / mosque last week and (ii) subjects who report they did not attend the church / mosque last week (question number 7.10).
- B) (i) subjects who belong to a traditional religious denomination (Catholic, mainline Protestant) and (ii) subjects who belong to other religious denomination, mostly "Renewal" churches (e.g., Pentecostal churches). The "Renewal" movement within mainline Protestant Christianity emphasizes such spiritually renewing "gifts of the Holy Spirit" as speaking in tongues, divine healing, prophesying, or exorcism. Subjects who report to belong to Muslim, traditional/tribal or no religion will be excluded from the analysis.

For the condition, in which questions on politics precedes the Mind Game, we will divide the sample into the following sub-samples:

- A) (i) subjects who in question 8.44 say they strongly agree with the statement that "It's wrong to pay a bribe to any government official" and (ii) those who do not strongly agree with the previous statement (either just agree, or agree/strongly agree with the alternative statement that "In our country, it's okay to pay a bribe to a government official to encourage them.")
- B) (i) subjects who choose option 1 (Politics are very important to me) in question 8.25 and (ii) subjects who choose option 2 (I follow politics in the media but do not really care about or option 3 (Politics are irrelevant for someone like me) in question 8.25.

For the condition, in which questions on saving and borrowing precedes the Mind Game, we will divide the sample into the following sub-samples:

- A) (i) subjects with above-median income and (ii) subject with below-median income. Information about income will come from section 15 in the KLPS-4 E+ module. For individuals for whom this information is missing, we will use whether they are above or below the median income in the latest available data on income we have for them (i.e. from KLPS-3 or KLPS-2). Table 1 presents how we construct total income for each survey round, which we then split into above and below median by round. Respondents with missing income data will be dropped from the analysis.
- B) (i) subjects who in KLPS-2, KLPS-3, or KLPS-4, reported running their own business (KLPS-4 E+ question 15.2.1, KLPS-3 I Module question 9.2.1, KLPS-2 I Module question 8.2.12) and (ii) subjects who did not (i.e. they always reported to be employed, subsistence farmers or not engaged in economic activities).

As with our main coefficients on the experimental survey manipulations, we will present both "percomparison" p-values and FDR q-values for the main coefficients adjusted for testing each of the six subsamples described here (in other words, for  $\gamma^{religion}$  for the sub-samples where the ethnicity and religion questions precede the Mind Game,  $\gamma^{politics}$  for the sub-samples where politics questions precede the Mind Game, and  $\gamma^{markets}$  for the sub-samples where questions on savings and borrowing precede the Mind Game). When reporting on the interaction between the main coefficient and the sub-sample indicator, we will also present FDR q-values adjusted for these six tests of the differences between sub-samples.

We will also take advantage of the rich KLPS dataset and conduct a more exploratory heterogeneity analysis based on other variables available.

## 3. Secondary Analysis

As a secondary analysis, we look at effects of two additional youth investments: a vocational training voucher program and a cash grant program. The vocational training and cash grant program took place during 2009-2014 and included 2,163 adolescents and young adults ranging from roughly 17 to 28 years of age who applied for vocational education tuition vouchers. Approximately 70% of these individuals were participants of PSDP, and the others were participants in the GSP. A randomly selected half of all training program applicants were awarded a vocational training voucher worth approximately 35,000 Kenyan shillings (about US \$460), an amount sufficient to fully (or almost fully) cover the tuition costs for most public or private vocational education programs in Kenya. Voucher winners attended courses during 2009-2011. In 2013 and 2014, a random half of voucher winners and voucher non-winners were given an unconditional cash grant worth Ksh 20,000 (about US \$230 at the time). In the present analysis, we consider voucher winners as "treated" with respect to the vocational training program if they were randomly selected to receive a grant.

Ongoing research on these programs find evidence of human capital effects for the vocational training intervention, but limited labor market effects. Those receiving the cash grant had short-run gains that appear to have dissipated over time.<sup>6</sup> Given these more limited effects, the channels by which these programs could influence truth-telling are less clear compared to the deworming intervention. Thus, results on the vocational training and cash grant programs may be reported in an appendix, for completeness, or as part of a separate paper.

## 3.1 Sample for analysis

The vocational training and cash grant sample consists of respondents that originally took place in either the PSDP or GSP interventions, and then participated in the vocational training and cash grants study as either part of the treatment or control group. KLPS-4 includes all participants in the vocational training and cash grants study.

### 3.2 Econometric Methodology

The vocational training and cash grant analysis estimates the following equation on the vocational training and cash grant sample of respondents who applied for the vocational training program:

$$Y_{ij} = \alpha + \gamma^{voced} T_{ij}^{voced} + \gamma^{cash} T_{ij}^{cash} + X_{\{ij,0\}} \theta + \epsilon_{ij}, \quad (2)$$

where  $T_{ij}^{voced}$  is equal to one for those assigned to the vocational training program and  $T_{ij}^{cash}$  equals one for those assigned to the cash grant program. We include a vector of controls  $X_{\{ij,0\}}$  that include an indicator for PSDP or GSP program participation, indicators for PSDP and GSP treatment groups, respondent gender and baseline grade, gender of interviewer, and indicators for survey month and wave. We will use survey weights that take into account both the sampling for the KLPS and the two-stage tracking strategy of KLPS-4 data collection.

<sup>&</sup>lt;sup>6</sup> For more details on the vocational training voucher program, see Hicks et al. (2015b); for more details on the cash grant program, see Hicks et al., (2015a). As described in these references, there were two variants of the vocational education voucher, but both are considered treatment here for simplicity.

The main hypotheses tests will be two-sided t-tests on the significance of  $\gamma^{voced}$  and  $\gamma^{cash}$ , the coefficients on the treatment indicators, against null hypotheses of  $\gamma^{voced} = 0$  and  $\gamma^{cash} = 0$ . We will also conduct an F-test on the joint significance of  $\gamma^{voced}$  and  $\gamma^{cash}$ .

We will report both "per-comparison" p-values and FDR q-values adjusting for the fact we are testing two coefficients.

We will estimate effects both overall and by gender. We may also conduct a similar investigation into heterogeneous effects, but consider this an exploratory analysis.

	Survey Round								
Outcome	KLPS-4	KLPS-3	KLPS-2						
Total Income	Sum of agricultural income, self- employment income, and wage income over the last 12 months	Sum of agricultural income, self-employment income, and wage income over the last 12 months	Sum of agricultural income, self-employment income, and wage income over the last 12 months						
Agricultural Income	Total farming profits is equal to the farming profits (15.1.20) of each agricultural activity the respondent performed during the last 12 months given that the respondent was the main decision-maker of this activity (15.1.15) and no other household members worked on this activity over the last 12 months (15.1.25).	Total farming profits is equal to the sum of total sales (9.1.6a) for each agricultural activity the respondent performed during the last 12 months minus total input prices, given that the respondent was the main decision-maker of this activity (9.1.5) and no other household members worked on this activity over the last 7 days (9.1.7). Total input prices are equal to the sum of salaries for workers outside of the household over the last 12 months (9.1.12) plus the total amount spent on tools, machinery and animal medicine for this activity over the last 12 months (9.1.13).	Total farming profits is equal to the sum of total sales (8.1.7a) for reach agricultural activity the respondent performed during the last 12 months minus total input prices, given that the respondent was the main decision-maker of this activity (8.1.6). Total input prices are equal to the total amount spent on tools, machinery and animal medicine for this activity over the last 12 months (8.1.11).						
Self- employment Income	Total self-employment profits is equal to self-employment profits for all current businesses (15.2.25b) plus self- employment profits of previous business within the last 12 months. The total profits of previous businesses are found by multiplying the profit that business received in a typical month (15.2.43) multiplied by the number of months the respondent was self- employed in this business (15.2.37, 15.2.47). This total is summed across all businesses for which the individual was self-employed within the last 12 months.	Total self-employment profits is equal to self- employment profits for all current businesses (9.2.10b) plus self-employment profits of previous businesses within the last 12 months. The total profits of previous businesses are found by multiplying the profit that business received in a typical month (9.2.22) multiplied by the number of months the respondent was self- employed in this business (9.2.18, 9.2.26). This total is summed across all businesses for which the individual was self-employed within the last 12 months.	Total self-employment profits is equal to self- employment profits for all current businesses (8.2.19b) within the last 12 months.						

## Table 1: Constructing Total Income Across KLPS Survey Rounds

Wage Income Total wage earnings is equal to wage earnings for all current jobs plus the wage earnings of all previous jobs held within the last 12 months. This is equal to the sum of the cash salary the individual received in the last 30 days they held this job (15.3.11, 15.3.29)plus the total value of payments in kind in food, NSSF / health insurance, housing benefits, uniforms / clothing benefits, training allowances, and other allowances and benefits for the last 30 days they held this job (15.3.12a-15.3.12f, 15.3.30). This total is multiplied by the number of months the respondent worked in that job for the last 12 months (15.3.6a, 15.3.24, 15.3.25), and then summed across all of the jobs the respondent worked within the last 12 months.

Total wage earnings is equal to wage earnings for all current jobs plus the wage earnings of all previous jobs held within the last 12 months. This is equal to the sum of the cash salary the individual received in the last 30 days they held this job (9.3.11, 9.3.33) plus the total value of payments in kind in food, NSSF / health insurance, housing benefits, uniforms / clothing benefits, training allowances, and other allowances and benefits for the last 30 days they held this job (9.3.12a-9.3.12f, 9.3.34). This total is multiplied by the number of months the respondent worked in that job for the last 12 months (9.3.6a, 9.3.26, 9.3.27), and then summed across all of the jobs the respondent worked within the last 12 months.

Total wage earnings is equal to wage earnings for all current jobs plus the wage earnings of all previous jobs held within the last 12 months. This is equal to the sum of the cash salary the individual received in the last 30 days they held this job (8.3.41, 8.3.59) plus the total value of payments in kind in food, health benefits, housing benefits, uniforms / clothing benefits, training allowances, and other allowances and benefits for the last 30 days they held this job (8.3.42a-8.3.42f, 8.3.60). This total is multiplied by the number of months the respondent worked in that job for the last 12 months (8.3.37a, 8.3.53, 8.3.54), and then summed across all of the jobs the respondent worked within the last 12 months.

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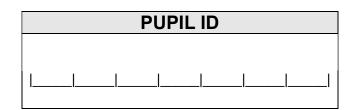
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## APPENDIX

## KENYAN LIFE PANEL SURVEY ROUND 4, WAVE 1 (KLPS4) I MODULE

# **CONTENTS SECTION**

VERSION: SEPTEMBER 27, 2018 - ENGLISH



## **SECTION 5. Family**

*Read:* I'd like to find out a bit more about your parents.

1a. Is your father alive?

(1=Yes, 2=No, 3=DK this person so cannot answer fur If YES or DK, skip to question 2. If "DK this person",	
1b. In which year did he pass away?	(9999=DK)   <u> </u>
2. What was the highest level of education completed b	by your father? Use G6 codes.
<ol> <li>What are / were your father's main occupations? Us Job 1   Other:</li> <li>Job 2   Other:</li> <li>Job 3   Other:</li> </ol>	<b>e G9 codes.</b> (99=DK)
4. What is / was the tribe (or mother tongue) of your fath	er? <b>Use G10 codes.</b>    Other:
5. How many wives (past and present) has your father h	ad? (1= Only one wife)
6a. Is your mother alive? (1=Yes, 2=No, 3=DK this person so cannot answer fur <i>If YES or DK, skip to q.7. If "DK this person", skip to</i> <i>continue.</i>	
6b. In what year did she pass away?	(9999=DK)   <u> </u>
7. What was the highest level of education completed by	your mother? <i>Use G6 codes.</i>
8. What are / were your mother's main occupations? <b>Us</b> Job 1   Other: Job 2   Other: Job 3   Other:	e <b>G9 codes</b> . (99=DK)
9. What is / was the tribe (or mother tongue) of your mot <i>tribe, not simply the tribe of their father. Use G10</i>	
10. Is / was she married to your father? (1=Yes, 2=No— If YES or NO-DIVORCED, AND question 5>1, ask que statement before question 12.	
11. Is / was she your father's first wife? Second? Third	? (1=1 <sup>st</sup> , 2=2 <sup>nd</sup> , etc)
<i>Read:</i> Now I would like to ask a few questions about you	ur grandparents.
12. Is your paternal grandfather alive? (1=Yes, 2=No, 99	=DK)
If YES, continue. If NO or DK, skip to question	n 13.
12a.How old is your paternal grandfather? <i>Pleas</i>	e estimate if don't know

(999=DK)	
13. Is your paternal grandmother alive? (1=Yes, 2=No, 99=DK)	
If YES, continue. If NO or DK, skip to question 14.	
13a. How old is your paternal grandmother? <i>Please estimate if don't know.</i>	
(999=DK)	
14.Is your maternal grandfather alive? (1=Yes, 2=No, 99=DK)	
If YES, continue. If NO or DK, skip to question 15.	
14a. How old is your maternal grandfather? <i>Please estimate if don't know.</i>	
(999=DK)	
15. Is your maternal grandmother alive? (1=Yes, 2=No, 99=DK)	
If YES, continue. If NO or DK, skip to to the Read Statement before question 16	<i>.</i>
15a. How old is your maternal grandmother? <i>Please estimate if don't know</i> .	
(999=DK)	

16. How many living, siblings do you have? 1	16. First Name?	17. What is [name]'s age? Prompt FR to estimate if unsure. (999=DK)	18. What is [name]'s gender? (1=Male, 2=Female)	19. Where does this person live? 19a.Country <i>Use G1</i> <i>codes.</i>	19b. specify other County Use G2a codes. If FR DK county (Will specify 2010 district) 19b. 2010 District? Use G2B codes IF FR DOESN'T KNOW 2010 DISTRICT, BUT DOES KNOW AN EARLIER DISTRICT NAME, WRITE OLD DISTRICT NAME, WRITE OLD DISTRICT NAME AND MAKE A COMMENT BELOW.	20a. Has <b>[name]</b> ever been married? (1=Yes, 2=No, 99=DK)    If Yes, continue, otherwise skip to Q21	20b. At what age did <b>[name]</b> first get married? (999=DK)	21. What is [name]'s highest level of education? Use G6 codes.	22. Please think of all the ways that <b>[sibling</b> <b>name]</b> earns money, and think of their wages, business profits, and agriculture profits. That is, think of the money they receive after paying for any business or agriculture expenses. What was <b>[sibling</b> <b>name]</b> 's total wages and profits in the past 12 months? <i>If unsure, FR</i> <i>can estimate. (999=DK</i> )
	<u> </u>	<u> </u>	<u> </u>						

*Read:* We would like to learn some brief information about your siblings. Please think of all of your living siblings.

2					
3					
4					
5					
6					
7					
8					
9					
10					
11					
12					

## There is no Section 6.1.

## SECTION 6.2 Risk Preferences

**Read:** Please imagine the following situation: You can choose between a sure payment of a particular amount of money, or a draw, where you would have an equal chance of getting 900 shillings or getting nothing. We will present to you five different situations.

4a. What would you prefer: A draw with a 50-percent chance of receiving 900 Ksh and the same 50percent chance of receiving nothing, OR the amount of 240 Ksh as a sure payment? (1=50/50, 2=Sure payment, 99=Don't Know)

## *If "50/50", skip to question 4q. If "Sure payment", continue to 4b.*

4b.What would you prefer: A draw with a 50-percent chance of receiving 900 Ksh and the same 50-percent chance of receiving nothing, OR the amount of 120 Ksh as a sure payment?

	(1=50/50, 2=Sure payment, 99=Don't Know)	

*If "50/50", skip to question 4j. If "sure payment", continue to 4c.* 

4c.What would you prefer: A draw with a 50-percent chance of receiving 900 Ksh and the same 50-percent chance of receiving nothing, OR the amount of 60 Khs as a sure payment? (1=50/50, 2=Sure payment, 99=Don't Know)

## *If "50/50", continue to 4d. If "sure payment", skip to 4g.*

4d.What would you prefer: A draw with a 50-percent chance of receiving 900 Ksh and the same 50-percent chance of receiving nothing, OR the amount of 90 Ksh as a sure payment?

(1=50/50, 2=Sure payment, 99=Don't Know)

## *If "50/50", continue to 4e. If "sure payment", skip to 4f.*

4e.What would you prefer: A draw with a 50-percent chance of receiving 900 Ksh and the same 50-percent chance of receiving nothing, OR the amount of 105 Ksh as a sure payment? (1=50/50, 2=Sure payment, 99=Don't Know)

Skip to Section 6.3.

4f.What would you prefer: A draw with a 50-percent chance of receiving 900 Ksh and the same 50percent chance of receiving nothing, OR the amount of 75 Ksh as a sure payment? (1=50/50, 2=Sure payment, 99=Don't Know)

## Skip to Section 6.3.

4g.What would you prefer: A draw with a 50-percent chance of receiving 900 Ksh and the same 50percent chance of receiving nothing, OR the amount of 30 Ksh as a sure payment? (1=50/50, 2=Sure payment, 99=Don't Know)

*If "50/50", continue to 4h. If "sure payment", skip to question 4i.* 

4h.What would you prefer: A draw with a 50-percent chance of receiving 900 Ksh and the same 50-percent chance of receiving nothing, OR the amount of 45 Ksh as a sure payment?

(1=50/50, 2=Sure payment, 99=Don't Know)

## Skip to Section 6.3.

4i.What would you prefer: A draw with a 50-percent chance of receiving 900 Ksh and percent chance of receiving nothing, OR the amount of 15 Ksh as a sure payment? (1=50/50, 2=Sure payment, 99=Don't Know)	the same 50-
If "50/50", skip to Section 6.3. If "sure payment", skip to question 4ff.	II
4j.What would you prefer: A draw with a 50-percent chance of receiving 900 Ksh and percent chance of receiving nothing, OR the amount of 180 Ksh as a sure payment? (1=50/50, 2=Sure payment, 99=Don't Know)	the same 50-
lf "50/50", skip to question 4n. If "sure payment", continue to 4k.	
4k.What would you prefer: A draw with a 50-percent chance of receiving 900 Ksh and percent chance of receiving nothing, OR the amount of 150 Ksh as a sure payment? (1=50/50, 2=Sure payment, 99=Don't Know)	I the same 50-
If "50/50", skip to question 4m. If "sure payment", continue to 4I.	II
4I.What would you prefer: A draw with a 50-percent chance of receiving 900 Ksh and percent chance of receiving nothing, OR the amount of 135 Ksh as a sure payment? (1=50/50, 2=Sure payment, 99=Don't Know)	the same 50-
Skip to Section 6.3.	II
4m.What would you prefer: A draw with a 50-percent chance of receiving 900 Ksh an percent chance of receiving nothing, OR the amount of 165 Ksh as a sure payment? (1=50/50, 2=Sure payment, 99=Don't Know)	d the same 50-
Skip to Section 6.3.	II
4n.What would you prefer: A draw with a 50-percent chance of receiving 900 Ksh and percent chance of receiving nothing, OR the amount of 210 Ksh as a sure payment? (1=50/50, 2=Sure payment, 99=Don't Know)	the same 50-
If "50/50", continue to 4o. If "sure payment", skip to question 4p.	II
4o.What would you prefer: A draw with a 50-percent chance of receiving 900 Ksh and percent chance of receiving nothing, OR the amount of 225 Ksh as a sure payment? (1=50/50, 2=Sure payment, 99=Don't Know)	the same 50-
Skip to Section 6.3.	II
4p.What would you prefer: A draw with a 50-percent chance of receiving 900 Ksh and percent chance of receiving nothing, OR the amount of 195 Ksh as a sure payment? (1=50/50, 2=Sure payment, 99=Don't Know)	the same 50-
Skip to Section 6.3.	II
4q.What would you prefer: A draw with a 50-percent chance of receiving 900 Ksh and percent chance of receiving nothing, OR the amount of 360 Ksh as a sure payment? (1=50/50, 2=Sure payment, 99=Don't Know)	the same 50-
If "50/50", skip to question 4y. If "sure payment", continue to 4r.	II
Ar What would you profer: A draw with a 50 percent abapta of reaciving 000 Kab and	the energy 50

4r.What would you prefer: A draw with a 50-percent chance of receiving 900 Ksh and the same 50-percent chance of receiving nothing, OR the amount of 300 Ksh as a sure payment?

(1=50/50, 2=Sure payment, 99=Don't Know) If "50/50", skip to question 4v. If "sure payment", continue to 4s.	
4s.What would you prefer: A draw with a 50-percent chance of receiving 900 Ksh and percent chance of receiving nothing, OR the amount of 270 Ksh as a sure payment? (1=50/50, 2=Sure payment, 99=Don't Know)	d the same 50-
If "50/50", continue to 4t. If "sure payment", skip to question 4u.	' <u> </u> '
4t.What would you prefer: A draw with a 50-percent chance of receiving 900 Ksh and percent chance of receiving nothing, OR the amount of 285 Ksh as a sure payment? (1=50/50, 2=Sure payment, 99=Don't Know)	the same 50-
Skip to Section 6.3.	
4u.What would you prefer: A draw with a 50-percent chance of receiving 900 Ksh and percent chance of receiving nothing, OR the amount of 255 Ksh as a sure payment? (1=50/50, 2=Sure payment, 99=Don't Know)	d the same 50-
Skip to Section 6.3.	II
4v.What would you prefer: A draw with a 50-percent chance of receiving 900 Ksh and percent chance of receiving nothing, OR the amount of 330 Ksh as a sure payment? (1=50/50, 2=Sure payment, 99=Don't Know)	d the same 50-
If "50/50", continue to 4w. If "sure payment", skip to 4x.	II
4w.What would you prefer: A draw with a 50-percent chance of receiving 900 Ksh an percent chance of receiving nothing, OR the amount of 345 Ksh as a sure payment? (1=50/50, 2=Sure payment, 99=Don't Know)	d the same 50-
Skip to Section 6.3.	
4x.What would you prefer: A draw with a 50-percent chance of receiving 900 Ksh and percent chance of receiving nothing, OR the amount of 315 Ksh as a sure payment? (1=50/50, 2=Sure payment, 99=Don't Know) <b>Skip to Section 6.3.</b>	d the same 50-
4y.What would you prefer: A draw with a 50-percent chance of receiving 900 Ksh and percent chance of receiving nothing, OR the amount of 420 Ksh as a sure payment? (1=50/50, 2=Sure payment, 99=Don't Know)	d the same 50-
If "50/50", skip to question 4cc. If "sure payment", continue to 4z.	' <b></b> '
4z.What would you prefer: A draw with a 50-percent chance of receiving 900 Ksh and percent chance of receiving nothing, OR the amount of 390 Ksh as a sure payment? (1=50/50, 2=Sure payment, 99=Don't Know)	d the same 50-
<i>If "50/50", continue to 4aa. If "sure payment", skip to question 4bb.</i>	
4aa.What would you prefer: A draw with a 50-percent chance of receiving 900 Ksh at percent chance of receiving nothing, OR the amount of 405 Ksh as a sure payment? (1=50/50, 2=Sure payment, 99=Don't Know) <b>Skip to Section 6.3.</b>	nd the same 50- 

4bb.What would you prefer: A draw with a 50-percent chance of receiving 900 Ksh and the same 50- percent chance of receiving nothing, OR the amount of 375 Ksh as a sure payment? (1=50/50, 2=Sure payment, 99=Don't Know)
Skip to Section 6.3.
4cc.What would you prefer: A draw with a 50-percent chance of receiving 900 Ksh and the same 50- percent chance of receiving nothing, OR the amount of 450 Ksh as a sure payment? (1=50/50, 2=Sure payment, 99=Don't Know)    <i>If "50/50", skip to question 4ee.</i>
If "sure payment", continue to 4dd.
4dd.What would you prefer: A draw with a 50-percent chance of receiving 900 Ksh and the same 50-percent chance of receiving nothing, OR the amount of 435 Ksh as a sure payment?         (1=50/50, 2=Sure payment, 99=Don't Know)         Skip to Section 6.3.
4ee.What would you prefer: A draw with a 50-percent chance of receiving 900 Ksh and the same 50- percent chance of receiving nothing, OR the amount of 465 Ksh as a sure payment? (1=50/50, 2=Sure payment, 99=Don't Know)    <i>Skip to Section 6.3.</i>
4ff.What would you prefer: A draw with a 50-percent chance of receiving 900 Ksh and the same 50-percent chance of receiving nothing, OR the amount of 0 Ksh as a sure payment?         (1=50/50, 2=Sure payment, 99=Don't Know)

## **SECTION 6.3 Social Preferences 2**

*Read:* Imagine the following situation: Today you and another unknown person unexpectedly received an opportunity to get 3,200 shillings each. You can decide between the following two options.

5a. What would you prefer: Each of you gets 3,200 shillings, OR you get 3,150 shillings and the other person gets 1,600 shillings?

(1=Each receives 3,200 Ksh,

2=FR receives 3,150 Ksh and other person receives 1,600 Ksh, 99=DK)

5b. Now, imagine that you know that the other person is from your ancestral home area. What would you prefer: Each of you gets 3,200 shillings, OR you get 3,150 shillings and the other person gets 1,600 shillings?

(1=Each receives 3,200 Ksh,

2=FR receives 3,150 Ksh and other person receives 1,600 Ksh, 99=DK)

5c. Now, imagine instead that you know that the other person is from other parts of Kenya, other than your ancestral home area. What would you prefer: Each of you gets 3,200 shillings, OR you get 3,150 shillings and the other person gets 1,600 shillings?

(1=Each receives 3,200 Ksh,

2=FR receives 3,150 Ksh and other person receives 1,600 Ksh, 99=DK)

**Read:** Please think about what you would do in the following situation. You are in a city you are not familiar with, and you realize you lost your way. You ask a stranger for directions. The stranger offers to walk with you and show you the way to your destination. By helping you the stranger misses an hour of work and thus loses 50 shillings in total. However, the stranger says he or she does not want any money from you. When you arrive to your destination, you can buy a gift for the stranger in a shop.

6a. Do you buy a "thank-you"- gift for the stranger?	(1=Yes, 2=No, 99=DK)	
If "yes", continue. Otherwise, skip to read statement	t before 7a.	
6ai. How much money will you spend on the present?	Ksh	

Read: Imagine the following situation: Today you unexpectedly received 3,200 shillings.

7a. How much of this amount would you donate to	a charity?    Ksh
(Values between 0 and 3,200 are allowed.)	

7b. How much of this amount would you donate to a charity, if you knew it was a charity helping people in your ancestral home area? [\_\_\_\_\_\_ | *Ksh* (Values between 0 and 3,200 are allowed.)

7c. How much of this amount would you donate to a charity, if you knew it was a charity helping people from other parts of Kenya, other than your ancestral home area? [\_\_\_\_\_\_ Ksh (Values between 0 and 3,200 are allowed.

## SECTION 6.4. Time Preferences

**Read:** Suppose you were given the choice between receiving a payment today or a payment in 1 month We will now present to you five situations. The payment today is the same in each of these situations. The payment in 1 month is different in every situation. For each of these situations we would like to know which you would choose. Please assume there is no inflation, i.e., future prices are the same as today's prices.

8a. Please consider the following: Would you rather receive 300 shillings today or 46 month?         (1=Now, 2=In 1 month, 99=DK)         If "now", skip to question 8q.         If "in 1 month", continue to 8b.	61 shillings in 1
8b. Would you rather receive 300 shillings today or 376 shillings in 1 month? (1=Now, 2=In 1 month, 99=DK)	
<i>If "now", skip to question 8j. If "in 1 month", continue to 8c.</i>	
8c. Would you rather receive 300 shillings today or 337 shillings in 1 month? (1=Now, 2=In 1 month, 99=DK)	
If "now", skip to question 8g. If "in 1 month", continue to 8d.	
8d. Would you rather receive 300 shillings today or 318 shillings in 1 month? (1=Now, 2=In 1 month, 99=DK)	1 1
If "now", skip to 8f. If "in 1 month", continue to 8e.	
8e. Would you rather receive 300 shillings today or 309 shillings in 1 month? (1=Now, 2=In 1 month, 99=DK)	
Skip to Section 6.5.	
8f. Would you rather receive 300 shillings today or 328 shillings in 1 month? (1=Now, 2=In 1 month, 99=DK)	
Skip to Section 6.5.	
8g. Would you rather receive 300 shillings today or 356 shillings in 1 month? (1=Now, 2=In 1 month, 99=DK)	1 1
If "now", continue to 8h. If "in 1 month", skip to 8i.	
8h. Would you rather receive 300 shillings today or 366 shillings in 1 month? (1=Now, 2=In 1 month, 99=DK)	1 1
Skip to Section 6.5.	11
8i. Would you rather receive 300 shillings today or 347 shillings in 1 month? (1=Now, 2=In 1 month, 99=DK)	1 1
Skip to Section 6.5.	II
8j. Would you rather receive 300 shillings today or 418 shillings in 1 month? (1=Now, 2=In 1 month, 99=DK)	
If "now", skip to question 8n.	-

If "in 1 month", continue to 8k.

8k. Would you rather receive 300 shillings today or (1=No) (1=No) If "now", skip to question 8m.	397 shillings in 1 month? ow, 2=In 1 month, 99=DK)	
If "in 1 month", continue to 8l.		
8l. Would you rather receive 300 shillings today or 3 (1=No	886 shillings in 1 month? ow, 2=In 1 month, 99=DK)	
Skip to Section 6.5.	, , , , , , , , , , <u>, , , , , , , , , </u>	
	· 407 shillings in 1 month? ow, 2=In 1 month, 99=DK)	
Skip to Section 6.5.		
8n. Would you rather receive 300 shillings today or (1=No	439 shillings in 1 month? ow, 2=In 1 month, 99=DK)	
<i>If "now", skip to question 8p. If "in 1 month", continue to 8o.</i>		
8o. Would you rather receive 300 shillings today or (1=No	428 shillings in 1 month? ow, 2=In 1 month, 99=DK)	
Skip to Section 6.5.	· · · ·	
8p. Would you rather receive 300 shillings today or (1=No	450 shillings in 1 month? ow, 2=In 1 month, 99=DK)	
Skip to Section 6.5.		
	555 shillings in 1 month? ow, 2=In 1 month, 99=DK)	
<i>If "now", continue to 8r. If "in 1 month", skip to question 8y.</i>		
8r. Would you rather receive 300 shillings today or 6 (1=No	605 shillings in 1 month? ow, 2=In 1 month, 99=DK)	
If "now", skip to question 8v. If "in 1 month", continue to 8s.		
8s. Would you rather receive 300 shillings today or (1=No	580 shillings in 1 month? ow, 2=In 1 month, 99=DK)	
If "now", continue to 8t. If "in 1 month", skip to question 8u.	, , , , , , , , <u>, , , , , , , , , , , </u>	
8t. Would you rather receive 300 shillings today or 5 (1=No	592 shillings in 1 month? ow, 2=In 1 month, 99=DK)	
Skip to Section 6.5.	· · · , _ · · · · · · · · · · · · · · ·	
8u. Would you rather receive 300 shillings today or (1=No	567 shillings in 1 month? ow, 2=In 1 month, 99=DK)	
Skip to Section 6.5.	,	
	631 shillings in 1 month? ow, 2=In 1 month, 99=DK)	
If "now", continue to 8w. If "in 1 month", skip to question 8x.		

8w. Would you rather receive 300 shillings today or 644 shillings in 1 month? (1=Now, 2=In 1 month, 99=DK)	
If "now", skip to 8ff. If "in 1 month", skip to Section 6.5.	II
8x. Would you rather receive 300 shillings today or 618 shillings in 1 month? (1=Now, 2=In 1 month, 99=DK)	1 1
Skip to Section 6.5.	
8y. Would you rather receive 300 shillings today or 507 shillings in 1 month? (1=Now, 2=In 1 month, 99=DK)	
If "now", skip to question 8cc. If "in 1 month", continue to 8z.	
8z. Would you rather receive 300 shillings today or 484 shillings in 1 month? (1=Now, 2=In 1 month, 99=DK)	1 1
If "now", skip to question 8bb. If "in 1 month", continue to 8aa.	·
8aa. Would you rather receive 300 shillings today or 473 shillings in 1 month? (1=Now, 2=In 1 month, 99=DK)	1 1
Skip to Section 6.5.	
8bb. Would you rather receive 300 shillings today or 495 shillings in 1 month? (1=Now, 2=In 1 month, 99=DK)	
Skip to Section 6.5.	
8cc. Would you rather receive 300 shillings today or 531 shillings in 1 month? (1=Now, 2=In 1 month, 99=DK)	1 1
If "now", skip to question 8ee. If "in 1 month", continue to 8dd.	11
8dd. Would you rather receive 300 shillings today or 519 shillings in 1 month? (1=Now, 2=In 1 month, 99=DK)	1 1
Skip to Section 6.5.	II
8ee. Would you rather receive 300 shillings today or 543 shillings in 1 month? (1=Now, 2=In 1 month, 99=DK)	1 1
Skip to Section 6.5.	·
8ff. Would you rather receive 10 shillings today or 644 shillings in 1 month? (1=Now, 2=In 1 month, 99=DK)	

## SECTION 6.5. Ambiguity

*Read*: Imagine you are going to play a game where you draw a ball out of a bag without looking. We have two bags, with 10 balls each.

## Show visual aid to help clarify the choice.

*Read:* Look at this diagram: In bag 1, out of 10 balls there are 4 red balls and 6 yellow balls. In bag 2, there are also 10 balls, but the number of red and yellow balls is unknown.

You can choose a bag from which you want to draw the ball.

If you choose bag 1, to win 50 shillings you need to draw a red ball. If you choose bag 2, to win 50 shillings you need to decide a color and draw a ball of that color.

9. Which bag would you like to choose from? (1 = Bag 1, 2 = Bag 2)

Read: We will now move on to the next section of the survey.

## SECTION 10: Coin Game

0a. (Do not read) Is this survey being administered on tablet or on paper? (1=Tablet, 2=Paper)   If 1, continue. If 2, skip to next section.	
<b>0b. (Do not read)</b> Is the respondent able to receive money via M-Pesa? (1=Yes, 2=No)	
RANDOMIZATION	
1. (Do not read) Please record result of SurveyCTO randomization here (1=Variant 1, 2=Variant 2)	II
If 1, then follow the instructions for Variant 1.	

- , . . . . . . . . . . . . . . .
- If 2, then follow the instructions for Variant 2.

**Read (Variant 1 AND Variant 2):** Now you have an opportunity to win some money. On the computer screen there are five 40-shilling coins. **Show the respondent the tablet with the coins.** 

Each of them is displaying one of the two sides - either "heads" or "tails", but at this point we do not know which coin displays which side, it is hidden. I will reveal that to you in a moment, by moving to the next screen.

Before that, I will ask you to choose in your mind whether "heads" or "tails" is the winning side. When the coins are revealed, for each coin that displays the side which you have chosen as the winning side you will receive 40 shillings.

All: Are you ready we continue? (1=Yes, 2=No)

If "Yes", continue. If "No", skip to the next section.

(If respondent able to receive money via M-Pesa, read): The money will be paid to you by M-PESA.

(If respondent is NOT able to receive money via M-Pesa, read): The money will be paid to you by another staff member

*If Variant 1*: Now please make your choice of the winning side in your mind. Don't tell me what you have chosen, just indicate that you made your choice by saying "We can proceed".

If Variant 2: Take out the paper pouch, and remove the coin in front of the respondent, and then hand the coin to the respondent. Then read: Now please make your choice of the winning side in your mind. Don't tell me what you have chosen, just keep this coin in your fist with your chosen winning side facing up. Don't show me what you have chosen, this is just for you to remember. I will turn away while you make your choice. When you are done, please indicate that you made your choice by saying "We can proceed". Did you understand everything? If FR says YES to this question, then move to the next statement. If FR says NO to this question then go over the procedure again.

All: If the respondent by mistake says/shows what her/his choice is, say: All right, but you should keep your winning side only in your mind, don't tell me what your choice is. So please now make the choice again, it can be the same or a different one.

Are you comfortable to proceed?

## All: Swipe to the next slide to reveal the coins. The computer displays a random draw from the following six options: 0 tails, 1 tails, 2 tails, 3 tails, 4 tails, 5 tails.

Variant 2 only: Now please do not show me the coin in your hand, just check it for yourself to make sure which side you have chosen as the winning one, and put it back to this bag. I will turn away while you check it. Tell me when you are done. Give the FR the Brown A5 envelope, and then turn away.

*All:* You can see that there are **[number of heads showing]** "heads" and **[number of tails showing]** "tails". You win **[number of heads x ksh 40]** shillings if you chose "heads" as the winning side, and **[number of tails x ksh 40]** shillings if you chose "tails" as the winning side.

2a. Which side did you choose as the winning side?	(1=Heads, 2=Tails)
2b. How many "heads" are showing on the screen?	(0-5)
3. How many shillings does the FR win? (0, 40, 80, 120, 160, 200,	) []
If FR won 0 shillings read: I'm sorry, you did not win this time. We w	will now move on to the next section of the survey.
Skip to next section.	

If FR won more than 0 shillings, read: Congratulations, you won [amount from question 3] shillings! (If respondent can receive money by M-Pesa, read): You will receive this by You will receive this by M-Pesa within the next 5 business days (If respondent is NOT able to receive money by M-Pesa, read): If you reported at the beginning of the survey that you cannot receive funds by M-Pesa then you will receive this amount in cash at the end of the survey.

We will now move on to the next section of the survey.

## **SECTION 7. Ethnicity and Religion**

Read: Now I'd like to ask you some questions about your religion.

1. Do not ask the following question to the FR. What year was the last KLPS Ethnicity and Religion when we interviewed this respondent? This information can be found in the OTHER INFO section of the TRACKING SHEET. |\_| ||| Use this "year of last ethnicity and religion interview" to ask the following questions.

2. What was your religion or denomination in January [year of last ethnicity and religion interview]? Use G11 codes |\_\_\_| Other:

3. Since January **[year of last ethnicity and religion interview]**, have you changed your religion or denomination? (1=Yes, 2=No) **[\_\_\_]** If YES, fill in table starting at question 4 starting with the January **[year of last ethnicity and religion interview]** religion. If NO and question 2 = 21, skip to question 14. ELSE, skip to question 8.

		Religion A	Religion B	Religion C
4. To what religion or denomination did you change	Use			
immediately after []? Start with January [year of	G11	Other:	Other:	Other:
last interview] religion.	codes	Outer:	Other:	
5. In about what month and year did you change from	MM/			
[] to []?	YYYY	/	/	/
6. Why did you change your religion or denomination? <i>List</i>	Use R1	/  /	/  /	/ _ / _
up to 3.	codes	Other:	Other:	Other:
7. After this religion or denomination, did you change to	1=Yes,			
another religion or denomination? If YES, continue to	2=No			
next column. If NO, go to question 8.	2-NU			

<ol> <li>Is your religion somewhat important, very important or not very important to your life? (1=Very important; 2=Somewhat important; 3=Not very important)</li> </ol>	
9. Do you attend church / mosque regularly? (1=Yes, 2=No)	
10. Did you attend church / mosque last week? (1=Yes, 2=No)	
<ul> <li>11a. In the past 30 days, what is the value of cash or goods you donated to your church / mosque? This should include any donations to the church coffer, for a church event, or to any church leadership, but should not include gifts to non-leadership members of the church. (66=Refuses to answer)</li> <li>11b. List currency if not Ksh. Use G12 codes.</li> </ul>	
12. In the past 30 days, how many hours of time, outside of regular worship or bible study, have you donated to working for, helping or organizing in your church / mosque?	hours

13. In the last 12 months, would you say you've become more religious, stayed the same or become less religious?	1 1
(1=More religious, 2=Same, 3=Less religious, 99=DK)	II

14. What is your tribe (or mother tongue)? Use G10 codes. Female respondents should NOT give the tribe of their husband. If FR is LUHYA, press for subtribe.

15. Is your ethnic or tribal origin somewhat important, very important or not very important to your life? *Probe FR to think about this and choose. Try not to use 99=DK.* (1=Very important, 2=Somewhat important, 3=Not very important)

16. If you had to say only one, what is more important, your tribe or your nationality (i.e., Kenyan / Ugandan)? *Probe FR to think about this and choose. Try not to use 99=DK.* (1=tribe, 2=nationality)

## If respondent is currently Christian, continue. Otherwise, skip to Section 8 (Community Groups, Social Capital and Political Attitudes).

17. In the past 12 months, have you served as a pastor?

(1=Yes, 2=No, 66=Refuses to answer, 99=DK)

18. How often do you speak or pray in tongues? Would you say every day, more than once a week, once a week, at least once a month, several times a year, less often, or never?

1= every day 2=more than once a week 3=once a week 4=at least once a month 5=several times a year 6=less often 7=never 66=Refuses to answer 99=DK

19. When you attend religious services, how often do they include people speaking in tongues, prophesying, praying for miraculous or divine healings, or displaying physical signs of the spirit such as laughing and shaking? Would you say always, frequently, occasionally, or never? (1=Always, 2=Frequently, 3=Occasionally, 4=Never, 66=Refuses to answer, 99=DK)

<i>Read:</i> Have you ever:	
19a. Experienced or witnessed a divine healing of	of an illness or injury?
(1=	Yes, 2=No, 66=Refuses to answer, 99=DK)
19b. Given or interpreted prophecy?	
(1=	Yes, 2=No, 66=Refuses to answer, 99=DK)
19c. Experienced or witnessed the devil or evil sp	pirits being driven out of a person?
(1=	Yes, 2=No, 66=Refuses to answer, 99=DK)
	stly agree, mostly disagree or completely disagree
with the following statements.	
20. God will grant material prosperity to all bel	ievers who have enough faith.
(1=Agree completely, 2=Mostly agree, 3=	Mostly disagree, 4=Completeley disagree,
66=Refuses to answer, 99=DK)	

- 21. God will grant good health and relief from sickness to believers who have enough faith. [\_\_\_] (1=Agree completely, 2=Mostly agree, 3=Mostly disagree, 4=Completeley disagree, 66=Refuses to answer, 99=DK)
- 22. Traditional religious beliefs have spiritual power. |\_\_\_| (1=Agree completely, 2=Mostly agree, 3=Mostly disagree, 4=Completeley disagree, 66=Refuses to answer, 99=DK)
- 23. Have you ever experienced or witnessed the spiritual power of traditional religious beliefs? (1=Yes, 2=No, 66=Refuses to answer, 99=DK) |\_\_\_|

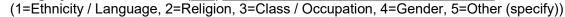
24. Are you saved? (1=Yes, 2=No, 66=Refuses to answer, 99=DK)

25. Do you believe in afterlife? (1=Yes, 2=No, 66=Refuses to answer, 99=DK) |\_\_\_|

## SECTION 8. Community Groups, Social Capital and Political Attitudes

Now, I would like to ask you about your social activities and your views on society.

We have spoken to many people and they have all described themselves in different ways. Some people describe themselves in terms of their language, religion, race, gender, and others describe themselves in economic terms, such as working class, middle class, or a farmer. Besides being a Kenyan (Ugandan), which specific group do you feel you belong to first and foremost? Do not read responses aloud. Simply allow FR to respond and code response according to which category it fits into.



2. Are you a member of any of the following groups? (1=Yes, 2=No) a. a women's/men's group? b. a farmer / agricultural group? c. a youth group? d. a water group / well committee? e. a religious study group? f. a burial committee? g. a school committee or club? h. a sports team? i. another community group? | If YES: Describe: 3. In the past 12 months, have you donated time to any charity or community group? (1=Yes. 2=No) If "Yes", continue. Otherwise, skip to guestion 4. 3a. What is the total amount of time you have donated in the past 12 month? 3ai. Units (1=days, 2=hours, 3=other (specify)) | | Other 3aii. Amount | |

**Read:** Now I would like to ask you some questions about trusting other people

	Codes	Answer
4. Generally speaking, would you say that most people can be trusted or that you need to be very careful in dealing with people?	1=Most people can be trusted 2=Need to be careful 99=DK	II
5. In general, can you trust members of your tribe?	1=Yes, 2=No, 99=DK	
6. In general, can you trust people of other tribes?	1=Yes, 2=No, 99=DK	
7. In general, can you trust people of your church / mosque? If 88, skip to question 9.	1=Yes, 2=No, 99=DK, 88=Doesn't belong to a church / mosque	
8. In general, can you trust people of other churches / mosques?	1=Yes, 2=No, 99=DK	<u> </u>

9. In the past 7 days, how many days did you listen to the radio?	(0 to 7)	
10. In the past 7 days, how many days did you read the newspaper?	(0 to 7)	

11. In the past 7 days, how many days did you watch television? (0 to 7)	
12. In the past 7 days, how many days did you use the Internet? (0 to 7) <i>If ZERO, skip to question 14.</i>	II
13. In the past 7 days, how many days did you read a news source on the Internet?	? (0 to 7)   <u> </u>
14. What newspaper do you prefer? Choose one. ( <b>Do NOT read responses alou</b> 1=Taifa Leo, 2=The Daily Nation, 3=The East African Standard, 4=The Kenya Standard, 6=The Star Newspaper, 7= The Nairobian Newsapaper, 8= Other)	
<ul> <li>15. In the past 12 months, have you participated in any (1=Yes, 2=No)</li> <li>c. discussions with friends or family about political issues</li> <li>a. political rallies (in support of a particular candidate or position)</li> <li>b. demonstrations, mass actions or protests</li> <li>d. political campaigning activities</li> </ul>	
<ul> <li>16. In 2017, did you participate in any (1=Yes, 2=Non- c. discussions with friends or family about political issues a. political rallies (in support of a particular candidate or position) b. demonstrations, mass actions or protests d. political campaigning activities</li> </ul>	lo)       
17a. Did you vote in the presidential election in August, 2017? (1=Yes, 2=No, 99=D	K)
IF NO: Why not?    1= Did not have ID card with me at the time (but does own an ID card); 2= Was not near registered voting location at the time; 3= Was sick; 4= Was afraid; 5= Was not interested in voting; 7=Did not own an ID card 6= Other (specify)	
17b. Did you feel worried or afraid in the weeks surrounding the presidential election 2017?	in August
(1=Yes, 2=No	, 99=DK)
In this box: 1= Correct answer, 2= Incorrect Answer / No 18. Please name the current Deputy President of Kenya for me. DO NOT READ: Correct answer is WILLIAM RUTO. "RUTO" is ok.	II
<ol> <li>Please name Kenya's current Cabinet Secretary of Education for me. DO NOT READ: Correct answer is GEORGE ALBERT OMORE MAGOHA /Magoha" is ok.</li> </ol>	. "George
20. Please name Kenya's current Cabinet Secretary of Health for me.	

DO NOT READ: Correct answer is Sicily Mbarire Kariuki. "Sicily/Mbarire" is ok.

- 21. Please name the current President of Uganda for me. [\_\_\_] DO NOT READ: Correct answer is YOWERI KAGUTA MUSEVENI. "MUSEVENI" is ok.
- 22. Please name the current President of Tanzania for me. DO NOT READ: Correct answer is JOHN MAGUFULI. "MAGUFULI" is ok.

23. Please name the current President of the United States of America for me. DO NOT READ: Correct answer is DONALD TRUMP. "TRUMP" is ok.

# *If conducting survey on paper, read version 23a. Otherwise, use version randomly selected by the tablet.*

#### In this box: 1= Correct answer, 2= Incorrect Answer / No

23a) "Please name who was the Vice President of Kenya (what is now called Deputy President) in the year [year of KLPS-2 interview]?

DO NOT READ: Correct answer is MOODY AWORI IF [YEAR OF KLPS-2 INTERVIEW=2007], Correct answer is MOODY AWORI/KALONZO MUSYOKA IF [YEAR OF KLPS-2 INTERVIEW=2007/2008],

Correct answer is KALONZO MUSYOKA IF [YEAR OF KLPS-2 INTERVIEW=2008/2009]

#### IF PAPER SURVEY, SKIP TO Question 24

23b) "Please name who was the Vice President of Kenya (what is now called Deputy President) in the year [year of KLPS-2 interview]? If you do correctly recall the name, we will later send you 20 KES via MPESA.

## DO NOT READ: Correct answer is MOODY AWORI IF [YEAR OF KLPS-2 INTERVIEW=2007], Correct answer is MOODY AWORI/KALONZO MUSYOKA IF [YEAR OF KLPS-2 INTERVIEW=2007/2008],

Correct answer is KALONZO MUSYOKA IF [YEAR OF KLPS-2 INTERVIEW=2008/2009]

23c) "Please name who was the Vice President of Kenya (what is now called Deputy President) in the year [year of KLPS-2 interview]? If you do correctly recall the name, we will later send you 40 KES via MPESA

#### DO NOT READ: Correct answer is MOODY AWORI IF [YEAR OF KLPS-2 INTERVIEW=2007], Correct answer is MOODY AWORI/KALONZO MUSYOKA IF [YEAR OF KLPS-2 INTERVIEW=2007/2008].

Correct answer is KALONZO MUSYOKA IF [YEAR OF KLPS-2 INTERVIEW=2008/2009]

<ul> <li>24. Which of these three statements is closest to your own opinion?</li> <li><i>Read statements aloud. Only one option should be chosen.</i></li> <li>1 = Democracy is preferable to any other kind of government.</li> <li>2 = In some circumstances, a non-democratic government can be preferable.</li> <li>3 = For someone like me, it doesn't matter what kind of government we have.</li> </ul>	
<ul> <li>25. Which of these three statements is closest to your own opinion?</li> <li><i>Read statements aloud. Only one option should be chosen.</i></li> <li>1 = Politics are very important to me.</li> <li>2 = I follow politics in the media but do not really care about it.</li> <li>3 = Politics are irrelevant for someone like me .</li> </ul>	I
26. Overall, how satisfied are you with the way democracy works in Kenya? Are you: <i>Read statements aloud. Only one option should be chosen.</i>	

1=Very satisfied 2=Fairly satisfied 3=Not very satisfied 4=Not at all satisfied **DO NOT READ** 8=Kenya is not a real democracy **DO NOT READ** 99=DK

**Read:** For the next several questions, you will have the following response options: Strongly agree, Agree, Neither agree or disagree, Disagree, Strongly disagree. Please keep these in mind as we go through the next few questions. I will read a statement, and afterwards you may tell me if you agree or disagree.

- This world is run by a few people in power, and there is not much that someone like me can do about it. *Probe:* Do you agree / disagree very strongly? (1=Strongly agree, 2=Agree, 3=Neither agree or disagree, 4=Disagree, 5=Strongly disagree, 99=DK) |\_\_\_|
- 28. We should choose our leaders in this country through regular, open and honest elections. Probe: Do you agree / disagree very strongly? (1=Strongly agree, 2=Agree, 3=Neither agree or disagree, 4=Disagree, 5=Strongly disagree, 99=DK) |\_\_\_|
- 29. People like me cannot get justice in this country. *Probe:* Do you agree / disagree very strongly? (1=Strongly agree, 2=Agree, 3=Neither agree or disagree, 4=Disagree, 5=Strongly disagree, 99=DK) |\_\_\_|
- 30. It is okay for a woman to be a mechanic. *Probe:* Do you agree / disagree very strongly? (1=Strongly agree, 2=Agree, 3=Neither agree or disagree, 4=Disagree, 5=Strongly disagree, 99=DK)
- The important decisions in the family should be made by the men of the family. *Probe:* Do you agree / disagree very strongly? (1=Strongly agree, 2=Agree, 3=Neither agree or disagree, 4=Disagree, 5=Strongly disagree, 99=DK) |\_\_\_|
- 32. If the wife is working outside the home, then the husband should help her with household chores. *Probe:* Do you agree / disagree very strongly? (1=Strongly agree, 2=Agree, 3=Neither agree or disagree, 4=Disagree, 5=Strongly disagree, 99=DK) |\_\_\_|
- 33. The national government should take measures to reduce differences in income levels in Kenya. (1=Strongly agree, 2=Agree, 3=Neither agree or disagree, 4=Disagree, 5=Strongly disagree, 99=DK)
- 34. Local leaders (chiefs, assistant chiefs, and village elders) should take measures to reduce differences in income levels within the village. (1=Strongly agree, 2=Agree, 3=Neither agree or disagree, 4=Disagree, 5=Strongly disagree, 99=DK)
- 35. Compared to the economy two years ago, would you say that Kenya's current economy is much the same, better or worse? (1=Better, 2=Same, 3=Worse, 66=Refuses, 99=DK) |\_\_\_|
- 36. Compared to the quality of government of Kenya two years ago, would you say that Kenya's current quality of government is much the same, better or worse in quality?
   (1=Better, 2=Same, 3=Worse, 66=Refuses to respond, 99=DK or no opinion)
- 37. Compared to two years ago, would you say that your own personal economic situation today is much the same, better, or worse? (1=Better, 2=Same, 3=Worse, 66=Refuses, 99=DK)

- 38. In two years from now, do you think that Kenya's economy will be much the same, better than, or worse than today's economy? (1=Better, 2=Same, 3=Worse, 66=Refuses, 99=DK)
- 39. In two years from now, do you think that Kenya's quality of government will be much the same, better than, or worse than today's quality of government? (1=Better, 2=Same, 3=Worse, 66=Refuses to respond, 99=DK or no opinion) |\_\_\_|
- 40. In two years from now, do you think your own personal economic situation will be the same, better or worse?

(1=Better, 2=Same, 3=Worse, 66=Refuses to respond, 99=DK or no opinion) |\_\_\_|

*Read:* For each of the following pairs of statements, tell me which of the following statements is closest to your view about Kenyan politics? Choose Statement A or Statement B.

41. A. Politics and government are sometimes so complicated that you can't really understand what's going on.		
B. I do not have problems understanding politics and government.		
42. A. Only one political party should be allowed to stand for election and hold office.		
B. We need multiple parties who can stand for election and hold office.	<b>Probe:</b> Do you	
43. A. The use of violence is never justified in politics.	agree very strongly?	
B. In our country, it is sometimes necessary to use violence in support of a just cause.		
44. A. In our country, it's okay to pay a bribe to a government official to	1 = Agree very strongly with A	
encourage them.		
<ul><li>B. It's wrong to pay a bribe to any government official.</li><li>45. A. As citizens, we should be more active in questioning the actions of</li></ul>	2 = Agree with A	
our leaders.	3 = Agree with B	
B. In our country these days, we should show more respect for		II
authority. 46. A. Women can be good politicians and should be encouraged to stand	4 = Agree very	
in elections.	strongly with B	1 1
B. Women should stay at home to take care of their children.	DO NOT READ	·
47. A. Women have always been subject to traditional laws and customs,	<b>Option 5</b>	
and should remain so. B. In our country, women should have equal rights and receive the	5 = Agree with	
same treatment as men do.	neither	
48. A. A married man has a right to beat his wife if she misbehaves.	99 = Don't know	1 1
B. No one has the right to use physical violence against anyone else.		II
49. A. All households in a community should pay equal amounts for items that benefit the whole community.		
B. Households that are able to pay more should pay more for goods		
that benefit the whole community.		

*Read:* Remember that this survey is confidential and that the information will be used for research purposes only. *Ensure the FR's privacy for the following questions.* 

50. Have you ever been arrested? (1=Yes, 2=No)	
If YES, continue. If NO, skip to Section 9.	

51. Have you ever been imprisoned? (1=Yes, 2=No)

## **SECTION 9. Savings and Credit**

1.	Do you have a savings account in a bank?	(1=Yes, 2=No, 99 = DK)	
	Do you participate in a SACCO? YES, continue to question 2a. If NO, skip to que	(1=Yes, 2=No, 99 = DK) estion 3.	
	2a. What was <u>your</u> SACCO contribution last mor Amount:    Currency if NOT Ksh <i>(</i>	th? <b>use G12 codes)</b>    Other:	
	Do you participate in a merry-go-round or ROSC YES, continue to question 3a. If NO, skip to qu		
	3a. How many different merry-go-rounds or F	OSCAs do you participate in?	
	3b. What is the total amount in shillings of me made last month? Ksh    Currency if NOT Ksh <b>(use G12 codes)</b>  _		t you
4.	In the past 12 months, have you taken any loans including a mobile service such as Tala, Branch, Shwari?		
1 <b>F</b> \	YES, continue to Question 4a. If NO, skip to qu		o 211)
11 1	rES, continue to Question 4a. If NO, skip to qu	estion 41.	
	4a. What is the total amount of loans you too in the past 12 months? Amount:    Currency if NOT Ksh <i>(u</i>		
	4b. What was the purpose of those loans? <b>U</b>	se T1 codes. List all that apply.      Other:	
	<ul> <li>4c. How much interest was charged on the m bank or commercial lender? (Units: 1=K Units of time: 1=Day; 2=Week; 3=Month; <i>If no interest charged, fill in all three b</i> Unit   </li> </ul>	sh, 2=Rate / percent, 3=Other (specify), 4=Year; 88=Flat rate)	
	4d. By when are/were you supposed to pay b date is given, fill in "77/7777".	back the loan?(MM/YYYY) <i>If no spec</i> _//	ific
	4e. Are you currently in default on this loan?	(1=Yes, 2=No, 99 =DK)	
	4f. In the past 12 months, did you apply for a lender including a mobile service such as get it? (1=Yes, 2=Net)	Tala, Branch, KCB-Mpesa or M-Shwari	
5.	In the past 12 months, have you taken any loans	from a shylock (moneylender)? (1=Yes, 2=No, 99 = DK)	1 1
lf \	YES, continue to question 5a. If NO, skip to qu		II
	5a. What is the total amount of loans you too	k from shylocks (moneylenders) in the pa	ast ?

Amount: |\_\_\_\_| Currency if NOT Ksh (use G12 codes) |\_\_\_| Other: \_\_\_\_\_

5b. What was the purpose of those loans? Use T1 codes. List all that apply. | || || |Other: 5c. How much interest was charged on the most recent loan you took from a shylock (moneylender)? (Units: 1=Ksh, 2=Rate / percent, 3=Other (specify), 4=Ush; Units of time: 1=Day; 2=Week; 3=Month; 4=Year; 88=Flat rate) If no interest charged, fill in all three blanks with 88. Unit | | Number: | | per unit of time: | | 5d. By when are/were you supposed to pay back the loan? (MM/YYYY) If no specific date is given, fill in "77/7777". | || |/| || || || 5e. Did you ask for a (another) loan from a shylock (moneylender) in the past 12 months but not get it? (1=Yes, 2=No, 99 = DK) 6. In the past 12 months, have you ever borrowed money from someone else outside your household? By borrowing, I mean that you have received money that you had to repay or will (1=Yes, 2=No, 99 = DK) have to repay at some point in the future. If YES, continue to question 6a. If NO, skip to question 6f. 6a. What is your relationship to those people? Use G4 codes. List up to 3 relationships, starting with the relationship of the person FR borrowed the most from. If the FR has borrowed money from more than 3 people outside the household, make a comment at the bottom of this page with the total number of individuals borrowed from. Choose codes such that, for instance, if the sender is the FR's mother, you select the code for "mother". | || || |Other: 6b. What is the total amount you borrowed from friends or from relatives outside your household in the past 12 months? Amount: | | Currency if NOT Ksh (use G12 codes) | | Other: 6c. What was the purpose of that borrowing? Use T1 codes. List all that apply. | || || |Other: 6d. How much interest was charged on the most recent loan you took from someone else outside your household? (Units: 1=Ksh, 2=Rate / percent, 3=Other (specify), 4=Ush; Units of time: 1=Day; 2=Week; 3=Month; 4=Year; 88=Flat rate) If no interest charged, fill in all three blanks with 88. Unit | | Number: | | per unit of time: | | 6e. By when are/were you supposed to pay back the loan? (MM/YYYY) If no specific date given, fill in "77/7777". | || |/| || || || 6f. Did you ask for a (another) loan from someone else outside your household in the past 12 months but not get it? (1=Yes, 2=No, 99 = DK) 1 1 7. In the past 12 months, have you ever lent money to someone outside your household? By lending, I mean that you gave money to someone that you have received back or are expecting to receive back at some point in the future. (1=Yes, 2=No, 99 = DK) | | If YES, continue to questions 7a. If NO, skip to question 7e.

7a. What is your relationship to those people? Us	se G4 Codes. List up to 3 relationships,
starting with relationship of person FR ler	nt the most money to. If the FR has lent
money to more than 3 people outside the	household, please make a comment at
the bottom of this page with the total num	ber of people lent to. Choose codes
such that, for instance, if the sender is the	e FR's mother, you select the code for
"mother".	Other:

- 7b. What is the total amount you lent to people outside your household in the past 12 months? Amount: |\_\_\_\_| Currency if NOT Ksh (use G12 codes) |\_\_\_| Other: \_\_\_\_\_
- 7c. How much interest did you charge on the most recent loan you gave to someone outside your household? (Units: 1=Ksh, 2=Rate / percent, 3=Other (specify), 4=Ush; Units of time: 1=Day; 2=Week; 3=Month; 4=Year; 88=Flat rate) *If no interest charged, fill in all three blanks with 88.*Unit \_\_\_\_\_ Number: \_\_\_\_\_ per unit of time: \_\_\_\_\_
- 7d. By when is / was this person supposed to pay back the loan? (MM/YYYY) *If no specific date given, fill in "77/777".*
- 7e. Did someone ask for a loan in the past 12 months but you did not give it? (1=Yes, 2=No, 99 = DK)

**Read:** Now I would like to ask you about some mobile money and credit services.

- 8a. Have you used any mobile money services (such as M-Pesa, Airtel Money, Orange Money, T-cash) in the past 12 months? (1=Yes, 2=No, 99=DK) | |
- 8b. Have you used any mobile credit services (such as M-Shwari, KCB-Mpesa, MTN Banking) in the past 12 months? *By mobile credit, we mean services that allow for receiving loans via your mobile phone.* (1=Yes, 2=No, 99=DK) |\_\_\_\_|

#### There is no question 9.

10. Now I would like to ask you about some airtime sharing services. Have you ever heard of any of the following sharing services: Sambaza, Me2U, Easy Share, yu Share Airtime, UTL's Share Airtime, or Balance Share? (1=Yes, 2=No, 99=DK)

10a. Have you ever used any of these services? (1=Yes, 2=No, 99=DK)

11. In 4 years, what do you think your total annual income will be, including earnings from wage jobs, business profits, and farming profits? (7777=Refuses to answer, 9999=DK)

Amount: |\_\_\_\_ | Currency if NOT Ksh (use G12 codes) |\_\_\_ | Other: \_\_\_\_\_

12. Now I would like to ask you about your past expectations. Four years ago, what did you think your annual income would be today? Include earnings from any wage jobs, business profits, and farming profits. (7777=Refuses to answer, 9999=DK)

Amount: |\_\_\_\_| Currency if NOT Ksh (use G12 codes) |\_\_\_| Other: \_\_\_\_\_

## SECTION 11. Competencies

## 0. Is the respondent physically disabled and unable to read, hear, and write?

(1=Yes, 2=No) |\_

## If "Yes", skip to Section 12. Otherwise, continue.

# *Materials Needed: Stopwatch, paper, pencil, and sheet of paper with printed instructions for scenario B (farming scenario).*

**Read:** Now I am going to ask you a series of questions that test your abilities in a variety of areas, including following instructions, mathematics skills, and reading and listening comprehension. We will do a few story problems, where I explain various scenarios to you and ask for your answers.

## Give the respondent a pencil and the answer sheet face down to be used as scratch paper.

**Read:** You can use this page as scratch paper – please do not flip it over. I want you to imagine that you are an entrepreneur running a business. For the purpose of this exercise, I am going to provide you with different scenarios, and wish you to give me the correct change under each scenario. If needed, you may ask me to repeat information, but please work as quickly as you can. Although we will be timing you, the most important factor is trying to get the correct answer, so take the time you need to try to answer the question correctly.

## Scenario A: Making Change

# Start the timer and then begin to read the question aloud. Read this part exactly as it is here, pausing for a moment after each sentence.

Assume I am a customer coming to your stall. I buy 3 tomatoes, which cost 10 shillings each. I buy 2 bunches of onions, which cost 10 shillings each. I also buy a box of matches that costs 5 shillings. I give you a 200 shilling note. Please make my change.

### Remind the respondent that you can repeat the scenario. Keep timer running.

1.	Amount of change given	Ksh (9999=DK)
2.	Time taken to complete	:   (MAX OF 4 MIN)

### Scenario B: Farming Scenario

# Give the respondent the paper with the maize selling scenario printed, a piece of paper and pencil.

*Read:* Now I am going to explain a farming scenario and ask you a few questions about it. You can follow along on the page in front of you, and may continue to use it as scrap paper as needed.

### Start the timer, then begin to read the question aloud.

# Remind the respondent that you can repeat the calculation or the instructions – keep the timer running.

*Read:* In your village, a 90 kg bag of maize is selling for 3000 shillings. In a nearby village, the same bag of maize is instead selling for 3,100 shillings. You have 16 bags of maize to sell. To transport all of these, and yourself to and from the nearby community, will cost you 1,350 shillings.

- 3. Which one makes more profit selling in your village, or in the nearby community? (*Keep timer running*)
  - (1=selling in home village, 2=selling in nearby community, 99=won't answer)

| Ksh (9999=DK)

4. How much more profit does it make?

5. Time taken to complete

|\_\_\_|:|\_\_\_| (MAX OF 4 MIN)

## SECTION 12. Raven's Tests

0. Was the respondent interviewed in KLPS-3? Note that this information can be found on the tracking sheet. (1=Yes, 2=No) |\_\_\_|

*If YES, skip to section 13. If NO, continue.* 

*Read:* Here is a pattern with a piece missing. Below are six pieces, choose the one that completes the pattern.

Test	A	Correct?		
1 (ex)	) (1) (2) (3) <b>(4)</b> (5) (6)	Don't count		
2 (ex)	) (1) (2) (3) (4) <b>(5)</b> (6)	Don't count		
3	<b>(1)</b> (2) (3) (4) (5) (6)			
4	(1) (2) (3) (4) (5) (6)			
Test	В	Correct?	Test B (continued)	Correct?
1	(1) <b>(2)</b> (3) (4) (5) (6)		7 (1) (2) (3) (4) <b>(5)</b> (6)	
2	(1) (2) (3) (4) (5) <b>(6)</b>		8 (1) (2) (3) (4) (5) <b>(6)</b>	
3	<b>(1)</b> (2) (3) (4) (5) (6)		9 (1) (2) (3) <b>(4)</b> (5) (6)	
4	(1) (2) (3) (4) (5) (6)		10 (1) (2) <b>(3)</b> (4) (5) (6)	
5	<b>(1)</b> (2) (3) (4) (5) (6)		11 (1) (2) (3) <b>(4)</b> (5) (6)	
6	(1) (2) <b>(3)</b> (4) (5) (6)		12 (1) (2) (3) (4) <b>(5)</b> (6)	

13. Do not ask the following question. Simply record your impressions. Was there any disturbance during the performance of the tests? Include presence of other people or if test takes place in a noisy area. (1= Yes, 2= No) |\_\_\_|

13a. *If YES:* Describe disturbance. \_\_\_\_\_

## **SECTION 13. Schooling History**

A. Do not ask the following question to the FR. What was the last year of a [YEAR OF latest schooling information] with this respondent? This information can be found in the OTHER INFO section of the TRACKING SHEET. |\_\_|\_| (YYYY)

Collect information on every year <u>starting</u> with the last year of schooling history interview (question A). Include ALL schooling in this table, whether primary, secondary, college or bible school, vocational training, or university.

	1. Were you attending school at any time during [year]? (1=Yes, 2=No) If YES, continue across row. If NO, go to next row. If a row is skipped because we have data on that year from a previous survey round, enter "88" and leave rest of row blank.	2. What school did you attend in [year]? Write name of school. If more than one, take school where pupil completed the highest level of education that year. If same as previous row, write "444", and skip to question 5.	3. School ID?	4. Is this school public or private? (1=Private, 2=Public)	5. Did you attend school for the full year? (1=Yes, full yr, 2=No, partial yr) Note: want full "school year" here, whatever that may be (ie, for many it is ~9 months, but may be less for others) For current year, write "1" if still in school.	6. If NO: Why not?	7. What type of school is this? 1=Primary school 2=Secondary school 3=College 4=Bible / Qur'an school 5=Vocational training 6=University 7=Other (specify) <i>If 1 or 2, continue to</i> <i>question 8.</i> <i>If 3, 5, 6, or 7 skip to</i> <i>question 11.</i>
(A) 1998			II			II	ll
(B) 1999							
(C) 2000					I		
(D) 2001					I		
(E) 2002					I		
(F) 2003					I		
(G) 2004							

	1. Were you attending school at any time during [year]? (1=Yes, 2=No) If YES, continue across row. If NO, go to next row. If a row is skipped because we have data on that year from a previous survey round, enter "88" and leave rest of row blank.	2. What school did you attend in [year]? Write name of school. If more than one, take school where pupil completed the highest level of education that year. If same as previous row, write "444", and skip to question 5.	3. School ID?	4. Is this school public or private? (1=Private, 2=Public)	5. Did you attend school for the full year? (1=Yes, full yr, 2=No, partial yr) Note: want full "school year" here, whatever that may be (ie, for many it is ~9 months, but may be less for others) For current year, write "1" if still in school.	6. If NO: Why not?	7. What type of school is this? 1=Primary school 2=Secondary school 3=College 4=Bible / Qur'an school 5=Vocational training 6=University 7=Other (specify) <i>If 1 or 2, continue to</i> <i>question 8.</i> <i>If 3, 5, 6, or 7 skip to</i> <i>question 11.</i>
(H) 2005	I				I		
(I) 2006							
(J) 2007					I		
(K) 2008					II		l
(L) 2009							
(M) 2010							
(N) 2011							
(O) 2012						IIII	
(P) 2013						<u> </u>	
(Q) 2014						IIII	
(R) 2015							

	1. Were you attending school at any time during [year]? (1=Yes, 2=No) If YES, continue across row. If NO, go to next row. If a row is skipped because we have data on that year from a previous survey round, enter "88" and leave rest of row blank.	2. What school did you attend in [year]? Write name of school. If more than one, take school where pupil completed the highest level of education that year. If same as previous row, write "444", and skip to question 5.	3. School ID? Use E1 codes	4. Is this school public or private? (1=Private, 2=Public)	5. Did you attend school for the full year? (1=Yes, full yr, 2=No, partial yr) Note: want full "school year" here, whatever that may be (ie, for many it is ~9 months, but may be less for others) For current year, write "1" if still in school.	6. If NO: Why not?	7. What type of school is this?         1=Primary school         2=Secondary school         3=College         4=Bible / Qur'an school         5=Vocational training         6=University         7=Other (specify)         If 1 or 2, continue to question 8.         If 3, 5, 6, or 7 skip to question 11.         If 4, skip to question 11b.
(S) 2016			II	II	II		
(T) 2017			<u> </u>			<u> </u>	
(U) 2018							
(V) 2019 (if applies)			I				
(W) 2020 (if applies)							

	8. What standard / form were you in during [year]? Use G6 codes If more than one, take highest stan- dard / form	9. Is this the same standard / form you were in in <b>[year</b> <b>before]</b> ? (1=Yes, 2=No)	10. If YES: For what reason did you repeat the standard / form?         Use E2 codes. List 2 most important reasons. PROBE for most accurate reasons.
(A) 1998			/   If other:
(B) 1999			/   If other:
(C) 2000			/   If other:
(D) 2001	II	II	/   If other:
(E) 2002	II	<u> </u>	/   If other:
(F) 2003	<u> </u>	<u> </u>	/   If other:
(G) 2004	II	II	/   If other:
(H) 2005	<u> </u>	ll	/   If other:
(I) 2006	II	II	/   If other:
(J) 2007	ll		/   If other:
(K) 2008	<u> </u>	<u> </u>	/   If other:
(L) 2009	ll	<u> </u>	/   If other:
(M) 2010	<u> </u>	ll	/   If other:
(N) 2011	<u> </u>	ll	/   If other:
(O) 2012	<u> </u>	ll	/   If other:
(P) 2013	II	II	/   If other:

#### Fill in this table for any years that PRIMARY (1) or SECONDARY (2) were attended. Leave all other rows blank.

	8. What standard / form were you in during [year]? Use G6 codes If more than one, take highest stan- dard / form	9. Is this the same standard / form you were in in <b>[year</b> <b>before]</b> ? (1=Yes, 2=No)	10. If YES: For what reason did you repeat the standard / form? Use E2 codes. List 2 most important reasons. PROBE for most accurate reasons.
(Q) 2014	I	I	/   If other:
(R) 2015			/   If other:
(S) 2016	I	I	/   If other:
(T) 2017			/   If other:
(U) 2018	I	I	/   If other:
(V) 2019 (if applies)	l	II	/   If other:
(W) 2020 (if applies)			/   If other:

Fill in this table for any years that COLLEGE (3), BIBLE SCHOOL (4), VOCATIONAL TRAINING SCHOOL (5), UNIVERSITY (6), or OTHER (7) were attended. Leave all other rows blank.

Skip to q.11b if bible school. 11. In what discip- line(s) was this training? Use E7 codes. If other, des- cribe in FO com- ments.	11b. If this is         11b. If this is         the 1st yr or FR         did not attend         school in         previous yrs,         select "no".         Otherwise,         ask: Is this the         same school         and course you         described when         we spoke about         the previous         year?         1=Yes, 2=No         If YES, skip to         next discip-         line or year.	12. For how long did you attend this training in total? For completed courses, include full length of course (even if more than 1 year). For courses currently underway, include time so far (even if more than 1 year). Units: 1=Day 2=Week 3=Month 4=Year If FR was in school for full number of terms per year, count that as a full year of training (even though they get holidays throughout the year).	13. What were the total fees required by the school (including registration fees, tuition, exam fees, books, materials, and uniform) for this training? For completed courses, consider total duration of course. For those currently underway, consider what has been paid so far (across duration of course). 1=Day 2=Week 3=Month 4=Year 5=Semester (~4 mths) 6=Term (~3 mths) 7=Total for the entire course (99=DK)	13a. If monetary value listed in question 13 is NOT in Ksh, write the currency used. Use G12 codes.	14. How did you finance this training? <i>List up to 3. For</i> <i>completed courses,</i> <i>consider total</i> <i>duration of course.</i> <i>For courses currently</i> <i>underway, consider</i> <i>how they have been</i> <i>financed so far.</i> 1=Self / family 2=Private sponsor (including IPA) 3= CDF bursary / other government bursary 4= Government paid for me 5=Loan 6=Fundraising 7=Other (specify in <i>FO comments</i> )	15. Have you already finished the entire course? Consider entire course, even if it lasted more than 1 yr. 1=Yes, 2=No, 3=Current-ly enrolled If NO or CURREN- TLY ENROLL- ED, skip to next discip- line or year.	16. Did you         obtain a         diploma,         degree, or         certificate from         this training?         Consider         entire course,         even if it         lasted more         than 1 yr.         1=Yes, 2=No         If NO, skip to         next discipline         or year. If YES,         continue.         Note: Count a         driver's         license as         certificate.	17. What type of diploma, degree, or certificate was it? Probe for examina- tion body if it is not institu- tional. Use E6 codes. Specify other in FO com-ments.
≡ =	i.    ii.    iii.	i. Num: Unit: ii. Num: Unit: iii. Num: Unit:	i. Ksh per ii. Ksh per iii. Ksh per	i.    ii.    iii.	i.       i.        iii.	i.    ii.    iii.	i.    ii.    iii.	i.    ii.    iii.
i	i.    ii.    iii.	i. Num: Unit: ii. Num: Unit: iii. Num: Unit:	i. Ksh per ii. Ksh per iii. Ksh per	i.    ii.    iii.	i.      i.       iii.	i.    ii.    iii.	i.    ii.    iii.	i.    ii.    iii.
i.	i.	i. Num: Unit:	i. Ksh per	i.	i.	i.	i.	i.
ii.	ii.	ii. Num: Unit:	ii. Ksh per	ii.	ii.	ii.	ii.	ii.
iii.	iii.	iii. Num: Unit:	iii. Ksh per	iii.	iii.	iii.	iii.	iii.
i.	i.	i. Num: Unit:	i. Ksh per	i.	i.	i.	i.	i.
ii.	ii.	ii. Num: Unit:	ii. Ksh per	ii.	ii.	ii.	ii.	ii.
iii.	iii.	iii. Num: Unit:	iii. Kshper	iii.	iii.	iii.	iii.	iii.
i.	i.	i. Num: Unit:	i. Ksh per	i.	i.	i.	i.	i.
ii.	ii.	ii. Num: Unit:	ii. Ksh per	ii.	ii.	ii.	ii.	ii.
iii.	iii.	iii. Num: Unit:	iii. Ksh per	iii.	iii.	iii.	iii.	iii.

	Skip to q.11b if bible school. 11. In what discip- line(s) was this training? Use E7 codes. If other, des- cribe in FO com- ments.	11b. If this is the 1 <sup>st</sup> yr or FR did not attend school in previous yrs, select "no". Otherwise, ask: Is this the same school and course you described when we spoke about the previous year? 1=Yes, 2=No If YES, skip to next discip- line or year.	12. For how long did you attend this training in total? For completed courses, include full length of course (even if more than 1 year). For courses currently underway, include time so far (even if more than 1 year). Units: 1=Day 2=Week 3=Month 4=Year If FR was in school for full number of terms per year, count that as a full year of training (even though they get holidays throughout the year).	13. What were the total fees required by the school (including registration fees, tuition, exam fees, books, materials, and uniform) for this training? For completed courses, consider total duration of course. For those currently underway, consider what has been paid so far (across duration of course). 1=Day 2=Week 3=Month 4=Year 5=Semester (~4 mths) 6=Term (~3 mths) 7=Total for the entire course (99=DK)	13a. If monetary value listed in question 13 is NOT in Ksh, write the currency used. Use G12 codes.	14. How did you finance this training? <i>List up to 3. For</i> <i>completed courses,</i> <i>consider total</i> <i>duration of course.</i> <i>For courses currently</i> <i>underway, consider</i> <i>how they have been</i> <i>financed so far.</i> 1=Self / family 2=Private sponsor (including IPA) 3= CDF bursary / other government bursary 4= Government paid for me 5=Loan 6=Fundraising 7=Other (specify in <i>FO comments</i> )	15. Have you already finished the entire course? Consider entire course, even if it lasted more than 1 yr. 1=Yes, 2=No, 3=Current-ly enrolled If NO or CURREN- TLY ENROLL- ED, skip to next discip- line or year.	16. Did you         obtain a         diploma,         degree, or         certificate from         this training?         Consider         entire course,         even if it         lasted more         than 1 yr.         1=Yes, 2=No         If NO, skip to         next discipline         or year. If YES,         continue.         Note: Count a         driver's         license as         certificate.	17. What type of diploma, degree, or certificate was it? Probe for examina- tion body if it is not institu- tional. Use E6 codes. Specify other in FO com-ments.
(F) 2003	i.	i.	i. Num: Unit:	i. Ksh per	i.	i.	i.	i.	i.
	ii.	ii.	ii. Num: Unit:	ii. Ksh per	ii.	ii.	ii.	ii.	ii.
	iii.	iii.	iii. Num: Unit:	iii. Ksh per	iii.	iii.	iii.	iii.	iii.
(G) 2004	i.      ii.      iii.	i.    ii.    iii.	i. Num: Unit: ii. Num: Unit: iii. Num: Unit:	i. Kshper ii. Kshper iii. Kshper	i.    ii.    iii.	i.      ii.	i.    ii.    iii.	i.    ii.    iii.	i.    ii.    iii.
(H) 2005	i.	i.	i. Num: Unit:	i. Kshper	i.	i.	i.	i.	i]
	ii.	ii.	ii. Num: Unit:	ii. Kshper	ii.	ii.	ii.	ii.	ii]
	iii.	iii.	iii. Num: Unit:	iii. Kshper	iii.	iii.	iii.	iii.	iii]
(I) 2006	i.	i.	i. Num: Unit:	i. Ksh per	i.	i.	i.	i.	i.
	ii.	ii.	ii. Num: Unit:	ii. Ksh per	ii.	ii.	ii.	ii.	ii.
	iii.	iii.	iii. Num: Unit:	iii. Ksh per	iii.	iii.	iii.	iii.	iii.
(J) 2007	i.      ii.      iii.	i.      ii.      iii.	i. Num: Unit: ii. Num: Unit: iii. Num: Unit:	i. Ksh per ii. Ksh per iii. Ksh per	i.    ii.    iii.	i.      i.      ii.	i.    ii.    iii.	i.    ii.    iii.	
(K) 2008	i.	i.	i. Num: Unit:	i. Ksh per	i.	i.	i.	i.	i.
	ii.	ii.	ii. Num: Unit:	ii. Ksh per	ii.	ii.	ii.	ii.	ii.
	iii.	iii.	iii. Num:Unit:	iii. Ksh per	iii.	iii.	iii.	iii.	iii.

	Skip to q.11b if bible school. 11. In what discip- line(s) was this training? Use E7 codes. If other, des- cribe in FO com- ments.	11b. If this is the 1 <sup>st</sup> yr or FR did not attend school in previous yrs, select "no". Otherwise, ask: Is this the same school and course you described when we spoke about the previous year? 1=Yes, 2=No If YES, skip to next discip- line or year.	12. For how long did you attend this training in total? For completed courses, include full length of course (even if more than 1 year). For courses currently underway, include time so far (even if more than 1 year). Units: 1=Day 2=Week 3=Month 4=Year If FR was in school for full number of terms per year, count that as a full year of training (even though they get holidays throughout the year).	13. What were the total fees required by the school (including registration fees, tuition, exam fees, books, materials, and uniform) for this training? For completed courses, consider total duration of course. For those currently underway, consider what has been paid so far (across duration of course). 1=Day 2=Week 3=Month 4=Year 5=Semester (~4 mths) 6=Term (~3 mths) 7=Total for the entire course (99=DK)	13a. If monetary value listed in question 13 is NOT in Ksh, write the currency used. Use G12 codes.	14. How did you finance this training? <i>List up to 3. For</i> <i>completed courses,</i> <i>consider total</i> <i>duration of course.</i> <i>For courses currently</i> <i>underway, consider</i> <i>how they have been</i> <i>financed so far.</i> 1=Self / family 2=Private sponsor (including IPA) 3= CDF bursary / other government bursary 4= Government paid for me 5=Loan 6=Fundraising 7=Other (specify in <i>FO comments</i> )	15. Have you already finished the entire course? Consider entire course, even if it lasted more than 1 yr. 1=Yes, 2=No, 3=Current-ly enrolled If NO or CURREN- TLY ENROLL- ED, skip to next discip- line or year.	16. Did you         obtain a         diploma,         degree, or         certificate from         this training?         Consider         entire course,         even if it         lasted more         than 1 yr.         1=Yes, 2=No         If NO, skip to         next discipline         or year. If YES,         continue.         Note: Count a         driver's         license as         certificate.	17. What type of diploma, degree, or certificate was it? Probe for examina- tion body if it is not institu- tional. Use E6 codes. Specify other in FO com-ments.
(L) 2009	i.    ii.    iii.	i.    ii.    iii.	i. Num: Unit: ii. Num: Unit: iii. Num: Unit:	i. Ksh per ii. Ksh per iii. Ksh per	i.    ii.    iii.	i.      ii.     iii.	i.    ii.    iii.	i.    ii.    iii.	i.    ii.    iii.
(M) 2010	i.      ii.      iii.	i.    ii.    iii.	i. Num: Unit: ii. Num: Unit: iii. Num: Unit:	i. Ksh per ii. Ksh per iii. Ksh per	i.    ii.    iii.	i.      ii.	i.    ii.    iii.	i.    ii.    iii.	i.    ii.    iii.
(N) 2011	i.      ii.      iii.	i.      ii.      iii.	i. Num: Unit: ii. Num: Unit: iii. Num: Unit:	i. Kshper ii. Kshper iii. Kshper	i.    ii.    iii.	i.      ii.     iii.	i.    ii.    iii.	i.    ii.    iii.	i.    ii.    iii.
(O) 2012	i.    ii.    iii.	i.    ii.    iii.	i. Num: Unit: ii. Num: Unit: iii. Num: Unit:	i. Ksh per ii. Ksh per iii. Ksh per	i.    ii.    iii.	i.      ii.	i.    ii.    iii.	i.    ii.    iii.	i.    ii.    iii.
(P) 2013	i.    ii.    iii.	i.    ii.    iii.	i. Num:Unit: ii. Num:Unit: iii. Num:Unit:	i. Ksh per ii. Ksh per iii. Ksh per	i.    ii.    iii.	i.      ii.	i.    ii.    iii.	i.    ii.    iii.	i.    ii.    iii.
(Q) 2014	i.    ii.    iii.	i.    ii.    iii.	i. Num: Unit: ii. Num: Unit: iii. Num:Unit:	i. Ksh per ii. Ksh per iii. Ksh per	i.    ii.    iii.	i.      ii.	i.    ii.    iii.	i.    ii.    iii.	i.    ii.    iii.

	Skip to q.11b if bible school. 11. In what discip- line(s) was this training? Use E7 codes. If other, des- cribe in FO com- ments.	11b. If this is the 1 <sup>st</sup> yr or FR did not attend school in previous yrs, select "no". Otherwise, ask: Is this the same school and course you described when we spoke about the previous year? 1=Yes, 2=No If YES, skip to next discip- line or year.	12. For how long did you attend this training in total? For completed courses, include full length of course (even if more than 1 year). For courses currently underway, include time so far (even if more than 1 year). Units: 1=Day 2=Week 3=Month 4=Year If FR was in school for full number of terms per year, count that as a full year of training (even though they get holidays throughout the year).	13. What were the total fees required by the school (including registration fees, tuition, exam fees, books, materials, and uniform) for this training? For completed courses, consider total duration of course. For those currently underway, consider what has been paid so far (across duration of course).	13a. If monetary value listed in question 13 is NOT in Ksh, write the currency used. Use G12 codes.	14. How did you finance this training? <i>List up to 3. For</i> <i>completed courses,</i> <i>consider total</i> <i>duration of course.</i> <i>For courses currently</i> <i>underway, consider</i> <i>how they have been</i> <i>financed so far.</i> 1=Self / family 2=Private sponsor (including IPA) 3= CDF bursary / other government bursary 4= Government paid for me 5=Loan 6=Fundraising 7=Other (specify in <i>FO comments</i> )	15. Have you already finished the entire course? <b>Consider</b> <b>entire</b> <b>course</b> , <b>even if it</b> <b>lasted more</b> <b>than 1 yr</b> . 1=Yes, 2=No, 3=Current-ly enrolled <b>If NO or</b> <b>CURREN-</b> <b>TLY</b> <b>ENROLL-</b> <b>ED</b> , skip to <b>next discip-</b> <b>line or year</b> .	16. Did you         obtain a         diploma,         degree, or         certificate from         this training?         Consider         entire course,         even if it         lasted more         than 1 yr.         1=Yes, 2=No         If NO, skip to         next discipline         or year. If YES,         continue.         Note: Count a         driver's         license as         certificate.	17. What type of diploma, degree, or certificate was it? Probe for examina- tion body if it is not institu- tional. Use E6 codes. Specify other in FO com-ments.
(R) 2015	i.      ii.      iii.	i.      ii.      iii.	i. Num: Unit: ii. Num: Unit: iii. Num: Unit:	i. Ksh per ii. Ksh per iii. Ksh per	i.    ii.    i.	i.       i.       ii.	i.    ii.    iii.	i.    ii.    iii.	i.    ii.    iii.
(S) 2016	i.      ii.      iii.	i.    ii.    iii.	i. Num: Unit: ii. Num: Unit: iii. Num: Unit:	i. Ksh per ii. Ksh per iii. Ksh per	iii.   iv.    ii.	i.      ii.	i.    ii.    iii.	i.    ii.    iii.	i.    ii.    iii.
(T) 2017	i.    ii.    iii.	i.    ii.    iii.	i. Num: Unit: ii. Num: Unit: iii. Num:Unit:	i. Kshper ii. Kshper iii. Kshper	V.    Vi.    iii.	i.      ii.     iii.	i.    ii.    iii.	i.    ii.    iii.	i.    ii.    iii.
(U) 2018	i.    ii.    iii.	i. [] ii. [] iii. []	i. Num: Unit: ii. Num: Unit: iii. Num:Unit:	i. Ksh per ii. Ksh per iii. Ksh per	vii  viii iv	i.     ii.     iii.	i.    ii.    iii.	i.    ii.    iii.	i.    ii.    iii.
(V) 2019 (if applies)	i.    ii.    iii.	i.    ii.    iii.	i. Num: Unit: ii. Num: Unit: iii. Num: Unit:	i. Ksh per ii. Ksh per iii. Ksh per	ix x v	i.      ii.	i.    ii.    iii.	i.    ii.    iii.	i.    ii.    iii.

	Skip to q.11b if bible school. 11. In what discip- line(s) was this training? Use E7 codes. If other, des- cribe in FO com- ments.	11b. If this is the 1 <sup>st</sup> yr or FR did not attend school in previous yrs, select "no". Otherwise, ask: Is this the same school and course you described when we spoke about the previous year? 1=Yes, 2=No If YES, skip to next discip- line or year.	<ul> <li>12. For how long did you attend this training in total?</li> <li>For completed courses, include full length of course (even if more than 1 year). For courses currently underway, include time so far (even if more than 1 year).</li> <li>Units: <ul> <li>1=Day</li> <li>2=Week</li> <li>3=Month</li> <li>4=Year</li> </ul> </li> <li>If FR was in school for full number of terms per year, count that as a full year of training (even though they get holidays throughout the year).</li> </ul>	13. What were the total fees required by the school (including registration fees, tuition, exam fees, books, materials, and uniform) for this training? For completed courses, consider total duration of course. For those currently underway, consider what has been paid so far (across duration of course). 1=Day 2=Week 3=Month 4=Year 5=Semester (~4 mths) 6=Term (~3 mths) 7=Total for the entire course (99=DK)	13a. If monetary value listed in question 13 is NOT in Ksh, write the currency used. Use G12 codes.	14. How did you finance this training? <i>List up to 3. For</i> <i>completed courses,</i> <i>consider total</i> <i>duration of course.</i> <i>For courses currently</i> <i>underway, consider</i> <i>how they have been</i> <i>financed so far.</i> 1=Self / family 2=Private sponsor (including IPA) 3= CDF bursary / other government bursary 4= Government paid for me 5=Loan 6=Fundraising 7=Other (specify in <i>FO comments</i> )	15. Have you already finished the entire course? Consider entire course, even if it lasted more than 1 yr. 1=Yes, 2=No, 3=Current-ly enrolled If NO or CURREN- TLY ENROLL- ED, skip to next discip- line or year.	16. Did you obtain a diploma, degree, or certificate from this training? <i>Consider</i> <i>entire course</i> , <i>even if it</i> <i>lasted more</i> <i>than 1 yr.</i> 1=Yes, 2=No <i>If NO, skip to</i> <i>next discipline</i> <i>or year. If YES</i> , <i>continue.</i> <i>Note: Count a</i> <i>driver's</i> <i>license as</i> <i>certificate.</i>	17. What type of diploma, degree, or certificate was it? Probe for examina- tion body if it is not institu- tional. Use E6 codes. Specify other in FO com-ments.
(W) 2020 (if applies)	i.    ii.    iii.	i.    ii.    iii.	i. Num: Unit: ii. Num: Unit: iii. Num:Unit:	i. Ksh per ii. Ksh per iii. Ksh per	xi.   xii.    vi.	i.      ii.      iii.	i.    ii.    iii.	i.    ii.    iii.	i.    ii.    iii.

18. Did you attend any Secondary school? (1 = Yes, 2 = No)

### BEFORE CONTINUING TO NEXT QUESTION, BE SURE THE FIRST TABLE IN THIS SECTION HAS BEEN COMPLETED.

# CHECK YEAR OF LATEST SCHOOLING INFORMATION IN THE TRACKING SHEET. IF THIS YEAR IS NOT BETWEEN 2011-2014 AND THERE ARE NO NEW YEARS OF SCHOOLING RECORDED ABOVE, SKIP TO SECTION 14.

#### OTHERWISE, CONTINUE.

# *If FR COMPLETED PRIMARY SCHOOL BUT NOT FORM 4, skip to question 26. If FR DID NOT COMPLETE PRIMARY SCHOOL, skip to question 27. Otherwise, continue.*

	Codes	Answer
18. In which country did you complete Form 4? <i>If KENYA or UGANDA, continue. Otherwise, skip to question 20.</i>	Use G1 codes	Other:
19. If schooled in KENYA: What was your most recent KCSE grade? If schooled in UGANDA: What was your most recent UCE division? (For Uganda, answer must be division I, II, III, or IV)	99=DK 88=didn't take exam 77=results not out	If Kenya: (A)    Use E3 codes If Uganda: (B) Division
20. Did you receive calling letters from any secondary schools? <i>If NO, skip to question 21. If YES, continue.</i>	1=Yes, 2=No	
20a. Which schools? <i>List up to 3.</i>	Use E1 codes	(A)     Other: (B)     Other: (C)     Other:
21. In which country did you complete primary school? <i>If KENYA or UGANDA, continue. Otherwise, skip to question 22.</i>	Use G1 codes	Other:
21a. <i>If schooled in KENYA:</i> What was your most recent KCPE score? <i>If schooled in UGANDA:</i> What was your most recent PLE division? (For Uganda, answer must be division I, II, III or IV)	9999=DK 8888= didn't take exam 7777=results not out	<i>If Kenya:</i> (A)     out of (B)    total <i>If Uganda:</i> (C) ∣ Division
<ul> <li>22. To the best of your knowledge, what was your class position during third term last year, or the last year you were in school?</li> <li>If FR cannot remember (or schooled in Uganda), probe for estimate. If FR still cannot estimate, use 999=Don't Know.</li> </ul>	999=DK	Position: (A)     out of (B)

## **SECTION 14. School Attitudes**

*Read:* In the next section, I want to know your feelings about education. I will read some sentences and then ask you whether you agree or disagree with them.

	Possible responses for the following questions are:	
	1=Completely agree; 2=Agree somewhat; 3=Disagree somewhat; 4=Completely disagree.	
1.	Education helped / will help me to earn money. <b>Note: This statement refers to education in general.</b>	
	<b>Prompt:</b> The following statements refer to your own children. Even if you don't have children, imagine how you think you will feel if and / or when you do have children of your own.	
2.	If my child were offered a good job before completing primary school, I would let him / her take the job.	
3.	If my child were offered a good job before completing secondary school, I would let him / her take the job.	
4.	If my daughter had the opportunity to marry before completing primary school, I would allow her to marry.	
5.	If my daughter had the opportunity to marry before completing secondary school, I would allow her to marry.	
6.	Thinking about the career my child will eventually have, I think that completing primary school would help him/ her achieve more income in this career.	
7.	Thinking about the career my child will eventually have, I think that completing secondary school would help him/ her achieve more income in this career.	

*Read:* For each of the following pairs of statements, tell me which statement is closest to your view. Choose Statement A or Statement B.

#### Possible responses (DO NOT READ Option 5 or DK):

- 1 = Agree very strongly with A
- 2 = Agree with A
- 3 = Agree with B

**Probe:** Do you agree very strongly?

- 8. A. Children can be disciplined by any adult.B. Children should only be disciplined by their parents
- A. Parents should never be criticized by children.
   B. Children should point out when parents are wrong
- 4 = Agree very strongly with B 5 = Agree with neither 99 = Don't know

|\_\_|

### **SECTION 15. Migration**

- 1. Do not ask the following question to the FR. What was the last year of a migration interview with this respondent? This information can be found in the OTHER INFO section of the TRACKING SHEET. |\_| | | | Use this "year of last Migration interview" to ask the following questions.
- 2. Since January **[year of last Migration interview]**, have you lived in any other ADMINISTRATIVE LOCATION than where you live now for more than four months? (1=Yes, 2=No) |\_\_\_| *If YES, continue. If NO, skip to guestion 9.*

3. Where were you living in January **[year of last Migration interview]**? 3a. Country? **Use G1 codes** |\_\_\_ | Other:

- 3b. County? Refer to "1992 district" if FR does not know county (1992 district and county are equivalent). For FRs living in Uganda, ask for "district" rather than "county". Use G2a codes. | | Other:
  - 3c. If "77=FR DK county", ask: 2010 District? Use G2b codes. |\_\_\_| Other:\_\_\_\_\_ If FR doesn't know 2010 district, but does know an earlier district name, write old district name and make a comment below.

 3d. Town / City? Use G3a codes. Code 20=Lives in a rural area.
 |\_\_\_| Other:\_\_\_\_\_\_

 If 20=LIVES IN A RURAL AREA, continue. OTHERWISE, skip to guestion 3g.

3e. Location? For FRs living in Uganda, ask for "county" rather than "location". Use G3b codes.

|\_\_\_| Other:\_\_\_\_\_

3f. Sub-location? For FRs living in Uganda, ask for "sub-county" rather than "sub-location". Use G3c codes.

|\_\_\_| Other:\_\_\_\_\_

3g. Village / Neighborhood? Write. (99=DK)

For the following table: Fill down each column, then across. If the FR moved away and then later moved back to a previous residence, this is still considered a migration and should be recorded in the table.

			Residence #1	Residence #2	Residence #3	Residence #4	Residence #5
4. Where did you move immed-iately after living in	a) Country? <b>Use G1</b>		I	 	I	 	 
[-]?	codes		_	_			
Start with the [year of last Migration interview]	b) County? <b>Use G2a</b> codes	Refer to "1992 district" if FR DK county	II	II		II	II
location.		(they are equivalent). (Uganda =district)			·		
	c) <b>If 77=DK</b> county, ask:	If FR DK 2010 District but knows an					
	2010	earlier district,	II	II		II	II
	District? Use G2b codes	write here and comment below.	_	_	·	_	
	d) Town / City <b>Use G3a</b>	lf NOT 20 (lives in rural area), skip to					
	codes	(g).	_	_		_	
	e) Location? Use G3b codes	(Uganda = county)					
	f) Sub- location? <b>Use G3c</b>	(Uganda = sub-county)			II		
	codes		—	—		—	—
	g) Village / Neighborhoo d						
5. When did you arrive at this place?	(MM/YYYY)		/ 	/ 		/ 	/   _
6. Why did you move to this place?	Use G5 codes List <u>up to 3</u>						
	reasons.						

			Residence #1	Residence #2	Residence #3	Residence #4	Residence #5
7. When you moved, whom did you live with in this place?	Use G4 codes	List <u>up to 3</u> persons.					
7a. Were any of these individuals your schoolmates from primary school?	(1=Yes, 2=No, 99=DK)						
8. After living here, did you live in any other adminis- trative Location for at least four months?	(1=Yes, 2=No)	If YES, continue to next column. If NO, go to question 9.			II	LI	

Confirm that the last reported residence listed in this table matches the current residence listed in Section 3 of this survey. If not, probe the FR further about their migration history.

9. How long do you think you will live in your current residence? (Unit: 1=days, 2=months, 3=years, 4=always) Number: |\_\_\_| Unit: |\_\_\_| If response is "always", number should be "88". If DK, number and unit should be "99". If the FR is in boarding school, ask them to consider how long they will live where they are currently staying for boarding school. For FRs who are live-in house help or live-in guards, ask them how long they will remain living at their employer's home.

*If FR has moved since January of the year of last Migration interview, skip to question 11. Otherwise, continue.* 

10. Have you ever, in your life, moved to a different ADMINISTRATIVE LOCATION? (1=Yes, 2=No) |\_\_\_|

### If "No", skip to question 12.

If "Yes", continue.

11. Think of the last ADMINISTRATIVE LOCATION you lived before your current location. When you first moved to that place, how long did you think you would stay there?

(Units: 1=days; 2=months; 3=years; 4=always) Number: |\_\_\_\_ Units: |\_\_\_\_

Continue to section 16. Calculate years since the last interview: [current year] – [year last migration interview] = [\_\_\_\_]

**12. [years since last migration interview]** years ago, did you think you would still be living in this ADMINISTRATIVE LOCATION today? (1=Yes, 2=No) |\_\_\_|

## 5 MINUTE BREAK AFTER THIS SECTION.

*Read*: We will now take a 5 minute break before continuing with the remainder of the survey. We would like to offer you a drink in the form of juice at this time.

### Give the FR a juice. Make sure to check the time and ensure that the break is 5 minutes.

## **SECTION 16. Health and Nutrition**

#### The questions in this section are more personal. Please try to ensure the privacy of the FR.

Read: Now I would like to ask you some questions about your health and nutrition.

1. Taking everything together, would you say you are somewhat happy, very happy or not happy? (1=Very happy, 2=Somewhat happy, 3=Not happy, 66= Refuses to respond, 99 = DK)

*Read:* Now I want to ask you some questions about how you are feeling right now.

2a. On a scale of 1-7, with 1 being sad and 7 being happy, how do you feel right now? (66=Refuses to respond, 99=DK) [\_\_\_\_\_\_
2b. On a scale of 1-7, with 1 being tired and 7 being alert, how do you feel right now? (66=Refuses to respond, 99=DK) [

3. I am going to read to you a list of illnesses and symptoms. Please let me know if you have experienced any of these illnesses or symptoms in the last four weeks. Read options. Indicate all that apply. (1=Yes, 2=No, 3=DK what that symptom / illness is) (A) Fever (M) Malaria (B) Persistent cough (N) Typhoid (C) Always feeling tired (O) Tuberculosis (D) Stomach pain (P) Sores or ulcers on the genitals (E) Worms (Q) Cholera (R) Yellow fever (F) Blood in stool (S) Asthma / breathlessness at night (G) Rapid weight loss (H) Frequent diarrhea (T) Frequent and excessive urination (I) Skin rash or irritation (U) Constant thirst / increased drinking of fluids (J) Open sores / boils (V) Diabetes (Y) Cancer (K) Difficulty Swallowing If yes, specify type: (L) Serious wound or injury (W) Men only: Unusual discharge from the tip of the penis. (X) Anything else I have not mentioned? (1 =Yes, 2 = No,99 = DK) If yes DESCRIBE OTHER SYMPTOM:

<ol> <li>During the last <u>4 weeks</u>, how many visits to a hospital or clinic did you make? Only include visits for the FR's own medical care, not that of a family member or friend.</li> <li>If ZERO, skip to question 4b.</li> </ol>	
4a. Were your visits to 1=private hospitals / clinics, 2=public hospitals / clinics, 3=both?	
4b. During the last 4 weeks, how many visits to a traditional healer did you make?	

	1
<ul> <li>5a. During the last <u>4 weeks</u>, how much did you pay in total (in cash or kind) for hospital / clinic medical care (not including medicines)? Only include payment for the FR's own medical care, not that of a family member or friend. Make sure to include all expenses paid by the FR. If the FR has insurance, include all expenses the FR paid out of pocket, including any expenses that were later reimbursed. If the total bill was covered by insurance up front and the FR had to pay zero out of pocket, count that as zero shillings.</li> <li>5ai. List currency if not Ksh. Use G12 codes.</li> </ul>	a.    ai.    Oth: 
5b. During the last <u>4 weeks</u> , how much did you pay in total (in cash or kind) for	
modern medicines to treat a health problem?	
Do not include medicines for others; include medicines purchased	
for you by a family member or friend but not those provided free by	b.
the govt. Make sure to include all expenses paid by the FR. If the FR	bi.     Oth:
has insurance, include all expenses the FR paid out of pocket,	
including any expenses that were later reimbursed. If the total bill	
was covered by insurance up front and the FR had to pay zero out of	
pocket, count that as zero shillings.	
5bi. List currency if not Ksh. <b>Use G12 codes.</b>	
5c. During the last <u>4 weeks</u> , how much did you pay in total (in cash or kind) for	
traditional medicines to treat a health problem?	c
Do not include medicines for others; include medicines purchased for you by a family member or friend but not those provided free by	ci.    Oth:
the govt.	
5ci. List currency if not Ksh. <b>Use G12 codes.</b>	
6. During the last <u>12 months</u> , have you taken any drugs for worm infections	
or schistosomiasis? (1=Yes, 2=No, 99 =DK)	
7. Did you sleep under a bednet last night? (1=Yes, 2=No, 99 =DK)	
8. During the last <u>4 weeks</u> , how many <u>days</u> of work or housework or school did	
you miss due to poor health? (0=None, 88=N/A)	
9. Would you describe your general health as very good, somewhat good, or	
not good? (1=Very Good, 2=Somewhat good, 3=Not good)	
If 2 or 3, continue. OTHERWISE, skip to question 11b.	
10. Would you describe your general health as good, fair, poor, or very poor?	
(1= Good, 2=Fair, 3=Poor, 4=Very poor)	II

11a. Do not ask the following question to the FR. What was the last year we did a health interview with this respondent? This information can be found in the OTHER INFO section of the TRACKING SHEET. |\_\_|\_|\_| Use this "year of last interview" to ask the following questions.

11b. Have you experienced any major health problems that seriously affected your life or work, since January **[year of last health interview]**? (1=Yes, 2=No, 99 = DK) |\_\_\_|

# *If YES, fill in the table starting at question 12, beginning with the most important problem. If NO, skip to question 16.*

### Fill in the table by proceeding across for question 12 and then down each column.

	(A) Problem #1	(B) Problem #2	(C) Problem #3	
12. What sort of health problem was				
this? Use H1 codes	Other:	Other:	Other:	
13. In what year and month did this health problem begin?	\	II\I\III	IIINIII	

14. In what year and month was this health problem resolved? (Still bothersome=7777)	N		
15. What impact has this health problem had on your life? <b>Use H2</b> codes. List up to 3.			
	Other:	Other:	Other:

If another health problem applies, fill in the next column. If not, proceed to question 16.

16. Can you dress yourself easily, with difficulty, or not at all? (1=Easily, 2=With difficulty, 3=Not at all)

- 17. If you had to walk for 1 hour, could you do it easily, with difficulty, or not at all? (1=Easily, 2=With difficulty, 3=Not at all)
- 18. If you had to walk for 15 minutes carrying a 20-liter jerrycan of water, could you do it easily, with difficulty, or not at all? (1=Easily, 2=With difficulty, 3=Not at all)

Washington Group Short Set on Disability1=No-no difficulty2=Yes-some difficulty3=Yes-a lot of difficulty4=Cannot do at all66=Refuses to answer	
19. Do you have difficulty seeing, even if wearing glasses?	
20. Do you have difficulty hearing, even if using a hearing aid?	
21. Do you have difficulty walking or climbing steps?	
22. Do you have difficulty remembering or concentrating?	
23. Do you have difficulty with self-care such as washing all over or dressing?	
24. Using your usual language, do you have difficulty communicating, for example understanding or being understood?	

## **SECTION 17. Crime Victimization**

**Read:** Now I would like to ask if you have been the victim of a crime or an attempted crime during the past 12 months. *If the FR answers yes to any question, continue across the row.* 

		(A) If YES: How many times? (999=DK)	(B) How many of these cases have you reported to the police or a liguru? (999=DK)
1.	During the last 12 months, has someone stolen or attempted to steal any livestock from you?		
	(1=Yes, 2=No)		
2.	During the last 12 months, has someone stolen or attempted to steal any household items?		
	(1=Yes, 2=No)		
3.	During the last 12 months, has someone stolen or attempted to steal any cash from you? (1=Yes, 2=No)		
4	(		
4.	During the last 12 months, has someone assaulted you without a weapon?(1=Yes, 2=No)		
5.	During the last 12 months, has someone assaulted you using a weapon (such as a club, machete or gun)? (1=Yes, 2=No)		
6.	During the last 12 months, have you been the victim of arson? (1=Yes, 2=No)		II
7.	During the last 12 months, have you been the victim of witchcraft?(1=Yes, 2=No)		
8.	During the last 12 months, have you been the victim of any other crime or attempted crime?		II
	(1=Yes, 2=No)		
	8a. Describe:		

9. In the last 12 months, have you been somewhat worried about, very worried about, or not very worried about crime and safety in your neighbourhood? (1=Very worried, 2=Somewhat worried, 3=Not very worried, 99=DK)

## **SECTION 18. Marriage**

# Please ensure the privacy of the FR for this section. If there are individuals within earshot who appear to be over age 5, please ask to speak with the FR privately.

**Read:** Now I would like to ask you some questions about any marriages you might have had. Please remember that this survey is confidential and that the information will be used for research purposes only. Also, I understand it may be difficult or upsetting to talk about, but please remember to include marriages that may now be over.

1. Have you ever been married?		(1=Yes, 2=No)	
If YES, continue. If NO, skip to Section 19.			
1a. How old were you the first time you married or began co-residing?	years		
2. How many times have you been married?		(99=DK)	

#### Add matrix of year of each marriage.

Check the tracking sheet for the "YEAR OF LAST MARRIAGE INTERVIEW", which is the year we collected detailed information on children of this individual. If year=8888 (never), continue. Otherwise, skip to question 3.

If FR has been married only once, read: Let's discuss this marriage. Then, skip to q.4. If FR has been married more than once, read: Let's discuss these marriages, starting with the first one. Then, skip to q.4.

3. Now let's focus on a shorter timeframe. Since January [year of last marriage interview], have you married anyone? Do not include a marriage that began before January [year of last marriage interview]. (1=Yes, 2=No) |\_\_\_\_|
 If YES, continue. If NO and FEMALE, skip to question 21. If NO and MALE, skip to Section 19.

**Read:** Let's discuss this recent marriage, starting with the first one since January **Ivear of last marriage interview!** if there was more

<b>Read.</b> Let's discuss this recent manage, starting with the first one since January <b>[year of last manage interview]</b> if there was more than	
one.	

	Marriage A	Marriage B	Marriage C	Marriage D	Marriage E
4. What is the first name of this spouse?					
<ol> <li>How old were you (in years) when you began co-residing with [name]? (99=DK) <i>If "never", code 888.</i></li> </ol>					

	Marriage A	Marriage B	Marriage C	Marriage D	Marriage E
<ol> <li>How old was [name] when you began co-residing? (99=DK) If "never", code 888.</li> </ol>	II	II			II
7. How long did you know <b>[name]</b> before you were married? (99=DK) <i>Include</i> <i>time even before courtship began,</i> <i>where applicable. Fill in days only if</i> <i>knew each other less than 2 months.</i>	Years    Months    Days				
<ul> <li>8. Think back to when you got married to [name]. Did you feel ready to marry or would you have rather waited?</li> <li>(1 = Ready to be married; 2 = Would have rather waited, 99=DK)</li> </ul>					
9. In what year did you get married to [name]? (9999=DK)					
<ul> <li>9a. Was it your decision to get married, or did someone else decide? (1=Own decision, 2=Someone else, 99=DK)</li> <li>If "someone else", specify all individuals with G4 codes.</li> </ul>	 Someone else: ( <b>G4 codes)</b> 	 Someone else: (G4 codes) 	 Someone else: ( <b>G4 codes)</b> 	 Someone else: ( <b>G4 codes)</b> 	 Someone else: (G4 codes) 
<ul> <li>9b. Did you choose [name] as your spouse, or did someone else choose? (1=Own decision, 2=Someone else, 99=DK)</li> <li>If "someone else", specify all individuals with G4 codes.</li> </ul>	 Someone else: ( <b>G4 codes)</b> 				
10a. Would you say that your family or <b>[name]</b> 's family is better off financially? (1=Own family, 2=Spouse's family, 66=Refuses to respond, 99=DK)					
10b. Would you say that your family or <b>[name]</b> 's family owns more land? (1=Own family, 2=Spouse's family, 66=Refuses to respond, 99=DK)					

	Marriage A	Marriage B	Marriage C	Marriage D	Marriage E
11. Was a bride price paid? (1=Yes, 2=No, 99=DK) <i>If YES, continue. ELSE, skip to q.12.</i>			II	II	
<ul> <li>11a. What was the value (in shillings) of the total agreed upon price? (99=DK) <i>If</i> <i>bride price was in cattle, ask the FR</i> <i>to estimate the total cost in shillings.</i></li> <li>11ai. <i>List currency if not Ksh. Use G12</i></li> </ul>	a.    ai.   Other:	a.    ai.   Other:	a.    ai.   Other:	a.    ai.   Other:	a.    ai.  q  Other:
<ul> <li>codes.</li> <li>11b. What is the value (in shillings) of the amount that has been paid so far? (99=DK)</li> <li>10bi. List currency if not Ksh. Use G12 codes.</li> </ul>	b.    bi.   Other:				
12. What type of marriage was this? (1=Yes, 2=No, 99=DK) a. Religious c. Traditional b. Civil d. Informal	a.    b.    c.    d.				
<ul> <li>13. Have you ever used any form of birth control with this partner? (1=Yes, 2=No, 99=DK)</li> <li>If "No", skip to question 17.</li> </ul>	II	II			II
14. Have you or <b>[name]</b> ever used condoms during this marriage? (1=Yes, 2=No, 99=DK)		II	l	I	I
15. Have you or <b>[name]</b> ever used pills to prevent pregnancy during this marriage? (1=Yes, 2=No, 99=DK)			II	II	
<ul> <li>16. What primary form of birth control have you and <b>[name]</b> used? (1=Condoms, 2=Pills, 3=Injectibles (eg. Depo Provera), 4=IUD (eg. Coil), 5="Safe days", 6=Herbal, 8=Other (specify))</li> </ul>	 Other:	 Other:	 Other:	 Other:	 Other:

	Marriage A	Marriage B	Marriage C	Marriage D	Marriage E
<ul> <li>17. Are you still married to this person? (1=Yes, 2=No)</li> <li>If YES, skip to q.21. If NO, continue.</li> </ul>	II	II		II	II
18. Is this person still alive? (1=Yes, 2=No, 99=DK) <i>If YES/DK, skip to 20. If NO, continue.</i>	II	II	II		II
19. I understand it may be difficult or upsetting to talk about, but could you share with me what was the cause of <b>[name]</b> 's death? (66=Refuses to answer)					
20. How old were you when the marriage ended? (99=DK) <i>If spouse died, enter</i> <i>FR age when spouse died.</i>	II	LI	II		II
21. What is / was the tribe / mother tongue of this spouse? <b>Use G10 codes.</b>	 Other:	 Other:	 Other:	 Other:	 Other:
22. In what county was <b>[name]</b> born? Use G2a codes. If not born in Kenya, code 88.	 Other:	 Other:	 Other:	 Other:	 Other:
<ul> <li>23a. Did this spouse attend primary school in Busia County? (1=Yes, 2=No, 99=DK)</li> <li>If YES, skip to q23d. If NO, skip to q24. If DK, continue.</li> </ul>		II			
<ul> <li>23b. Did this spouse attend primary school in Bunyala District, Butula District, Matayos District, Nambale District, or Samia District? (1=Yes, 2=No, 99=DK)</li> <li>If YES, skip to q23d. If NO, continue. If DK, skip to q24.</li> </ul>		II			
23c. Did this spouse attend primary school in Angurai Division, Amagoro Division, Amukura Division, or Chakol Division? (1=Yes, 2=No, 99=DK)		II			II

	Marriage A	Marriage B	Marriage C	Marriage D	Marriage E
If YES, continue. If NO/DK, skip to q24.					
23d. Which primary school did your spouse attend? (99=DK) <b>Use E1</b> codes.	II	II	II	II	II
24. What is / was the religion / denomination of this spouse? (99=DK) <i>Use G11 codes</i>	 Other:	 Other:	 Other:	 Other:	 Other:
25. What is / was the highest level of education this spouse has completed? (99=DK) <i>Use G6 codes.</i>					II
<ul> <li>26. What is / was the primary occupation of this spouse (while you were married)? Use G9 codes (99=DK)</li> <li>Skip to q.28 if "Student", "No work or</li> </ul>					I
school", or "Retired". Otherwise, cont. 27. What was the amount of your spouse's <u>cash salary</u> for the last month (while you were married)? (99=DK) Ask for	a.	a.	a.	a.	a.
pre-tax salary, where applicable. 27i. List currency if not Ksh. Use G12 codes.	ai.   Other:				
<ul> <li>28. Other than in farming, is / was your spouse self-employed or running a business to earn a living (while you were married)? (1=Yes, 2=No, 99=DK)</li> <li>If YES, continue. If NO/DK, skip to g.31.</li> </ul>					
29. What was your spouse's total profit from this activity in the last month	C.	c.	c.	c.	C.
(while you were married)? (99=DK) 29i. <i>List currency if not KSH. Use G12</i> <i>codes.</i>	ci.   Other:				

	Marriage A	Marriage B	Marriage C	Marriage D	Marriage E	
<ul> <li>30. <i>If FEMALE:</i> Did you ever have a co-wife?</li> <li><i>If MALE:</i> Was this a polygamous marriage?</li> <li>(1=Yes, 2=No, 99=DK)</li> <li><i>If YES, continue. If NO/DK, skip to q.32.</i></li> </ul>						
<ul> <li>30a. <i>If FEMALE:</i> How many co-wives do you have?</li> <li><i>If MALE:</i> How many wives do/did you have at one time?</li> <li>(999=DK)</li> <li><i>If ZERO, skip to q.31.</i></li> <li><i>If MALE, skip to question 31.</i></li> </ul>						
30b. How many of these women were married to your spouse before you married him? (999=DK)		I	I	I		
<ul> <li>31. Have you been married to anyone else since this spouse? (1=Yes, 2=No)</li> <li><i>If YES, continue to next column. If NO, skip to Section 19.</i></li> </ul>		I	II			
32. <i>If FR is Female, read:</i> Do you have any co-wives? <i>If FR is male, read:</i> Is your marriage polygamous? (1=Yes, 2=No, 99=DK)    <i>If YES, continue. If NO/DK, skip to Section 19.</i>						
32a. <i>If FR is female:</i> How many co-wives <i>If FR is male:</i> How many wives do	(999=DK)	II				
If FR is female, continue. If male, skip to See	CUON 19.					
32b. How many of these women were man	nim?	(999=DK)				

# **SECTION 19. Fertility**

Please ensure the privacy of the FR for this section. If there are individuals within earshot who appear to be over age 5, please ask to speak with the FR privately.

**Read:** Now I would like to ask you some questions about your fertility and any children you might have. Please remember that this survey is confidential and that the information will be used for research purposes only. Also, I understand it may be difficult or upsetting to talk about, but please remember to include pregnancies that did not end in live birth.

# If male, skip to 1b.

If female, check year of last KLPS round survey on tracking sheet. If not surveyed in KLPS-2 or KLPS-3, continue. Otherwise, skip to 1b.

- 1a. **Only if FEMALE:** When did you experience menarche? (MM/YYYY; 99/9999=DK; 77/7777 = has not yet experienced menarche) |\_\_\_\_\_\_|/|\_\_\_\_|
- 1b. *For male FRs:* Has a sexual partner of yours ever been pregnant with your child (including pregnancies that are current or ended in stillbirth, miscarriage or abortion)?

For female FRs: Have you ever been pregnant (including pregnancies that are current or ended in stillbirth, miscarriage or abortion)?

(1=Yes, 2=No, 99	≔DK)
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# If NO or DK, skip to question 53. Otherwise, continue.

2. *For male FRs:* How many times has a sexual partner of yours been pregnant with your child (including pregnancies that are current or ended in stillbirth, miscarriage or abortion)?

*For female FRs:* How many times have you been pregnant (including pregnancies that are current or ended in stillbirth, miscarriage or abortion)? (99=DK) [\_\_\_]

If FR claims to DK, try to prompt them for how many pregnancies they do know about.

Check the tracking sheet for the "YEAR OF LAST FERTILITY INTERVIEW", which is the year we collected detailed information on children of this individual.

If year=8888 (never), continue. Otherwise, skip to question 3.

Read: Let's discuss these pregnancies, starting with the first one. Skip to table below.

3. For male FRs: Now let's focus on a shorter timeframe. Since January [year of last fertility interview], how many times has a sexual partner of yours been pregnant with your child (including pregnancies that are current or ended in stillbirth, miscarriage or abortion)? For female FRs: Now let's focus on a shorter timeframe. Since January [year of last fertility interview], how many times have you been pregnant (including pregnancies that are current or ended in stillbirth, miscarriage or abortion)?

57 / 91 FO Comments:

# If FR claims to DK, try to prompt them for how many pregnancies they do know about. If they insist that they do not know, skip to Question 30.

Read: Let's discuss these recent pregnancies, starting with the first one since January [year of last fertility interview].

# Table (part 1)

Note: Enter twins as two separate	(A)	(B)	(C)	(D)	(E)	(F)
pregnancies.	<b>C</b> -7	(-)	(-)	(- )	(-)	(- )
4. What was your relationship to the father / mother during the time of the pregnancy? (1= Legally married, 2= Living together but not legally married, 3= Engaged to be married, 4= Regular boyfriend or girlfriend, 5= Casual sexual partner, 6= Other (specify), 99=DK)	 	I	II	I	II	II
<ul> <li>5. <i>If male respondent:</i> Did the mother of the baby seek antenatal care during the pregnancy?</li> <li><i>If female respondent:</i> Did you seek antenatal care during the pregnancy? (1=Yes, 2=No, 99=DK)</li> <li><i>If YES, continue. OTHERWISE, skip to question 7.</i></li> </ul>		II				
<ul> <li>6. Where was antenatal care sought?</li> <li>(1= Govt hospital / health center / dispensary,</li> <li>2= Mission hospital / health center / dispensary,</li> <li>3= Private hospital / clinic,</li> <li>4= Traditional birth attendant,</li> <li>5= Other (specify)</li> <li>99= DK)</li> <li>If care sought at multiple locations, list the most frequent location.</li> </ul>		 		 		 
7. Is this a current pregnancy? (1=Yes, 2=No, 99=DK) <i>If YES or DK, skip to question 29.</i> <i>OTHERWISE, continue.</i>	II		II	II	II	
<ul> <li>8. How did the pregnancy end? (1=Live birth, 2=Stillbirth, 3=Miscarriage, 4=Abortion, 99=DK)</li> <li>If "1", skip to q.12 If "2", "3", or "4" continue. If "99", skip to q.29.</li> </ul>		II				II

9a. In what month and year did the pregnancy end? (99=DK) <i>Try to get <u>at</u> <u>least</u> year. Then, skip to q.29.</i>	 MM/YYYY	 MM/YYYY	 MM/YYYY	 MM/YYYY	 MM/YYYY	 MM/YYYY
12. Was the baby born in a hospital or clinic (as opposed to at a home)? (1=Yes, 2=No, 99=DK)						
14. Was the baby a boy or girl? (1=Boy, 2=Girl, 99=DK)						
13. What is the first name of this child? (DK=99) 88=NA (i.e. never named)						
9b. Was the child born pre-term? (1=Yes, 2=No, 99=DK)	I					
9c. What month of the pregnancy was the child born?	I					
16. Is this child still living? (1=Yes, 2=No, 99=DK) If YES, skip to question 16a If NO or DK, continue.						
<ul> <li>10. I would like to see the child's health card in order to record his/her birthdate and weight at birth. Would it be possible to see this card right now? (1=Yes, 2=No) <i>Record NO if card is not available or does not exist.</i></li> </ul>						
11. Record child's birth month and year from health card. If not available or does not contain birthdate, ask "In what month and year was the baby born?" Try to get <u>at least</u> year. (99=DK)	 MM/YYYY	 MM/YYYY	 MM/YYYY	 MM/YYYY	 MM/YYYY	 MM/YYYY
11a. Was birthdate information obtained from health card? (1=Yes, 2=No)						
13. What is the first name of this child? (DK=99) 88=NA (i.e. never named)						

15. Record the birthweight of the child, according to the health card. If not available, ask "What was [name]'s weight at birth?" (Code 9.9 = Weight not measured at birth, 99.0 = Weight measured but FR doesn't know / cannot discern it from health card)	.   kg					
15a. Was birthweight obtained from healthcard? (1=Yes, 2=No)	<u> </u>	<u> </u>				
16a. How old is this child now? <b>Record age</b> in years. Use 0 if <1 year. Compare year of birth to child age, and probe if they do not make sense together. Skip to question 18.	years	years	years	years	years	years
17. How old in years and months <u>was</u> [name] when he / she died? (99=DK) <i>If</i> <i>less than one year, enter "0" in year</i> <i>blank and continue to months. If less</i> <i>than one month, enter "0" in month</i> <i>blank. Then, go to q. 29.</i>	 YY/MM	 YY/MM	 YY/MM	 YY/MM	 YY/MM	 YY/MM
18. Has <b>[name]</b> ever received a vaccination? (1=Yes, 2=No, 99=DK)	I					
19. Last night, did <b>[name]</b> sleep under a bed net? (1= Yes, 2= No, 99=DK)						
20. During the past seven days, has <b>[name]</b> experienced any of the following: (1=Yes, 2=No, 99=DK) a. Fever / malaria? b. Vomiting? c. Cough? d. Diarrhea?	a.    b.    c.    d.					
21. Overall, would you say <b>[name]</b> 's health is very good, good, fair, poor, or very poor? (5=Very good; 4=good; 3=fair; 2=poor; 1=very poor; 99=DK)	II				II	II

<ul> <li>22. Does [name] live with you? Meaning, does [name] typically sleep in your household? (1=Yes, 2=No)</li> <li>If YES, skip to q.23. If NO, continue.</li> </ul>	II	II	II			
<ul> <li>22a. With whom does [name] live? What is this person's relationship to you?</li> <li>Record person's relationship to FR.</li> <li>Use G4 codes. (99=DK)</li> </ul>			 			
23. Who is the primary caregiver for [name] during the week? <i>List up to 2</i> <i>individuals. Use G4 codes. Code</i> <i>based on relationship with <u>FR</u>, not</i> <i>with child.</i> (99=DK)	 Other:	 Other:	 Other:	 Other:	 Other:	 Other:
24. Is <b>[name]</b> currently enrolled in a daycare? (1=Yes, 2=No, 99=DK)	II					
<ul> <li>25. Is [name] currently enrolled in school, including ECD, pre-school, or another school? (1=Yes, 2=No, 99=DK)</li> <li>If NO, continue. If YES, skip to 26b. If DK, skip to q.29.</li> </ul>	II	II	II	II	II	II
26a. Why is <b>[name]</b> not currently enrolled in school, including ECD, pre-school, or another school? <b>Use E2 codes.</b>	 	 	 	 	 	 
26b. What grade is <b>[name]</b> currently enrolled in? <b>Use G6 codes.</b> (99=DK)	II	II				
26. Select Grade	I					
27. Is this school that <b>[name]</b> is enrolled in public or private? (1=Public, 2=Private, 99=DK)						
27b. Does <b>[name]</b> board at this school? (1=Yes, 2=No, 99=DK)						
28. Did <b>[name]</b> attend school last week? <i>If</i> <i>it is currently a holiday from school,</i> <i>ask about the last week before the</i> <i>holiday started.</i> (1=Yes, 2=No, 99=DK)	I	I		II		II

<ul> <li>29. For male FRs: Has a sexual partner of yours been pregnant with any other children of yours (including pregnancies that are current or ended in stillbirth, miscarriage or abortion), since [name]?</li> <li>For female FRs: Have you had any other pregnancies (including pregnancies that are current or ended in stillbirth, miscarriage or abortion) since [name]? (1=Yes, 2=No, 99=DK)</li> <li>If YES, continue to next column (note that for households with more than 6 children, this table continues with column G below). If NO or DK, skip to question 30.</li> </ul>	1	II	II			
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# Table (part 2)

Note: Enter twins as two separate	(A)	(B)	(C)	(D)	(E)	(F)
pregnancies.	()	(-)	(-)	(-)	(-)	(- )
4. What was your relationship to the father / mother during the time of the pregnancy? (1= Legally married, 2= Living together but not legally married, 3= Engaged to be married, 4= Regular boyfriend or girlfriend, 5= Casual sexual partner, 6= Other (specify), 99=DK)	 	ll	 	 	II	 
<ul> <li>5. If male respondent: Did the mother of the baby seek antenatal care during the pregnancy?</li> <li>If female respondent: Did you seek antenatal care during the pregnancy? (1=Yes, 2=No, 99=DK)</li> <li>If YES, continue. OTHERWISE, skip to question 7.</li> </ul>				II		II
<ul> <li>6. Where was antenatal care sought?</li> <li>(1= Govt hospital / health center / dispensary,</li> <li>2= Mission hospital / health center / dispensary,</li> <li>3= Private hospital / clinic,</li> <li>4= Traditional birth attendant,</li> <li>5= Other (specify)</li> <li>99= DK)</li> <li>If care sought at multiple locations, list the most frequent location.</li> </ul>				 	 	<u> </u>
7. Is this a current pregnancy? (1=Yes, 2=No, 99=DK) <i>If YES or DK, skip to question 29.</i> <i>OTHERWISE, continue.</i>	II	II	II	II	II	II
<ul> <li>8. How did the pregnancy end?</li> <li>(1=Live birth, 2=Stillbirth, 3=Miscarriage, 4=Abortion, 99=DK)</li> <li>If "1", skip to q.12 If "2", "3", or "4" continue. If "99", skip to q.29.</li> </ul>				II		II

9a. In what month and year did the pregnancy end? (99=DK) <i>Try to get <u>at</u> <u>least</u> year. Then, skip to q.29.</i>	 MM/YYYY	 MM/YYYY	 MM/YYYY	 MM/YYYY	 MM/YYYY	 MM/YYYY
12. Was the baby born in a hospital or clinic (as opposed to at a home)? (1=Yes, 2=No, 99=DK)						
14. Was the baby a boy or girl? (1=Boy, 2=Girl, 99=DK)						
13. What is the first name of this child? (DK=99) 88=NA (i.e. never named)						
9b. Was the child born pre-term? (1=Yes, 2=No, 99=DK)	I					
9c. What month of the pregnancy was the child born?	I					
16. Is this child still living? (1=Yes, 2=No, 99=DK) If YES, skip to question 12. If NO or DK, continue.						II
<ul> <li>10. I would like to see the child's health card in order to record his/her birthdate and weight at birth. Would it be possible to see this card right now? (1=Yes, 2=No) <i>Record NO if card is not available or does not exist.</i></li> </ul>						
11. Record child's birth month and year from health card. If not available or does not contain birthdate, ask "In what month and year was the baby born?" Try to get <u>at least</u> year. (99=DK)	 MM/YYYY	 MM/YYYY	 MM/YYYY	 MM/YYYY	 MM/YYYY	 MM/YYYY
11a. Was birthdate information obtained from health card? (1=Yes, 2=No)						
13. What is the first name of this child? (DK=99) 88=NA (i.e. never named)						

15. Record the birthweight of the child, according to the health card. If not available, ask "What was [name]'s weight at birth?" (Code 9.9 = Weight not measured at birth, 99.0 = Weight measured but FR doesn't know / cannot discern it from health card)	.   kg					
15a. Was birthweight obtained from healthcard? (1=Yes, 2=No)	I	<u> </u>	<u> </u>	I	<u> </u>	I
160 How old in this shild new? <b>Descridence</b>						
16a. How old is this child now? <b>Record age</b> in years. Use 0 if <1 year. Compare year of birth to child age, and probe if they do not make sense together. Skip to question 18.	years	years	years	years	years	years
17. How old in years and months <u>was</u> [name] when he / she died? (99=DK) <i>If</i> <i>less than one year, enter "0" in year</i> <i>blank and continue to months. If less</i> <i>than one month, enter "0" in month</i> <i>blank. Then, go to q. 29.</i>	 YY/MM	 YY/MM	 YY/MM	 YY/MM	 YY/MM	 YY/MM
18. Has <b>[name]</b> ever received a vaccination? (1=Yes, 2=No, 99=DK)						
19. Last night, did <b>[name]</b> sleep under a bed net? (1= Yes, 2= No, 99=DK)						
20. During the past seven days, has <b>[name]</b> experienced any of the following: (1=Yes, 2=No, 99=DK) a. Fever / malaria? b. Vomiting? c. Cough? d. Diarrhea?	a.    b.    c.    d.					
21. Overall, would you say <b>[name]</b> 's health is very good, good, fair, poor, or very poor? (5=Very good; 4=good; 3=fair; 2=poor; 1=very poor; 99=DK)	II	II		II	II	II

<ul> <li>22. Does [name] live with you? Meaning, does [name] typically sleep in your household? (1=Yes, 2=No)</li> <li>If YES, skip to q.23. If NO, continue.</li> </ul>	II	II		II	II	
<ul> <li>22a. With whom does [name] live? What is this person's relationship to you?</li> <li>Record person's relationship to FR.</li> <li>Use G4 codes. (99=DK)</li> </ul>	I		 	 	 	 
23. Who is the primary caregiver for [name] during the week? List up to 2 individuals. Use G4 codes. Code based on relationship with <u>FR</u> , not with child. (99=DK)	 Other:	 Other:	 Other:	 Other:	 Other:	 Other:
24. Is <b>[name]</b> currently enrolled in a daycare? (1=Yes, 2=No, 99=DK)	II		II		II	II
<ul> <li>25. Is [name] currently enrolled in school, including ECD, pre-school, or another school? (1=Yes, 2=No, 99=DK)</li> <li>If NO, continue. If YES, skip to 26b. If DK, skip to q.29.</li> </ul>	II	II	II	II	II	II
26a. Why is <b>[name]</b> not currently enrolled in school, including ECD, pre-school, or another school? <i>Use E2 codes.</i>	 	 	 	 	 	 
26b. What grade is <b>[name]</b> currently enrolled in? <b>Use G6 codes.</b> (99=DK)						
27. Is this school that <b>[name]</b> is enrolled in public or private? (1=Public, 2=Private, 99=DK)						
27b. Does <b>[name]</b> board at this school? (1=Yes, 2=No, 99=DK)	I					
28. Did [name] attend school last week? If it is currently a holiday from school, ask about the last week before the holiday started. (1=Yes, 2=No, 99=DK)						

<ul> <li>29. For male FRs: Has a sexual partner of yours been pregnant with any other children of yours (including pregnancies that are current or ended in stillbirth, miscarriage or abortion), since [name]?</li> <li>For female FRs: Have you had any other pregnancies (including pregnancies that are current or ended in stillbirth, miscarriage or abortion) since [name]? (1=Yes, 2=No, 99=DK)</li> <li>If YES, continue to next column (note that for households with more than 6 children, this table continues with column G below). If NO or DK, skip to question 30.</li> </ul>	II		II	II	II	II
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# 

31. Do you have any other biological children, born BEFORE January **[year of KLPS-3 interview]**, that we have not discussed just now? I know it may be difficult or upsetting, but please include children who are alive as well as children who were born alive but are no longer living now. (1=Yes, 2=No, 99=DK) |\_\_\_|

If YES, continue. If NO or DK, skip to question 49.

32. How many other biological children, born BEFORE January **[year of KLPS-3 interview]**, do you have – whether they are currently living or not? (99=DK) |\_\_\_|

If FR claims not to know, prompt for how many they do know about. If FR insists they DK, skip to question 49.

**Read:** I would like to ask just a few questions about the health and schooling of these older children. **If there is more than one:** Let's first talk about the youngest of these children born before **[year of KLPS-3 interview]**. **Proceed to question 33, and down column A.** 

	(A)	(B)	(Ĉ)	(D)	(E)	(F)
33. What is the child's first name?						
(99=DK, 88=NA i.e. never named)			<u> </u>			
34. Is <b>[name]</b> a boy or girl?		1 1	1 1		1 1	1 1
(1=Boy, 2=Girl, 99=DK)	II	II	II	II	II	II

35. What year was <b>[name]</b> born? (9999=DK)	/	/	_/	/	_/	/
What was <b>[name]</b> 's month of birth? (99=DK)						
35a. Was <b>[child name]</b> born pre- term? (1=Yes, 2=No, 99=DK)						
35b. What month of the pregnancy was [child name] born?						
<ul> <li>36. Is this child still living? (1=Yes, 2=No, 99=DK)</li> <li>If NO, continue. If YES, skip to q.38. If DK, skip to q.48.</li> </ul>						
36a. How old in years and months was <b>[name]</b> when he / she died? (99=DK) <i>If less than one year,</i> <i>enter "0" in year blank and</i> <i>continue to months. If less than</i> <i>one month, enter "0" in month</i> <i>blank. Then, go to q. 48.</i>	 YY/MM	 YY/MM	 YY/MM	 YY/MM	 YY/MM	 YY/MM
38. Last night, did <b>[name]</b> sleep under a bed net? (1= Yes, 2= No, 99=DK)		II				
39. During the past seven days, has <b>[name]</b> experienced any of the following: (1=Yes, 2=No, 99=DK) a. Fever / malaria? b. Vomiting? c. Cough? d. Diarrhea?	a.    b.    c.    d.					
40. Overall, would you say <b>[name]</b> 's health is very good, good, fair, poor, or very poor? (5=Very good; 4=good; 3=fair; 2=poor; 1=very poor; 99=DK)						

<ul> <li>41. Does [name] live with you? Meaning, does [name] typically sleep in your household? (1=Yes, 2=No)</li> <li>If YES, skip to q.42. If NO, continue.</li> </ul>						
41a. With whom does <b>[name]</b> live? What is this person's relationship to you? <i>Record person's</i> <i>relationship</i> <u>to FR</u> . Use G4 codes. (99=DK)	I	 	 	 	 	 
42. Who is the primary caregiver for [name] during the week? (99=DK) List up to two. Use G4 codes. Code based on relationship with FR, not child.	/    	/	/   	/	/	/ /
43. Is <b>[name]</b> currently enrolled in a daycare? (1=Yes, 2=No, 99=DK)						
<ul> <li>44. Is [name] currently enrolled in school, including ECD, pre-school, or another school? (1=Yes, 2=No, 99=DK)</li> <li>If YES, cont. Else, skip to q.48.</li> </ul>						II
44a. Why is <b>[name]</b> not currently enrolled in school, including ECD, pre-school, or another school? <b>Use E2 codes.</b>						
45. What grade is <b>[name]</b> currently enrolled in? <b>Use G6 codes.</b> (99=DK)	II				II	
46. Is this school that <b>[name]</b> is enrolled in public or private? (1=Public, 2=Private, 99=DK)	II				II	

47. Did <b>[name]</b> attend school last week? <i>If it is currently a holiday</i> <i>from school, ask about the last</i> <i>week before the holiday started.</i> (1=Yes, 2=No, 99=DK)						
<ul> <li>48. Do you have any other children, born before January [year of KLPS-3/KLPS-Kids interview], that we have not yet discussed? (1=Yes, 2=No, 99=DK)</li> <li>If YES, continue to next column. If NO or DK, skip to q.49.</li> </ul>						

49. Does the FR have any living, biological children?

(1=Yes, 2=No)

If YES, continue. If NO, skip to question 51.

50. Now think about all of your children. Who in your family usually has the final say on the following decisions about your children:

1= Respondent 2= Spouse / partner	3= Respondent and p 4= Someone else	artner jointly 5= Respondent & someone else jointly 88= N/A					
a. Any decisions about ch	ildren's schooling?	If no children of school age, enter 88.					
b. What to do if a child fall	ls sick?						
c. How children should be	e disciplined?						
d. Whether to have anothe	er child?						

51. Have you purchased any drugs for worm infections or schistosomiasis for your children in the last year? (1= Yes, 2= No, 99=DK)

51a. *If YES:* How much have you spent in total on drugs for worm infections or schistosomiasis for your children in the last year? 24b. *List currency if not KSH. Use G12 codes.* |\_\_\_| Other: \_\_\_\_\_

52. Have you received any drugs for worm infections or schistosomiasis (for free) for your children in the last year? (1= Yes, 2= No, 99=DK) |\_\_\_|

# *If respondent / partner HAS NEVER GIVEN (LIVE) BIRTH, ask question 53. OTHERWISE, skip to question 54.*

53. Have you ever tried for 12 months to conceive a child with a partner but have been unable to? (1=Yes, 2=No, 99=DK) |\_\_\_|

Note: If survey is being conducted on paper, ask questions in the following order. If survey is being conducted on the tablet, 80% GET VERSION 1 (CURRENT PREFERENCES first, RECALL [Q36-Q41] second), while 20% GET VERSION 2 (RECALL Q36-Q41 first, CURRENT PREFERENCES second).

## CURRENT PREFERENCES

54. Today, if you could choose exactly, how many children do you want to have in total, including any you have now? (44=As many as possible, 99=DK) |\_\_\_|

#### If 44, skip to question 57. Otherwise continue.

55. If you were forced to choose between (Number given in Q54 + 1) and (Number given in Q54 - 1), which would you prefer? (99=DK) |\_\_\_|

57. If your partner/spouse could choose exactly, how many children would he/she want to have in total with you (including those whom you have now)?

(44=As many as possible, 99= DK) |\_\_\_\_|

*Read:* Now, I would like to ask you some questions about your hopes for the future, the children you would like to have, and the kind of family that you envision for yourself.

58. Do you want to have (any more) children someday? *If respondent/partner is currently pregnant, read:* This is in addition to the one you are currently expecting. (1=Yes, soon; 2=Yes, later; 3=No, 99=DK) | |

## If "NO", continue. If "yes", skip to question 60.

59. Do you think that you might change your mind about having additional children? (1=Yes, 2=No, 99=DK) |\_\_\_|

#### **RECALL SUBSECTION**

60. If you could go back to the time you did not have any children and could choose exactly the number of children to have in your whole life, how many would that be? [\_\_\_], 99=DK

*Read:* Now, think back to the year of [year of KLPS-2 interview]:

If conducting survey on paper, read version 1. Otherwise, use version randomly selected by the tablet.

One option between 61a. and 61e. chosen at random (TBD: e.g. 40% control, 10% Reminder, 40% monetary incentives (20% & 20%), 10% psychological concerns)

60a. **[Version 1]** If we had asked you back then, how many children in total would you have said you would like you or your partner to give birth to, including any who had already been born? (44=As many as possible, 88= I don't recall, 99=DK) | |

60b. **[Version 2]** When we asked you back then, how many children in total did you say you would like you or your partner to give birth to, including any who had already been born? Please note that we actually asked you this question back then and recorded its answer.

(44=As many as possible, 88= I don't recall, 99=DK)

60c. **[Version 3]** When we asked you back then, how many children in total did you say you would like you or your partner to give birth to, including any who had already been born? Please note that we actually asked you this question back then and recorded its answer. If you remember your past answer correctly, we will transfer you 20KES via MPESA in the next 5 business days. (44=As many as possible, 88= I don't recall, 99=DK).

60d. **[Version 4]** When we asked you back then, how many children in total did you say you would like you or your partner to give birth to,including any who had already been born? Please note that we actually asked you this question back then and recorded its answer. If you remember your past answer correctly, we will transfer you 40KES via MPESA in the next 5 business days. (44=As many as possible, 88=I don't recall, 99=DK).

60e. **[Version 5]**: When we asked you back then, how many children in total did you say you would like you or your partner to give birth to, including any who had already been born)? Remember that we often have good reasons to change our mind and therefore, having a different number of children than you initially desired does not mean you are not in control of your own life. With that in mind, what answer did you provide us with back then?

(44=As many as possible, 88=I don't recall, 99=DK) |\_\_\_|

61. You recall having wanted **[number given in Q60]** children in **[year of KLPS-2]**. Let's suppose you did not say you wanted to have **[numer given in Q60]** children: What's the most likely answer you provided us with back then instead of **[number given in Q60]** children? (99=DK)

# If participated in KLPS-1 Fertility module, continue. Otherwise, skip to question 63.

62. Think back to the year of **[year of KLPS-1 interview]**: If I had asked you back then, how many children in total would you have said you would want to have, including any who were already born? (44 = As many as possible, 99=DK) [\_\_\_]

63. When you were a teenager, did you want more or less number of children compared to your currently preferred number of children? (1=yes -more, 2=yes- less, 3=same, 99=DK) (1=yes, 2=no, 99=DK)|\_\_\_|

# If yes-more or yes-less , continue. Otherwise, skip to the Information Treatment Subsection.

64. Why have you changed the ideal number of children you want to have?
Don't read out the options, simply check the ones given by the respondent. If they give a
different reason, denote in "Other".

1=Enjoyed children more than expected 2=Enjoyed children less than expected 3=My spouse wanted more children than me 4=My spouse wanted fewer children than me 5=Finances have worsened 6=Finances have improved

7=All my desired children were of the same gender and I wanted at least one of the opposite gender, too 8=I migrated and the norms are different 9=I migrated and it was costlier to have children

10=Having children turned out being more expensive than I had thought 11=Most of my friends/neighbors had more children than I desired 12=Most of my friends/neighbors had fewer children than I desired 13=The pregnancies were difficult 14=I or my partner was in bad health 15=I got married early 16=My spouse died 17=I got divorced 18=My husband took another wife 19=My co-wife had many children 20=I no longer got along with spouse 21=I decided not to get married and/or to have kids

22=Other (specify)

Other:

#### NORMS, EXPECTATIONS AND BELIEFS

65. Which number of children is most highly regarded where you currently live? Think about your village and surrounding villages (or neighborhood, if live in city). |\_\_| 99=DK

66. How much do you agree with the following statement on a scale of 1 to 10: the number of children we end up having is something god-given and cannot be controlled by us. *Show the respondent scale M, and demonstrate that they should select their answer using the scale.* 

(1=not at all, 2, 3, 4, 5, 6, 7, 8, 9, 10=completely)

67. How many children do you expect to have in total around age 45? (44 = As many as possible, 99=DK) |\_\_\_\_

68. How confident are you in your answer to the previous question? **Show the respondent** scale N, and demonstrate that they should select their answer using the scale. (1=not confident at all, 2, 3, 4, 5, 6, 7, 8, 9, 10=absolutely certain) | |

69a. Imagine that there is a woman in your surrounding village/ neighborhood with one daughter but no son and is currently expecting a second child. What is your best guess that this person gives birth to a son or a daughter as her second child? (1 - a lot less likely to have a son, 2 - slightly less likely to have a son, 3 - roughly equally likely to have a son or daughter, 4 - slightly more likely to have a son, 5 - a lot more likely to have a son) (99=DK)

69b. Imagine that there is a woman in your surrounding village/ neighborhood with three daughters but no son and is currently expecting a fourth child. What is your best guess that this person gives birth to a son or a daughter as her fourth child? (1 - a lot less likely to have a son, 2 - slightly less likely to have a son, 3 - roughly equally likely to have a son or daughter, 4 - slightly more likely to have a son, 5 - a lot more likely to have a son) (99=DK)

#### For question 70 and 71 ask women about girls, men about boys.

70. *If male respondent:* Imagine a typical 18-year old boy like a neighbor's child, or a nephew: How many children would you recommend this boy to have in her/his life? (99=DK)

*If female respondent:* Imagine a typical 18-year old girl like a neighbor's child, or a niece: How many children would you recommend this girl to have in her/his life? (99=DK) |\_\_\_|

71. *If male respondent:* Imagine a typical 18-year old boy like a neighbor's child, or a nephew: At what age would you recommend this boy to get married? (99=DK)

*If female respondent:* Imagine a typical 18-year old girl like a neighbor's child, or a niece: At what age would you recommend this girl to get married? (99=DK)

72a. Imagine that we asked some girls aged 18 from your surrounding village/ neighborhood who ideally would like to have either 4 or fewer children in total: how likely are they to end up having more children at age 40 than they currently desire? 1 - very unlikely, 2 - unlikely, 3 - somewhat likely, 4 - likely, 5 - very likely

72b. Imagine that we asked some boys aged 18 from your surrounding village/ neighborhood who ideally would like to have either 4 or fewer children in total: how likely are they to end up

having more children at age 40 than they currently desire? 1 - very unlikely, 2 - unlikely, 3 - somewhat likely, 4 - likely, 5 - very likely

73. How much do you agree with the following statement? There is a strong need for family planning programs providing access to contraceptives and advice for planning marriage and children in my district/ neighborhood.

(1=strongly disagree, 2=disagree, 3=disagree a little, 4=Neither disagree nor agree, 5=agree a little, 6=agree, 7=strongly agree)

## **INFORMATION TREATMENT**

If conducting this survey on paper, skip to Section 20.1 .Otherwise continue.

Check the tracking sheet to see whether the respondent was surveyed in KLPS-2. If yes, enter the randomized group reported by the tablet. If no, enter C

*Fertility Info Treatment Version:* |\_\_\_| (Valid responses: A, B or C)

[Randomization: 60% Version A, 40% Version B.]

IF INFO AT KLPS-2 available, ask 42a. or 42.b – IF NOT, ask 42c. to a subset (e.g. 1000 or 500).

Information text to appear here, 42a. to 42c. to be filled out at the end of the survey, depending on whether respondent chooses to obtain information. Note whether respondent chose one of the following: [0 - no, 1 - yes, 2 - yes, but closed eyes/avoided reading the answer]

**65a. If VERSION A: Read:** Remember that in the year of **[year of KLPS-2]**, we asked you how many children in total you would like you or your partner to give birth to. Once we're done with the survey, you have the chance to find out what you told us back then: simply remind me after the end of the survey and you will be able to see your past answer on my tablet. I will not get to see your answer.

Skip to Norms, Expectations, and Beliefs.

**65b.** If VERSION B: Read: Remember that in the year of [year of KLPS-2], we asked you how many children in total you would like you or your partner to give birth to. Once we're done with the survey, you have the chance to find out what you told us back then: simply remind me after the end of the survey and you will be able to see your past answer on my tablet. I will not get to see your answer. The tablet also tells me that you've been drawn in a lottery: if you do choose to remind me to see your past answer, you will be sent 20 KES via MPESA in the next 5 business days. Skip to Norms, Expectations, and Beliefs.

**65c. If VERSION C: Read:** The tablet just told me that you've been drawn in a lottery: if you want to receive 20 KES via MPESA later today, simply remind me after the end of the survey and you can confirm on the tablet that you indeed want to receive those 20KES.

## SECTION 20.1. Mental Health and Well-being

#### CESD Read: 4. I will read out a list of some of the ways you may feel or behave. Please indicate how often you have felt this way during the past week, using the following scale. 1= Rarely or none of the time 2= Some or a little of the time 3= Occasionally or a moderate amount of time 4= All of the time 66= (Do not read aloud): Refuses to respond 99= (Do not read aloud): Don't know Show the respondent scale D. Demonstrate that they should select their response using the scale. NOTE: FOR THE REST OF THE QUESTIONS IN THIS SECTION, READ THE QUESTIONS EXACTLY AS WRITTEN. YOU MAY REPEAT ANY QUESTIONS AS MANY TIMES AS YOU'D LIKE. BUT DO NOT REPHRASE ANY QUESTION OR ADD ADDITIONAL COMMENTS OR EXPLANATIONS. IF THE FR HAS TROUBLE UNDERSTANDING THE STATEMENT, PLEASE RE-READ BUT DO NOT TRY TO EXPLAIN THE QUESTION IN A DIFFERENT MANNER. In the past week, I was bothered by things that usually don't bother me a. In the past week, I had a problem in concentration on what I was doing b. In the past week, I felt depressed and troubled in my mind c. In the past week, I felt that everthing that I did took up all my energy d. In the past week, I felt hopeful about the future e. f. In the past week, I felt afraid In the past week, I had difficulty in sleeping peacefully g. h. In the past week, I was happy In the past week, I felt lonely i. In the past week, I lacked the motivation to do anything

**Read:** Now I would like to read some statements to you. Tell me whether you agree or disagree with each. Show the respondent scale E. Demonstrate that they should select their response using the scale.

5. I feel proud to show my friends or other visitors where I live.	<b>Probe:</b> Do you agree or disagree very strongly?	
<ul> <li>6. I feel proud of the work that I do. Even if the FR doesn't "work" in the traditional sense, ask them to consider the things that they do (school, housework, etc).</li> <li>7. I feel proud of my child/children. Use code "88" if FR has no children.</li> </ul>	1 = Agree very strongly 2 = Agree 3 = Disagree 4 = Disagree very strongly <b>DO NOT READ Option 5, 66, 88, 99</b> 5 = Neither agree nor disagree 66 = Refuses to respond 88 = N/A 99 = DK	

# Section 20.2 Big 5

Read: Now I will read a list of statements that may or may not apply to you. Please show me whether you agree strongly, agree a little, feel neutral/no opinion, disagree a little or disagree strongly. Show the respondent scale F. Demonstrate that they should select their response using the scale. 1= Disagree strongly 2= Disagree a little 3= Neutral: no opinion 4= Agree a little 5= Agree strongly 66=(Do not read aloud): Refuses to respond 99=(Do not read aloud): Don't know For each statement, Read: Do you Agree stongly, Agree a little, Disagree a little, Disagree Stongly or are neutral/have no opinion that the following statement describes you. [READ STATEMENT] CODE Tends to be quiet. 1. 2. Is compassionate, has a soft heart. 3. Tends to be disorganized. 4. Worries a lot. Is fascinated by art, music, or literature. 5. 6. Is dominant, acts as a leader. Is sometimes rude to others. 7. 8. Has difficulty getting started on tasks. 9. Tends to feel depressed, blue. Has little interest in abstract ideas. 10. Is full of energy. 11 12. Assumes the best about people. Is reliable, can always be counted on. 13. Is emotionally stable, not easily upset. 14 15. Is original, comes up with new ideas

Read: Now I will ask some more questions about your feelings and opinions.

16. Some people believe that individuals can decide their own destiny, while others think that it is impossible to escape a predetermined fate. Please tell me which comes closest to your view on this scale on which 1 means "everything in life is determined by fate" and 10 means "people shape their fate themselves." Show the respondent scale G. Demonstrate that they should select their response using the scale.

DK) |\_\_\_| 17. All things considered, how satisfied are you with your life as a whole these days on a scale of 1 to 10? (1= very dissatisfied...10= very satisfied) Show the respondent scale H. Demonstrate that they should select their response using the scale.

(1-10, 66= Refuses to respond, 99= DK)

(1-10, 66= Refuses to respond, 99=

18. How willing do you think you are to compete? From 0 (not willing to compete) to 10 (very willing to compete. *Show the respondent scale B for guidance.* 

## (0-10, 66= Refuses to respond, 99= DK) |\_\_\_|

## Section 20.3. MacArthur Ladder

For the rest of the questions in this section, read the questions EXACTLY AS WRITTEN. You may repeat any question as many times as you'd like, but do NOT rephrase any question or add additional comments or explanations.

**Read:** Think of a ladder as representing where people stand in their villages. At the top of the ladder are the people who have the highest standing in their village. At the bottom are the people who have the lowest standing in their village.

# Show the respondent scale I with the picture of a ladder. Demonstrate that they should select their response using the diagram.

 Where would you place yourself on this ladder? (1-10, 66=Refuses to respond, 99= DK) |\_\_\_|
 What place on the ladder would you like to achieve in your life? | (1-10, 66=Refuses to respond, 99= DK) | |

#### Section 20.4. Perceived Stress Scale 4

*Read:* Next, I would like to ask you about your feelings and thoughts during the last month. *Show the respondent scale J. Demonstrate that they should select their response using the scale.* 

1=Never 2=Almost never 3=Sometimes 4=Fairly often 5=Very often 66=(*Do not read*): Refuses to answer 99=(*Do not read*): Don't know

- 1. In the last 30 days, how often have you felt that you were unable to control the important things in your life?
- 2. In the last 30 days, how often have you felt certain in your ability to overcome your own personal problems?
- 3. In the last 30 days, how often have you felt that things were going your way?
- 4. In the last 30 days, how often did you feel that the problems were too much for you to manage?

#### Section 20.5. Generalized Self Efficacy

#### For the rest of the questions in this section, read the questions EXACTLY AS WRITTEN. You may repeat any question as many times as you'd like, but do NOT rephrase any question or add additional comments or explanations.

*Read:* I am now going to read statements that may apply or not apply to you. For each item, please tell me to what extent they are true for you.

Use the following scale.

Show the respondent scale K. Demonstrate that they should select their response using the scale.

1=Not at all true

2=Not very true

3=Somewhat true

4=Completely true

66= (Do not read): Refuses to respond

99= **(Do not read):** Don't know

1. I can always manage to solve difficult problems if I try hard enough	
2. If someone opposes me, I can find the means and ways to get what I want.	
<ol><li>It is easy for me to stick to my aims and accomplish my goals.</li></ol>	
<ol><li>I am confident that I could deal efficiently with unexpected events.</li></ol>	
5. Thanks to my resourcefulness, I know how to handle unforeseen situations.	
<ol><li>I can solve most problems if I invest the necessary effort.</li></ol>	
<ol> <li>I can remain calm when facing difficulties because I can rely on my coping abilities.</li> </ol>	l
	·
8. When I am confronted with a problem, I can usually find several solutions.	
9. If I am in trouble, I can usually think of a solution.	
10. I can usually handle whatever comes my way.	

# <u>Grit</u>

# Show the respondent scale L. Demonstrate that they should select their response using the scale.

For each of the following statements, please say whether the statement describes you very much, mostly, somewhat, not much, or not at all.

11. I am a hard worker.

Is this very much like you, mostly like you, somewhat like you, not much like you, or not like you at all?

(1=Very much like you, 2=mostly like you, 3=somewhat like you, 4=not much like you, 5=not like you at all, 66= Refuses to respond, 99= Don't know)

12. I often set a goal but later choose to pursue a different one. Is this very much like you, mostly like you, somewhat like you, not much like you, or not like you at all?

(1=Very much like you, 2=mostly like you, 3=somewhat like you, 4=not much like you, 5=not like you at all), 66= Refuses to respond, 99= Don't know)

# e: Activities in the Past 24 Hours

to ask about your activities during the past 24 hours starting with yesterday at 6am up until this morning at 6am. For Juld tell me what you did during that half hour. If you had several activities, please let me know the <u>main</u> activity.

d Social	<b>Personal, Family, and Social (cont.)</b> 16 = Study / attend class	Work and Travel (cont.) 24 = Fishing or hunting
	17 = Play sports	25 = At work – office / desk work
	18 = Spend time with spouse / partner	26 = At work – light manual (non-agricultural
	19 = Other:	work, such as nailing, roofing,
tivity (e.g., study,	20 = Other:	shoemaking, tailoring, baking, doing
n)	21 = Other:	textile factory work, sales)
sten to radio, read		27 = At work – heavy manual (non-
e, watch sport, sew	Work and Travel	agricultural work, such as carrying wood,
pd	22 = Light farm work (driving a tractor,	cement making, sawing, digging)
	ploughing with a tractor, pruning,	28 = Improve land / buildings
ep, wash dishes or	bagging, hand picking, planting,	29 = Travel by foot
ther HH chores	shelling, sorting, bundling, fertilizing,	30 = Travel by bicycle
/ood	splitting, feeding and milking animals)	31 = Travel by motorized means
on home	23 = Heavy farm work (loading crops onto	32 = Other:
athe, feed, look	truck, pulling hand cart, digging,	33 = Other:
k / elderly	hoeing, ploughing with a cow,	34 = Other:
i, help homework	spraying, weeding, gleaning, grinding,	35 = Other:
ends	husking, harvesting, threshing, cutting,	
nmunity activities /	tending and grooming animals)	36 = Same activity as previous half hour
ary work		

performing house chores as a job (e.g. a housegirl), the activity is 26 "At work – light manual" or 27 "At work al".

performing agricultural labor as a job, the activity is either 22 "Light farm work" or 23 "Heavy farm work". Ishing or hunting as a job, the activity is 24 "Fishing and Hunting".

on mid-day break from school, code what they are doing (e.g. eat, rest, study, or play sports).

ondent tells you when he/she goes to bed, ask him/her what time he/she got up and fill in the boxes

*For the past day, ask:* In the past day, from \_\_\_ [start time] to \_\_\_ [end time], what were you doing? *For today, ask:* Today, from \_\_\_ [start time] to \_\_\_ [end time], what were you doing?

		MORNING													AFTERNOON			
	(1)	(1) (2) (3) (4) (5) (6) (7) (8) (9) (10) (11) (12) (1									(13)	(14)	(15)	(16)				
	6:00- 6:30	6:30- 7:00	7:00- 7:30	7:30- 8:00	8:00- 8:30	8:30- 9:00	9:00- 9:30	9:30- 10:00	10:00- 10:30	10:30- 11:00	11:00- 11:30	11:30- 12:00	12:00- 12:30	12:30- 13:00	13:00- 13:30	13:30- 14:00		
Activity																		
If activity=17, specify sport If activity =25,26, or 27, specify occup (G9 codes)																		

		AFTE	RNOON			EVENING										
	(17)	(18)	(19)	(20)	(21)	(22)	(23)	(24)	(25)	(26)	(27)	(28)	(29)	(30)	(31)	(32)
	14:00- 14:30	14:30- 15:00	15:00- 15:30	15:30- 16:00	16:00- 16:30	16:30- 17:00	17:00- 17:30	17:30- 18:00	18:00- 18:30	18:30- 19:00	19:00- 19:30	19:30- 20:00	20:00- 20:30	20:30- 21:00	21:00- 21:30	21:30- 22:00
Activity																
If activity=17, specify sport If activity =25,26, or 27, specify occup (G9 codes)																

		NIGHT														
	(33)	(34)	(35)	(36)	(37)	(38)	(39)	(40)	(41)	(42)	(43)	(44)	(45)	(46)	(47)	(48)
	22:00- 22:30	22:30- 23:00	23:00- 23:30	23:30- 00:00	00:00- 00:30	00:30- 01:00	01:00- 01:30	01:30- 02:00	02:00- 02:30	02:30- 03:00	03:00- 03:30	03:30- 04:00	04:00- 04:30	04:30- 05:00	05:00- 05:30	05:30- 06:00
Activity																
If activity=17, specify sport If activity =25,26, or 27, specify occup (G9 codes)																

#### SECTION 22. Sleep Patterns: Adults

#### *Read:* Now I would like to ask you some questions about your sleep.

1. What time did you go to bed last night? <b>Use 24 hour clock.</b> (99=DK, 88=Not applicable / Did not sleep)	[][] : [][] (hour) (min)
1a. Was that earlier than, later than, or the same as you 2=Later; 3=Same; 99=DK, 88=Not applicable / Did not s	
If "Same", skip to question 2. Otherwise continue.	
1b. Over the last month what was your usual bedtime? <b>(</b>	<b>Use 24 hour clock.</b> ][ ] : [ ][ ] (99=DK)
2. How long did it take you to fall asleep last night? [ (99=DK, 88=Not applicable / Did not sleep)	(hour) (min) : [][] (hour) (min)

3. After falling asleep, how many times did you wake up during the night, not counting your final awakening? [\_\_\_] (99=DK, 88=Not applicable / Did not sleep)

#### If 1 or more times, continue to 3a. Otherwise, skip to 4.

- 3a. If you woke up during the night, how long were you awake during the night in total? (99=DK, 88=Not applicable / Did not sleep) [\_\_\_] (minutes)
- 4. What time did you wake up this morning? **Use 24 hour clock.** [\_\_][\_\_] : [\_\_][\_\_] (99=DK, 88=Not applicable / Did not sleep) (hour) (min)

4a. Was that earlier than, later than, or the same as your typical wake up time? (1=Earlier; 2=Later; 3=Same; 99=DK, 88=N/A) [\_\_\_]

If "Same", skip to question 5. Otherwise continue.

4b. Over the last month what was your usual wake up time? [\_][\_] : [\_][\_] (99=DK) (hour) (min)

- 5. How would you rate the quality of your sleep last night? (1=Very Poor; 2=Poor; 3=Fair; 4=Good; 5=Very Good; 99=DK, 88=N/A) [\_\_\_]
  - When you woke up for the day, how did you feel? (1=Very Fatigued; 2=Fatigued; 3=Fair; 4=Refreshed; 5=Very Refreshed 99=DK, 88=Not applicable / Did not sleep) [\_\_\_]

7. Did you nap yesterday? (1 = Yes; 2 = No; 99 = DK) [\_\_]

#### If YES, continue to 7a. If NO, skip to read statement before question 8.

7a. How many daytime naps did you take yesterday? [\_\_\_] (99=DK)

7b. In total, how long did you nap yesterday? Use 24 hour clock.

7c. Tell me start-time and end times of any daytime naps you had yesterday **Use 24** *hour clock*.:

START [][_]:[][_]	END [][_]:[][_]
START [][]:[][]	END []:[][]
START [][]:[][]	END [][]:[][]
START [][]:[][]	END [][]:[][]

Read: Now think about the night before last.

8. What time did you go to bed the night before last? **Use 24 hour clock.** (99=DK, 88=Not applicable / Did not sleep)

<b>`</b>									
				(hour) (mi	n)				
9. What time of	did you w	ake up yes <sup>.</sup>	terday morning?	Use 24 hour cl	ock.		_]:[_	_][	]
(99=D	K, 88=No	t applicable	e / Did not sleep)	(hou	ır)	(min)			

#### SECTION 23: Competition

#### For this section, any children aged 6-8 of the FR should not be present.

#### 23.1. Instructions and practice

You will now do a task where you have the possibility of earning real money. If you earn money, you will be paid in M-Pesa within 5 business days.

# Set up the task. Draw the ring using the short rope. And measure the distance to where the person should be throwing from, using the 3-meter rope.

The task is to throw a sandbag into a circle three meters away. You will get 5 throws. To be counted as a successful hit, the bag needs to stay inside the circle, and cannot touch the borders.

# Illustrate what counts as a successful hit and what is not a successful hit. Have the respondent practice the task. Allow 5 throws. Record number of hits on practice test (5 throws):

In the practice round, you had X successful hits. Now that you have practiced the task, you are ready to do the task with the possibility of earning money. We ask you to choose between one of two ways in which you can be paid for the task

#### SHOW the illustrations for ALONE and COMPETE. And use these to explain the concepts.

- 1. ALONE: you will get 20 Ksh, regardless of the number of successful hits. For example, if you get 0 successful hits, you get 20 ksh. If you get 5 successful hits, you get 20 Ksh.
- 2. COMPETE: you will get 40 Ksh or 0 Ksh. You will get 40 Ksh if you win over another person who did the task in Busia. You will also get 40 Ksh if you have the same as the other person. You will get 0 Ksh if you lose.

# If the subject asks questions about who the other person is, explain that the other person will be one of 10 persons, 5 women and 5 men, who already have done the task in Busia, where the task was only offered for ALONE. The computer will select randomly one of these persons to be the other person competing.

1a. How much money do you get if you choose ALONE?

2a. How much money do you get if you choose COMPETE and win, or get the same as the other person?

2b. How much money do you get if you choose COMPETE and lose?

#### If the subject gets any of the above questions wrong: Tell them the right answer, repeat the instructions and ask the questions again. If the subject also makes a mistake after hearing the instructions for a second time, indicate below that the subject did not understand the instructions, and proceed.

3. Did the subject understand the instructions? (1=Yes, 2=No)

#### 23.2 Game Play

1. Do you want to do the task for ALONE or COMPETE?

(1= ALONE pay, 2= COMPETE, 3= Does not wish to play the game). [\_\_\_\_] If ALONE: Let's do the task for ALONE. Allow 5 throws.

If COMPETE: Let's do the task for COMPETE. Allow 5 throws.

Record number of successful hits: |

**If ALONE:** You have now completed the task and you had X successful hits. **If COMPETE:** You have now completed the task and you had X successful hits. We will ask you some more questions before we will reveal how many successful hits the other person had. 2. Relative to others, do you think that you are better or worse at this task? **Use the scale from 1-5 to illustrate the range of answers.** 

```
(1= Much worse, 2= Worse, 3= About the same, 4= Better, 5= Much better)
```

# 23.3 Questions Directed at Parent About Child

If no children in age range 6 through 8 years old, skip to section 3. Parent payment For this section, ask that the child of the parent will not be present.

We will ask your child **[child name]**, to do a version of the task you just did. Your child will throw the sandbag in to the circle from two meters away.

DRAW the line where the child will throw from, to illustrate for the parent.

Your child can earn stars which can be exchanged for color crayons, pencils and erasers which we will give to them as a gift.

One star can be exchanged for one item. Your child will get one star to begin with and can earn more stars on the task.

Show the parent the star, the crayons, pencils and erasers.

Before doing the task for stars, the child will get a practice round. We then ask you to choose if your child should do the task for ALONE or COMPETE.

## Show the illustrations when explaining the instructions

- 1. ALONE: your child will get 1 star, regardless of the number of successful hits. For example, if your child gets 0 successful hits he/she will get 1 star. If your child gets 5 successful hits, he/she will get 1 star.
- 2. COMPETE: your child will get 2 stars or 0 stars. Your child will get 2 stars if he/she wins over another child of the same age who did the task in Busia. Your child will also get 2 stars if he/she has the same as the other child. Your child will get 0 stars if he/she loses.

If the subject asks questions about who the other child is, explain that the other child will be one of 10 children, 5 girls and 5 boys, who did the task in Busia, where the task was only offered for ALONE. The computer will randomly select one of these children to be the other child to compete.

1. Do you want your child to do the task for ALONE or COMPETE? We will not tell the child that the choice was made by you.

(1= ALONE, 2= COMPETE, 99= Does not want child to play the game) |\_\_\_\_|

2. What do you think your child would choose for him/herself?

(1= ALONE, 2= COMPETE, 99= Child will not want to play the game) |\_\_\_\_|
3. Relative to other children, do you think that your child is better or worse at this task? Use the scale from 1-5 to illustrate the range of answers.

(1= Much worse, 2= Worse, 3= About the same, 4= Better, 5= Much better) |\_\_\_\_|

4. Do you think it is useful to be competitive to be successful? Answer on a scale from 1-10 where 1 is "not important at all" and 10 is "very important. Use the scale from 1-10 to illustrate the range of answers.

\_\_\_\_\_

## 22.4. Payment parent

**If chose ALONE:** You have earned 20 Ksh, which will be paid to you in M-Pesa within one week.

If chose COMPETE: The other person got X hits.

If survey being done on paper: Please enter the current time (hours: minutes):

Use the rightmost number (the space in bold) as the person against whom the FR is competing. Look up this score and record here:

Score of competition person: |\_\_\_|

**If won:** You have won or got the same, and earned 40 Ksh, which will be paid to you in M-Pesa within 5 business days.

**If lost:** You have lost and therefore did not earn any money on this task.

### **SECTION 24. Private Behavior Questionnaire**

READ: This section of the survey covers topics related to private behavior. These topics include your past and current sexual partners, birth control, Gender-Based violence as well as spiritual practices and beliefs.

As we mentioned before, one purpose of this survey is to understand how people in Kenya grow up and change in all the many aspects of their lives as they become adults. Some of these aspects are health and spiritual practices.

To ensure your privacy and confidentiality in responding to these very personal questions, I will have you read and answer the private behavior questions on the tablet, which are available in both English and Kiswahili. You will have to select the responses that are correct on the tablet. When you finish filling out the questions, just swipe to the end and hand me the tablet to finalize. If you would prefer, I can also read the questions aloud. If you choose to read the questions silently yourself, I am available to clarify anything you don't understand.

Please answer accurately and do not worry about whether there is a correct answer. Your answers will have no effect on your personal life. As I mentioned, this information is confidential, only the researchers can access the data. Thank you for your cooperation.

#### 0. Will you answer these questions? (1=Yes, 2=No) |\_\_\_| If YES, hand FR the questionnaire to fill out and skip to question 2. If NO, prompt FR if agreeable to do this section on paper. If this is the case, change the response to yes and choose the paper option for this section in the next slide.

0a. *Do not ask the following question, simply record your impressions.* Why does FR refuse to fill out the questionnaire?

#### Now fill out the "Survey Refusal Sheet" and skip to Section 7 after the 5 min break.

0b. *Do not ask this question out loud.* Did the respondent answer the sexual behavior questionnaire himself / herself? (1=Yes, 2=No)

IF PRIVATE BEHAVIOR SECTION HAS BEEN DONE ON PAPER:

<u>REMEMBER TO PLACE THE COMPLETED SECTION IN A SEALED ENVELOPE AND</u> <u>FOLLOW ALL APPROPRIATE PROTOCOL TO MAINTAIN CONFIDENTIALITY AND</u> <u>COMPLY WITH HUMAN SUBJECT RULES.</u>

# Section 25. Conclusion

*Read:* Thank you for your time. Please give me just a moment as I wrap up the survey.

# Please make a note if you believe that the information given to you is suspicious:

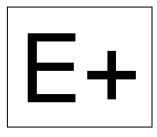
Do not read the questions in this box aloud. Simply record your own impressions.         0a. Did the respondent terminate the survey early? (1=Yes, 2=No)         If YES, continue. If NO, skip to question 1.
0b. Why did the respondent terminate the survey early?
3 = Too busy, does not have time 4 = Offended at guestion
5 = Suspicious of FO / survey intent / IPA 6 = Does not feel like continuing survey
7 = Other (eleza) Temporary Stop Instructions: You have indicated that the FR wishes to continue the
survey in the future. Please ask the FR when they are next available, and then call your team lead (or other senior team member) to confirm this day and time. If you are unable to
confirm this day and time, make a tentative appointment with the FR. Then, let the FR know that you will contact them to confirm when you will return. Record this information
and the current time on the tracking sheet now.         1. Time end interview:       (24 hr clock)   : _
2. How was the respondent's skill in speaking and understanding Kiswahili?
<ul> <li>1 = Displayed no problems speaking or understanding Kiswahili</li> <li>2 = Displayed a little difficulty speaking or understanding Kiswahili</li> <li>3 = Displayed moderate difficulty speaking or understanding Kiswahili</li> <li>4 = Displayed serious problems speaking or understanding Kiswahili</li> </ul>
3. Who among the following answered questions in this module? (Indicate all that apply <b>1=Yes</b> , <b>2=No</b> )
Á). Focus respondent
F). Focus respondent's other household members who are not relatives
4. Did the respondent become tired or impatient during the survey? (1= Not at all, 2=Somewhat tired/impatient, 3=Very tired/impatient)
5. How reliable do you think the information in this survey is? (1= Very reliable, 2=Somewhat reliable, 3= Not at all)
5a. <b>If SOMEWHAT or NOT RELIABLE: Why?</b> 6a. Is this interview being performed at the respondent's current residence, place of work, or school?
(1=Yes, 2=No)      <i>If YES, continue. If NO, skip to read end statement.</i>   6b. Please record a GPS reading now.
(i) Elevation m (ii) N / S (Circle one) o  . (iii) E / W (Circle one) o  .
If FERTILITY VERSION A or B:         7a. Has the respondent reminded you to see their KLPS-2 answers? (1=Yes, 2=No)            If NO, skip to 7b. If at any time while concluding the survey, the respondent does         remind you, come back and change this response to YES.

If YES, please hand the tablet to the respondent and instruct the respondent to swipe to see their response. Do not look at the respondent's answer.
7ai. Did respondent appear to read the information? (0=No / closed eyes / avoided reading answer, 1=Yes)
( <b>Do not read</b> ) 7b. If respondent in FERTILITY VERSION B <b>AND</b> question 7a equals 1, enter 20. Otherwise enter 0.
IF FERTILITY VERSION C, continue. Otherwise skip to question 8. 7c. Has the respondent reminded you about their lottery winnings from the fertility section? (1=Yes, 2=No).    If YES, enter 20 for question 7ci. Otherwise, enter 0 7ci. Fertility Version C winnings:
8. Enter the respondent's earnings from the following questions:         Fertility Earnings:          (total of 7b and 7ci)         Competition Earnings:          (from XX)         Total Earnings:          (total of Fertility and Competition Earnings)
FO NOTES:

If respondent has won money, continue. Otherwise, conclude survey. *Read:* You have won [total earnings] during our survey today.

IF FR has M-Pesa account: [add in M-Pesa instructions]

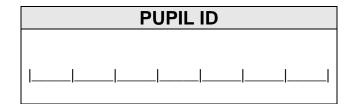
IF FR does not have M-Pesa account: [add in non-Mpesa instructions] Time end interview: (24 hr clock) |\_\_|\_| : |\_\_|



# KENYA LIFE PANEL SURVEY ROUND 4 (KLPS-4) E-Plus MODULE

# WAVE 1

VERSION: AUGUST 23, 2017 - ENGLISH



#### **SECTION 1. Pre-Interview Information and Consent**

Fill in this information before the in	terview FROM IDENTITY SECTION OF TRACKING SHEET:
1. Identification Number of Focus Res	pondent (Pupil ID):   _ _ _ _ _ _ _ _
2. Pupil Family Name:	
3. Pupil (a) Name 1 / (b) Name 2:	(a) / (b)
4. Pupil Gender:	(1=Male, 2=Female)
5. Baseline Program:	Response is either "PSDP" or "GSP".
6. Baseline Pupil School ID / Name:	/
7. Baseline Pupil Standard:	Standard should be between 2 and 7 for those in PSDP, or between 5 and 6 for those in GSP.
8. Date of interview: (DD/MN	I/YYYY)   _ /  _ /  _
9. Time start interview: (24 hr cl	lock)   _  :
10. Interviewer ID:	
10a. Interviewer name: (first)	/ (surname)
IF FR WAS PART OF THE <u>PSDP</u> , Rea IF FR WAS PART OF THE <u>GSP</u> , Read	
11. May we have your permission to as	k you some questions? (1=Yes, 2=No)
	<i>d with the survey?</i> (1=Yes-FR agrees to participate; 2=No- does not refuse but is unable to participate)    <i>inue.</i>
1 = Wants to reschedule ( <i>skip</i> 2 = FR refusal for this round on 3 = FR refusal for this round an 4 = Unable to survey – refusal to 5 = Unable to survey – in prisor	d any future rounds ( <i>skip to question 12c</i> ) by someone other than FR ( <i>skip to question 12d</i> ) n ( <i>skip to question 12g</i> ) Ilness / disability ( <i>skip to question 13</i> ) ed ( <i>skip to question 12f</i> )
team lead (or other senior team men	sk the FR when they are next available, and then call your nber) to confirm this day and time. If you are unable to ntative appointment with the FR. Then, let the FR know

confirm this day and time, make a tentative appointment with the FR. Then, let the FR know that you will contact them to confirm when you will return. Record this information and the current time on the tracking sheet now. End the interview.

- 12b. Record your impressions of why the FR refuses to participate during this survey round. If you feel comfortable doing so, you may ask the FR "Why don't you want to participate?" Choose up to 3 reasons. If someone else is refusing on behalf of the FR, return to question 12a and mark "4".
  - 1 = Survey is too long
  - 3 = FR has caregiving duties
  - 4 = FR has to work
  - 5 = FR does not want to disclose personal information
  - 6 = FR is suspicious of IPA
  - 7 = FR hasn't received (further) assistance from IPA and doesn't want to participate because of that
  - 8 = FR just doesn't want to / no reason given
  - 10 = Other (specify)
  - 99 = FO DK / Can't determine
  - |\_\_\_\_|/|\_\_\_\_| Other: \_\_

#### Skip to "Closing Interview Statement".

- 12c. Record your impressions of why the FR refuses to participate during this round and any future rounds. If you feel comfortable doing so, you may ask the FR "Why don't you want to participate?" Choose up to 3 reasons. If someone else is refusing on behalf of the FR, return to question 12a and mark "4".
  - 1 = FR has been interviewed before, and is tired of IPA coming to visit
  - 2 = FR is not interested in the project/research
  - 3 = FR does not want to disclose personal information
  - 4 = FR is suspicious of IPA
  - 5 = FR hasn't received (further) assistance from IPA and doesn't want to participate because of that
  - 6 = FR just doesn't want to / no reason given
  - 10 = Other (specify)
  - 99 = FO DK / Can't determine
    - \_\_\_\_|/|\_\_\_\_| Other: \_

Skip to "Closing Interview Statement".

12d. What is the relationship of this person to the FR? Use G4 codes.

12e. Why is this person refusing on behalf of the FR? If you feel comfortable doing so, you may ask the person "Why don't you want this person to participate?"\_\_\_\_\_

Skip to "Closing Interview Statement".

12f. Record your impressions of why we are unable to survey the respondent during this round.

Read: Thank you very much for your time. End interview here.

12g. Record your impression, but do not ask. Is this reason we are unable to survey the respondent temporary (i.e., temporary imprisonment) or permanent (i.e., life imprisonment) such that we should never try to reach this person again? (1=Temporary, 2=Permanent, 99=DK)

Read: Thank you very much for your time. End interview here.

13. Record your impressions of the FR's mental illness or disability. If you feel comfortable doing so, ask the FR or the FR's caretaker: What is his/her disability?

# The following questions collect some basic information for a FR who is mentally ill or disabled. Ask these questions of the FR or the FR's caretaker.

*Read:* If you don't mind, I would like to ask you just 3 questions about this person, in order to collect some very basic information about them.

13a. What is the highest level of education he/she attended? Use G6 codes,99=DK |\_\_\_\_|

13b. What is his/her occupation? Use G9 codes. 99=DK |\_\_\_\_

13c. Is he/she married? (1=Yes, 2=No, 99=DK) |\_\_\_\_|

 13d. Record your impression, but do not ask. Is this mental illness something that may be temporary (i.e., temporary ailment) or permanent (i.e., severe mental illness that won't likely be cured) such that we should never try to reach this person again).

 (1=Temporary, 2=Permanent, 99=DK)

 **Read:** Thank you very much for your time. End interview here.

*Closing Interview Statement. Read:* Thank you very much for your time. If you change your mind and would like to participate in the interview, please contact us at the IPA office. *Give the respondent a business card, and end interview here.* 

# **SECTION 2. Confirmation of Identity**

1. What is your current full name? Please spell it for me.

		/	(Name 1)	/	(1)	
	(Family name)		. ,		(Name 2)	
Tr na		o not count d	st recent name" li different spellings ontinue.	or different o		
ma 3= na	arried and took spe = Took baptismal n	ouse's name; name; 4= Too Family Name	he one in our recor 2= Took the name k a different / additi ; 6= Name previou Other:	of another fan onal name (no sly misspelled,	nily member (no t family or bapt 10= Other (sp	ot spous ismal ecify),
The d know	. Every FR shoul	mation is ver	/       (DD) (MM) ry important. Plea approximate the y	se probe the	FR if they clai	
	n, 9999=DK year)					
			<i>that we have listed</i> 3=Birth year is mis			he 
	P participant: Wh	nat primary sc	hool did you attend hool did you attend	l in Term 1 of 2		
	Use E1 c	codes. 9999=	:DK.	Other:		
th If YES	the school listed le Identity Section S or NOT LISTED	l in question n of the Trac ON TRACKI	<i>3 the same as the king Sheet? NG SHEET, skip to</i>	e "Baseline Pr (1=Y c question 4. I	es, 2=No)	
th If YES	the school listed le Identity Section S or NOT LISTED	l in question n of the Trac ON TRACKI	<i>3 the same as the king Sheet?</i>	e "Baseline Pr (1=Y c question 4. I	es, 2=No)	
th If YES 3b. W	the school listed le Identity Section S or NOT LISTED	l in question n of the Trac ON TRACKI ame primary	<i>3 the same as the king Sheet? NG SHEET, skip to</i>	e "Baseline Pr (1=Y c question 4. I	es, 2=No)	I
th If YES 3b. W	the school listed be Identity Section S or NOT LISTED Thy is this not the s	l in question n of the Trac ON TRACKI ame primary	<i>3 the same as the king Sheet? NG SHEET, skip to</i>	e "Baseline Pr (1=Y c question 4. I	es, 2=No)	I
th If YES 3b. W  . What is 	the school listed be Identity Section S or NOT LISTED 'hy is this not the s s your father's nam (Family name)	I in question n of the Trac. ON TRACKII ame primary ne?	3 the same as the king Sheet? NG SHEET, skip to school we have in (Name 1)	e "Baseline Pr (1=Y o question 4. I our records? _	res, 2=No) If <b>NO, continue</b> (Name 2)	e.
th If YES 3b. W . What is . What is 4a. Is Tr na If YES	the school listed re Identity Section S or NOT LISTED hy is this not the s syour father's nam (Family name) the name listed i racking Sheet? Do ame as different. S or NOT LISTED	in question n of the Track ON TRACKII ame primary he?   /   in question 4 o not count of (1=Yes, 2=N ON TRACKII	3 the same as the king Sheet? NG SHEET, skip to school we have in (Name 1) the same as that different spellings No, 3=Not listed on NG SHEET, skip to	e "Baseline Pr (1=Y o question 4. I our records? our records? isted in the Id or different o Tracking Shee o question 5. I	res, 2=No) If <b>NO, continue</b> (Name 2) dentity Section orderings of th t) If <b>NO, continue</b>	e.
th If YES 3b. W What is What is  4a. Is Tr na If YES 4b. W the diff	the school listed of Identity Section S or NOT LISTED Thy is this not the s syour father's nam (Family name) the name listed i racking Sheet? De ame as different. S or NOT LISTED Thy is this not the s e name of another f ferent / additional r Name previously n	in question n of the Trac. ON TRACKII ame primary he? ///////////////////////////////////	3 the same as the king Sheet? NG SHEET, skip to school we have in (Name 1) the same as that different spellings lo, 3=Not listed on	e "Baseline Pr (1=Y o question 4. I our records? our records? [/] <i>listed in the la</i> or different of Tracking Shee o question 5. I ds? List up to Took baptisma me); 5= Dropp 99=DK)	(Name 2) (Name 2) (Name 2) (If NO, continue (If NO, continue (If NO, continue (If NO, continue (If and a continue) (If a conti	n of the e same e. (2= Tc ok a
th If YES 3b. W What is What is 	the school listed of Identity Section S or NOT LISTED Thy is this not the s syour father's nam (Family name) the name listed i racking Sheet? De ame as different. S or NOT LISTED Thy is this not the s e name of another f ferent / additional r Name previously n	in question n of the Trac. ON TRACKII ame primary he?  /  in question 4 o not count of (1=Yes, 2=N ON TRACKII ame name we family member hame (not fam nisspelled; 10   Other: me?	3 the same as the king Sheet? NG SHEET, skip to school we have in (Name 1) the same as that different spellings No, 3=Not listed on NG SHEET, skip to e have in our recorder (not spouse); 3= hily or baptismal na 0= Other (specify), S	e "Baseline Pr (1=Y o question 4. I our records?	(Name 2) (Name 2) ( <i>dentity Section</i> orderings of that ( <i>NO, continue</i> ( <i>al name</i> ; 4= Too ( <i>ed Family Nam</i> )	e. n of the e same e. (2= To ok a ne;
th         If YES         3b. W	the school listed be Identity Section S or NOT LISTED Thy is this not the s syour father's nam (Family name) the name listed i racking Sheet? Do ame as different. S or NOT LISTED Thy is this not the s e name of another f ferent / additional r Name previously n	in question n of the Trac. ON TRACKII ame primary he?  /  in question 4 o not count of (1=Yes, 2=N ON TRACKII ame name we family member hame (not fam nisspelled; 10   Other: me?	3 the same as the king Sheet? NG SHEET, skip to school we have in (Name 1) the same as that different spellings No, 3=Not listed on NG SHEET, skip to e have in our record er (not spouse); 3= hily or baptismal na e Other (specify), 9	e "Baseline Pr (1=Y o question 4. I our records?	(Name 2) (Name 2) ( <i>dentity Section</i> orderings of that ( <i>NO, continue</i> ( <i>al name</i> ; 4= Too ( <i>ed Family Nam</i> )	e. n of the e same e. (2= To ok a ne;

5a. Is the name listed in guestion 5 the same as that listed in the Identity Section of the Tracking Sheet? Do not count different spellings or different orderings of the same name as different. (1=Yes, 2=No, 3=Not listed on Tracking Sheet)

If YES or NOT LISTED ON TRACKING SHEET, skip to question 6. If NO, continue.

5b. Why is this not the same name we have in our records? List up to 2 responses. (1= Got married and took spouse's name; 2= Took the name of another family member (not spouse); 3= Took baptismal name; 4= Took a different / additional name (not family or baptismal name); 5= Dropped Family Name; 6=Name previously misspelled; 10= Other (specify), 99=DK) | |/| | Other:

6. What is your clan? (99=DK)

6a. Does this clan name match the "clan" listed in the identity section of the tracking sheet? Do not count different spellings of the same clan as different.

(1=Yes, 2=No, 3=Not listed on Tracking Sheet) If YES, skip to question 7. If NO, continue.

1		
1		
-	_	 _

6b. Why is this not the same clan we have in our records? List up to 2 responses.

(1= Originally not sure of the clan name; 2= Clan name previously misspelled; 3=Originally gave clan for the then guardian/care giver; 4= Other (specify), 99=DK)

|\_\_\_\_|/|\_\_\_| Other: \_\_\_\_\_

7. Count the number of "2"s in questions 1a, 2a, 3a, 4a, 5a, and 6a and record that number here. Note: These 6 boxes are highlighted above so they are easy to find. If the number listed in question 7 is less than or equal to 1, continue. If the number is greater than 1, reconfirm that you have the correct focus respondent before proceeding. 8. Are you suspicious that this pupil is not the same pupil that we are looking for? 1 = Not at all suspicious3 = Moderately Suspicious2 = A bit suspicious4 = Very suspicious 7a. If 2, 3, or 4 (suspicious): Why?\_\_\_\_\_ If VERY SUSPICIOUS, consider ending interview. 9. Where is this interview being conducted? If you are interviewing an FR who works as live-in house help or a live-in guard and you are at their place of work, please select place of work and not current residence. If you are interviewing them at their home (not the home they work in), select appropriate home. 1 = In person, at current residence (may also be biological or marital home)  $2 = \ln \text{ person}$ , at biological home (not current residence) 3 = In person, at marital home (not current residence) 4 = In person, at the FR's school (specify, *use E1 codes*) |\_\_\_\_| Other: \_\_\_\_\_ 5 = In person, at the FR's work (specify name of place) 6 = In person, at IPA Busia office 7 = In person, at IPA Nairobi office 8 = Elsewhere (specify) 10. Is the interview being conducted with the interviewee alone (excepting IPA staff)? (1=Yes, 2=No) |\_\_\_\_| If YES, skip to Section 3. If NO, continue.

surve you n interv stay t	y. However, politely a eed to interview the F iew is private and co here for the interview duals who appear to a	nsk any non-househol FR and their househol nfidential. If there are n (including hh membe	for the first several section d members to leave, sayin d members alone. Stress t individuals in the room wh ers and non-hh members), using the G4 relationship c  /	g that that the to will list any
Other:		//	/	

## **SECTION 3. Contact Information**

Read: Now I would like to make certain that we have your current address information correct.

0. Are you currently in boarding school? (1=Yes, 2=No) |\_\_\_| *If NO, skip to question 0a. If YES, continue.* 

*Read:* Because you are in boarding school, I would like the current address information for the place you stay at boarding school. *Skip to question 0c.* 

0a. What is your occupation? (1=Guard or house help, 2=Other) |\_\_\_| If 1, continue to question 0b. If 2, skip to "read #1" statement below.

0b. Are you currently working as live-in house help or a live-in guard? (1=Yes, 2=No) |\_\_\_| *If YES, skip to "read #2" statement below. If NO, continue to "read #1" statement.* 

**Read #1:** We want to know the place that you usually sleep, not necessarily your ancestral lands or family home.

Skip to question 0c.

*Read #2:* We want to know the place that you usually sleep, not necessarily your ancestral lands or family home. This should be the home you go to when you are <u>not</u> staying at your employer's home.

0c. Do you usually sleep here, where we currently are? (1=Yes, 2=No) |\_\_\_\_\_ RECORD "NO" IF INTERVIEWING AT EMPLOYER'S HOME FOR LIVE-IN HOUSEHELP OR GUARD. If NO, skip to 1a. Otherwise, continue.

Od. Is the current location one of the three residences listed on the tracking sheet? Makesure to check both sides of the tracking sheet.(1=Yes, 2=No) |\_\_\_\_|If NO, skip to 1a. Otherwise, continue.

*Oe. Which survey round does the matched residence come from?* [\_\_\_\_] (1=SCY F2-Module, 2=KLPS-Kids, 3=SCY F-Module, 4=SCY R-Module, 5=KLPS-3, 6=VocEd ML-Module, 7=Voc-Ed R-Module, 8=KLPS-2, 9=GSP-A, 10=KLPS-1, 11=Other *(specify)*) *Skip to question 1g.* 

Read: Please tell us where you usually sleep

1a. Country?	Use G1 codes.	Other:
are equivalen G2a codes.	nt). For FRs living in Ugan   Other:	does not know county (1992 district and county nda, this is "district" rather than "county". Use
If 77=FR DK COU	INTY, continue. OTHERW	VISE, skip to question 1d.
		2010 district, but does know an earlier district make a remark in FO Comments. Use G2b codes.    Other:
1d. Town / citv? <b>U</b>	lse G3a codes. Code 20=L	Lives in a rural area.

\_| Other:\_

If LIVES IN A RURAL AREA, continue. OTHERWISE, skip to question 1g.
1e. Location? For FRs born in Uganda, ask for "county" rather than "location". Use G3b codes.
1f. Sub-location? For FRs born in Uganda, ask for "sub-county" rather than "sub-location". Use G3c codes.         Incation". Use G3c codes.
1g. Village / Neighborhood? Write. (99=DK) If Od. is "Yes", skip to 3a.
2. Where do you collect your mail? <i>Describe location where FR collects mail, or postal address.</i> (88=N/A, 99=DK)
3. Please describe the location of the home. <i>Provide detailed description to home, including landmarks, distance from roads and any other detailed information where relevant. If there is a PSDP or GSP school nearby, please start your directions from that school. If not, pick a location that is well known in the area to be a starting point for your directions. References to specific businesses or homes ("ask for the home of…") should be included where possible.</i>
3a. Which public primary school is closest to the home?
4. Is there a phone number where I can reach you, even if you do not have your own phone? (1=Yes, 2=No / Do not know a number)    If YES, continue. If NO, probe the FR for one again. If the FR insists that there is no way to reach them by phone (or they do not know any numbers), skip to question 7.
<ul> <li>4a. Please give me that number.</li> <li>i. <i>If Kenyan phone number,</i> Number: <u>0</u>              </li> <li>ii. <i>If non-Kenyan phone number:</i></li> <li>A. Country of phone number: <i>Use G1 codes.</i>     Other:</li> </ul>
<i>B.</i> Number:
4b. Whose phone is this? <i>Write name.</i>
4c. What is this person's relationship to you? Use G4 codes.    Other:
5. If that number isn't working or I can't reach you, is there another number that I can call? (1=Yes, 2=No / Do not know a number)
Probe FR for a second phone number. If NO, skip to question 7. If YES, continue.
5a. Please give me that number. i. <i>If Kenyan phone number,</i> Number: <u>0</u> <u> </u> <u> </u> ii. <i>If non-Kenyan phone number:</i> <i>A</i> . Country of phone number: <i>Use G1 codes.</i> <u> </u> Other:
<i>B.</i> Number:

5b. Whose phone is this? <i>Write name.</i>
5c. What is this person's relationship to you? Use G4 codes.    Other:
<ul> <li>6. If both of these two numbers aren't working or I can't reach you, is there another number that I can call? (1=Yes, 2=No / Do not know a number)   </li> <li>Probe FR for a third phone number. If NO, skip to question 7. If YES, continue.</li> </ul>
6a. Please give me that number. i. <i>If Kenyan phone number,</i> Number:  _ <u>0</u>          ii. <i>If non-Kenyan phone number:</i> <i>A</i> . Country of phone number: <i>Use G1 codes.</i>    Other:
<i>B.</i> Number:
6b. Whose phone is this? <i>Write name.</i>
6c. What is this person's relationship to you? Use G4 codes.    Other:
7. In the future, we may want to contact you by email. Do you have an e-mail address? (1=Yes, 2=No)
7a. <i>If yes:</i> What is it? <i>Write.</i>
<ul> <li>7b. Is the respondent house help, or a guard? Recall this information was collected in Q0a at the start of this section. (1=Yes, 2=No)   </li> <li>If YES, skip to the read statement before question 13. If NO, continue.</li> <li>8. If you are not at home, is there a place of work or school where I might be able to find you during the day? (1=Yes, 2=No)    If Yes, continue, Otherwise skip to question 9a</li> </ul>
8a.Please give me the name of that place. If FR is working or farming at the above home, write HOME and skip to the statement before question 13. For FRs who are live-in house help or live-in guards, this should be their employer's home.
Read: Now I would like to gather information for this place.
9a. Country?         Use G1 codes.            Other:
9b. County? <b>Refer to "1992 district" if FR does not know county (1992 district and county are equivalent). For FRs in Uganda, this is "district" rather than "county". Use G2a codes.</b>
9c. 2010 District? <i>If FR doesn't know 2010 district, but does know an earlier district name, write old district name and make a comment below. Use G2b codes.</i>
9d. Town / city? Use G3a codes. Code 20=Lives in a rural area.
If LIVES IN A RURAL AREA, continue. OTHERWISE, skip to question 9g.

9e. Location? For FRs born in Uganda, ask for "county" rather than "location". Use G3b codes.
9f. Sub-location? For FRs born in Uganda, ask for "sub-county" rather than "sub- location". Use G3c codes.    Other:
9g. Village / Neighborhood? Write. (99=DK)
10. Postal Address? Write. (88=None, 99=DK)
11. Does this place of work / school have a phone number? (1=Yes, 2=No / Do not know a number) If YES, continue. If NO / I DON'T KNOW, skip to question 12.
i. <i>If Kenyan phone number,</i> Number:  _ <u>0</u>              ii. <i>If non-Kenyan phone number:</i> <i>A.</i> Country of phone number: <i>Use G1 codes.</i>    Other:
<i>B.</i> Number:
12. Please describe the location of this workplace / employer / school. <i>Provide detailed</i> description to this place, including landmarks, distance from roads and any other detailed information where relevant. If there is a PSDP or GSP school nearby, please start your directions from that school. If not, pick a location that is well known in the area to be a starting point for your directions. References to specific businesses or homes ("ask for the home of") should be included where possible.

**Read:** In case you are not at your current address, please tell us the names of two friends or family members who are sure to know where you are, and how to contact you. These should be friends or family that would stay in their current residence if you moved away.

Ask first if FR has contact(s) <u>with phones</u> who would know how to locate and contact the FR. If NOT, contact(s) without phones who know how to locate and contact FR are OK. Contacts should usually not be husbands, wives or children (but other relatives will often make good contacts), since these contacts often move along with the FR. We want contacts that are likely to stay in the same place when the FR moves.

13. Do not read the following question. Simply record your impressions. Does the respondent agree to give information on a contact? (1=Yes, 2=No) |\_\_\_|
 If NO, skip to Question 38. If YES, continue.

Contact #1
14. Name of contact?    /            (Family name)       (Name 1)         (Name 2)
15. What is this person's relationship to you? <i>Use G4 codes.</i>    Other:
16. Does this person live with you? (1= Yes, 2= No)    If YES, skip to question 20. If NO, continue.
Read: Please tell me where this person lives.
17a. Country?         Use G1 codes          Other:
17b. County? Refer to "1992 district" if FR does not know county (1992 district and county are equivalent). For contacts living in Uganda, this is "district" rather than "county". Use G2a codes.         If 77=FR DK COUNTY, continue. OTHERWISE, skip to question 17d.
II //=FR DR COONT I, continue. OTHERWISE, skip to question 17d.
17c. 2010 District? <i>If FR doesn't know 2010 district, but does know an earlier district name, write old district name and make a remark in the FO Comments. Use G2b codes.</i>
17d. Town / city? <b>Use G3a codes. Code 20=Lives in a rural area.</b>
If LIVES IN A RURAL AREA, continue. OTHERWISE, skip to question 17g.
17e. Location? For FRs born in Uganda, ask for "county" rather than "location". Use G3b codes.    Other:
17f. Sub-location? For FRs born in Uganda, ask for "sub-county" rather than "sub- location". Use G3c codes.    Other:
17g. Village / Neighborhood? Write. (99=DK)
18. Postal Address? <i>Write.</i> (88=None, 99=DK)
19. Please describe the location of the home: <b>Provide detailed description to this place</b> , including landmarks, distance from roads and any other detailed information where relevant. If there is a PSDP or GSP school nearby, please start your directions from that school. If not, pick a location that is well known in the area to be a starting point for your directions. References to specific businesses or homes ("ask for the home of…") should be included where possible. (99=DK)
<ul> <li>20. Is there a phone number where I can reach this contact, even if he / she does not have his / her own phone? (1=Yes, 2=No / Do not know a number) []</li> <li>If YES, continue. If NO, probe the FR for one again. If the FR insists that there is no way to reach the contact by phone (or they do not know any numbers), skip to the read statement before question 28.</li> </ul>

20a. Please give me that number. i. <i>If Kenyan phone number,</i> Number: <u>0</u>
A. Country of phone number: Use G1 codes.    Other:
<i>B.</i> Number:
20b. Whose phone is this? <i>Write name.</i>
20c. What is this person's relationship to <u>the contact</u> ? <b>Use G4 codes.</b>
21. Can you give me another phone number to reach this contact? (1=Yes, 2=No)    <b>Probe FR for a second phone number. If YES, continue. If NO, skip to the read statement</b> <b>before question 28.</b>
<ul> <li>21a. Please give me that number.</li> <li>i. <i>If Kenyan phone number</i>, Number: <u>0</u></li> <li><i>If non-Kenyan phone number:</i></li> <li>A. Country of phone number: <i>Use G1 codes.</i> <u>0</u></li> <li>Other: <u>1000</u></li> </ul>
<i>B.</i> Number:
21b. Whose phone is this? <i>Write name.</i>
21c. What is this person's relationship to <u>the contact</u> ? <b>Use G4 codes.</b>
22. Can you give me another phone number to reach this contact? (1=Yes, 2=No)    <b>Probe FR for a third phone number. If YES, continue. If NO, skip to the read statement before</b> <b>question 28.</b>
22a. Please give me that number. i. <i>If Kenyan phone number,</i> Number:   <u>0</u>           ii. <i>If non-Kenyan phone number:</i> <i>A</i> . Country of phone number: <i>Use G1 codes.</i>    Other:
<i>B.</i> Number:
22b. Whose phone is this? <i>Write name.</i>
22c. What is this person's relationship to <u>the contact</u> ? <b>Use G4 codes.</b>
Note: There are no questions 23-27.

**Read:** Now let's discuss a second contact. Again, please tell us the name of a friend or family member who is sure to know where you are, and how to contact you, if you were to move away. This should be someone that would stay in their current residence if you moved away. **Unless FR** *insists, it is best if Contact 2 does not share contact information (address, location, phone number, etc) with Contact 1.* 

Ask first if FR has a contact <u>with a phone</u> who would know how to locate and contact the FR. If NOT, a contact without a phone who know how to locate and contact FR is OK. Contacts should usually not be husbands, wives or children (but other relatives will often make good contacts), since these contacts often move along with the FR. We want contacts that are likely to stay in the same place when the FR moves.

28. Do not read the following question. Simply record your impressions. Does the respondent agree to give information on a second contact? (1=Yes, 2=No) |\_\_\_|
If NO, skip to Question 38. If YES, continue.

0				
Contact #2				
29. Name of contact?		/	/	
	(Family name)	(Name 1	)	(Name 2)
30. What is this person's	s relationship to you? <b>L</b>	Ise G4 codes.	Other:	
31. Does this person live	with you or the previou	us contact? (1= Ye	s with FR only	2=Yes with
	r, 3=Yes, with responde			
			$\operatorname{Macl}, 4 = \operatorname{MO}$	
If YES ("1", "2" or "3"),	skip to question 35.	n NO, commue.		
Read: Please tell me wh	ere this person lives.			
32a. Country? Use G	1 codes.		Other:	
32b. County? Refer to "	1992 district" if FR do	oes not know coun	ty (1992 distric	ct and county
	r contacts living in Ug			
G2a codes.	<b>3</b> - <b>3</b>			
If 77=FR DK COUNTY,	continue OTHERWIS			
	FR doesn't know 201	· · ·		ior district namo
		•		-
write old distric	ct name and make a re	emark in FO Comn		
			Other:	
32d. Which town / city?	Use G3a codes. Code	20=Lives in a rura	l area.	
			Other:	
If LIVES IN A RURAL A	REA, continue, OTHE	RWISE, skip to au	estion 32a	
32e Location2 For F	- Rs born in Uganda, a	osk for "county" ra	ther than "loc	ation" Use G3b
	Ks born in Oganda, a	isk for county ra		
codes.				
				<i></i>
	or FRs born in Ugand	-	-	
location". Use 0	33c codes.		_  Other:	
32g. Village / Neighborho	ood? Write. (99=DK)			
33. Postal Address? Wr	<i>ite.</i> (88=None, 99=DK	)		
		/		
34. Please describe the l	location of the home: F	Provido dotailod do	scription to th	is nlaco
	s, distance from road			
	a PSDP or GSP schoo			
school. If not, pick a	a location that is well	known in the area	to be a startin	g point for your
	ces to specific busine	esses or homes ("a	ask for the hor	ne of") should
be included where p	<b>bossible.</b> (99=DK)			
·	· · ·			

<ul> <li>35. Is there a phone number where I can reach this contact, even if he / she does not have his / her own phone? (1=Yes, 2=No / Do not know a number)   </li> <li>If YES, continue. If NO, probe the FR for one again. If the FR insists that there is no way to reach the contact by phone (or they do not know any numbers), skip to Question 38.</li> </ul>
35a. Please give me that number. i. <i>If Kenyan phone number,</i> Number: <u>0</u> <u>                           </u> ii. <i>If non-Kenyan phone number:</i> <i>A</i> . Country of phone number: <i>Use G1 codes.</i> <u>   </u> Other:
<i>B.</i> Number:
35b. Whose phone is this? <i>Write name.</i>
35c. What is this person's relationship to <u>the contact</u> ? <b>Use G4 codes.</b>
36. Can you give me another phone number to reach this contact? (1=Yes, 2=No)    <i>Probe FR for a second phone number. If YES, continue. If NO, skip to Question 38.</i>
<ul> <li>36a. Please give me that number.</li> <li>i. <i>If Kenyan phone number</i>, Number: <u>0</u></li> <li><i>If non-Kenyan phone number:</i></li> <li>A. Country of phone number: <i>Use G1 codes.</i> <u>0</u></li> <li>Other: <u>1000</u></li> </ul>
<i>B.</i> Number:
36b. Whose phone is this? <i>Write name.</i>
36c. What is this person's relationship to <u>the contact</u> ? <b>Use G4 codes.</b>
37. Can you give me another phone number to reach this contact? (1=Yes, 2=No)    <b>Probe FR for a third phone number. If YES, continue. If NO, skip to Question 38.</b>
<ul> <li>37a. Please give me that number.</li> <li>i. <i>If Kenyan phone number,</i> Number:  <u>0</u>       </li> <li>ii. <i>If non-Kenyan phone number:</i></li> <li><u>A.</u> Country of phone number: <i>Use G1 codes.</i>    Other:</li> <li><u>B.</u> Number:</li> </ul>
37b. Whose phone is this? <i>Write name.</i>
37c. What is this person's relationship to <u>the contact</u> ? <b>Use G4 codes.</b>

38. We may like to contact you again in the next six months. Do you think you will have moved to a different administrative location by that time? (1=Yes, 2=No) |\_\_\_|

# RECORD "YES" IF INTERVIEWING AT EMPLOYER'S HOME FOR LIVE-IN HOUSEHELP/GUARD OR IN BOARDING.

## If NO, skip to Section 4. Otherwise, continue.

	i.		e <b>given locat</b> =Yes, 2=No)		e of the thre	e residenco	es lis	ted earlier in a	this section	on?
If N	IO, skip	o to 38	aa. Otherwis	se, con	tinue.					
	ii.	Villag	e / Neighborl	nood?	Write. (99=	DK)				
Sk	ip to Se	ection	4.							
			w where you . If NO, skip			at that time?	?	(1=Yes, 2=	No)	
Re	<b>ad:</b> Plea	ase tell	me where y	ou think	you will be l	iving.				
388	a. Count	try?	Use G1 cod	les.				Other:		
	equiva codes	alent).	For contacts	s living	in Uganda,	this is "dis	s <b>trict'</b> _  Oth	<b>ty (1992 distr</b> <b>' rather than</b> " er:	'county".	Use G2a
lf 7	'7=FR [	OK CO	UNTY, conti	nue. O1	THERWISE,	skip to que	estion	n 32d.		
							Comn	s <b>know an ear</b> nents below. I   Other:	Use G2b d	odes.
380	d. Which	n town	/ city? <b>Use G</b>	3a cod	les. Code 20	)=Lives in a				
If L	IVES IN	N A RU	IRAL AREA,	contin	ue. OTHER	WISE, skip		Other: estion 32g.		
		ocation <b>odes.</b>	? For FRs b	orn in l	Jganda, asl	for "coun		t <b>her than "loc</b>   Other:		
			tion? For FR '. Use G3c c		in Uganda,	ask for "su		u <b>nty" rather t</b> _  Other:		
38	g. Villag	e / Nei	ghborhood?	Write	. (99=DK)					
39.	Postal	Addres	ss? <b>Write.</b> (	88=Non	e, 99=DK) _					
40.	includi relevar school directie	ing lan nt. If th I. If not ons. F	dmarks, dis ere is a PSL t, pick a loca	tance fi DP or G ntion tha o speci	rom roads a SP school r at is well kn fic busines:	and any oth nearby, plea nown in the	ner de ase s area	scription to th tailed informa tart your direc to be a startin ask for the ho	ation whe ctions fro ng point f	m that or your

### **SECTION 4. Household Roster**

*1a.* **Do not ask the following question:** Is the FR currently in boarding school? **Recall that this information was collected at the start of Section 3.** (1=Yes, 2=No) |\_\_\_| **If YES, skip to the next Section. If NO, continue.** 

1b. Do NOT ask the following question: Is the FR currently working as live-in house help or a live-in guard? Recall that this information was collected at the start of Section 3. (1=Yes, 2=No) |\_\_\_|

If NO, skip to the statement before question 2. If YES, continue.

1c. Do you currently have a spouse or dependents living with you at your place of work? (1=Yes, 2=No)  $|\__|$ 

If NO, skip to the next Section. If YES, continue.

**Read:** Now I would like to find out about all of the individuals in your household. By your household, I mean the place where you usually sleep, not necessarily your ancestral lands or family home. By the individuals in your household, I mean those who "eat from the same pot" and spend 4 nights or more in an average week sleeping in your home. **Note separate instructions in upcoming questions for FRs who are live-in house help or live-in guards.** 

2. Let's first start with adults (individuals aged 18 or older). How many adults (other than yourself) are in your household, "eat from the same pot" and spend 4 nights or more in an average week sleeping in your home? If the FR is live-in house help or a live-in guard and has a spouse or adult dependent(s) staying with them at the employer's house, only include these spouse/dependent(s) on the household roster (do not count their employer or the employer's family as part of the FR's household).

If there are no adults in the household other than the FR, skip to question 10. If there is at least one adult in the household, other than the FR, proceed across each row and then down, collecting information on each adult. If there are more than 15 adults, collect information on the eldest 15.

	3. What is this person's first name?	4. Did <b>[NAME]</b> sleep here last night? (1=Yes, 2=No, 9=DK) <b>If NO, probe:</b> "Does <b>[NAME]</b> usually stay here for 4 or more days a week, and eat from the same pot?" <b>Do not</b> <b>record answer. If</b> <b>NO, delete person</b> <b>from table. If YES,</b> <b>continue to q5.</b>	5. What is <b>[NAME]</b> 's gender? (1=Male, 2=Fem- ale)	6. What is [NAME]'s age in years? Prompt FR to estimate if unsure. (999= DK)	7. What is [NAME]'s relationship to you? [NAME] is your Use G4 codes. For example, if individual is FR's father, select code for "father"	8. What is the highest level of education completed by <i>[NAME]</i> ? Use G6 codes. (99=DK) For those still in school, this is <u>not</u> current year. Prompt to estimate if unsure.	9. What is <b>[NAME]'s</b> occupation? <b>Use G9 codes.</b> (99=DK)
A1					<u> </u>		<u>   </u>
A2							<u>    </u>
A3							
A4		<u> </u>		<u> </u>	<u> </u>		<u>    </u>
A5							
A6							
A7							
A8							
A9							
A10							
A11							
A12							
A13							
A14							
A15							

When the FR has listed all adult individuals and the table has been completed, read the full list of names through, and prompt for any other adults living in the household that may have been missed. Prompt: Are there any other adults (other than yourself) in your household who "eat from the same pot" and spend 4 nights or more in an average week sleeping in your home whom you have not listed? Confirm that the number of rows completed in the table matches the number of adults entered in question 2 before continuing to the next question. Read: Now I would like to find out about all of the children in your household (individuals aged 17 or younger).

10. How many children are in your household and "eat from the same pot" and spend 4 nights or more in an average week sleeping in your home? If the FR is live-in house help or a live-in guard and has non-adult dependent(s) staying with them at the employer's house, only include these dependent(s) on the household roster (do not count their employer or the employer's family as part of the FR's household).

If there is at least one child in the household, other than the FR, proceed across each row and then down, collecting information on each child. If there are no children, skip to Question 20. If there are more than 25 children, collect information on the eldest 25.

	11. What is the first name of this child?	12. Did <b>[NAME]</b> sleep here last night? (1= Yes, 2=No 9=DK) <b>If NO, probe:</b> "Does <b>[NAME]</b> usually stay here for 4 or more days a week, and eat from the same pot?" <b>Do not</b> <b>record answer. If</b> <b>NO, delete person</b> from table. If <b>YES, continue to</b> <b>q5.</b>	13. What is <b>[NAME]</b> 's gender? (1=Male 2=Fema le)	14. What is [NAME]'s relationship to you? [NAME] is your Use G4 codes.	<ul> <li>15. What is [NAME]'s age?</li> <li>1f &lt;5 years, enter years &amp; months.</li> <li>If &gt; or = 5 years, enter years only.</li> <li>(Units: 1=Months, 2=Years)</li> <li>If less than 1 month old, enter 0 for months. If FR is unsure of age, enter #=99 and unit=99.</li> <li>If between 3 and 10 years old, continue.</li> <li>If 11 years old or older, skip to 18.</li> </ul>	16. Is this your biologic al child? (1=Yes, 2=No) <i>If YES,</i> <i>continu e to 17.</i> <i>If NO,</i> <i>skip to</i> 18.	17. On what day, month and year was <b>[NAME]</b> born? (DD/MM/YYYY) Probe to get exact date. If DK, use "99" or "9999". If child is <3 years old, skip to next row.	18. What is the highest level of education [NAME] completed? Use G6 codes. (99=DK) For those still in school, this is <u>not</u> current year.	<ul> <li>19. What is [NAME]'s main activity or occupation? Use G9 codes. (99=DK)</li> <li>Young children not yet in school are likely code "60". Kids in ECD are "50". If "50", continue. ELSE, skip to next row.</li> </ul>	19a. Of the last five days school was in session, how many days did <b>[NAME]</b> attend? (99=DK)
C1		II		 	i. #: Unit: ii. #: Unit:				 	
C2				 	i. #: Unit: ii. #: Unit:					
C3				I	i. #: Unit: ii. #: Unit:					
C4				 	i. #: Unit: ii. #: Unit:					
C5				 	i. #: Unit: ii. #: Unit:					

	11. What is the first Name of this child?	12. Did <b>[NAME]</b> sleep here last night? (1= Yes, 2=No, 9=DK) <i>If NO, probe:</i> "Does <b>[NAME]</b> usually stay here for 4 or more days a week, and eat from the same pot?" <i>If NO, delete</i> <i>person from table.</i>	13. What is <b>[NAM</b> <b>E]</b> s gende r? (1=Male 2=Fema le)	14. What is [NAME] S relationship to you? [NAME] is your Use G4 codes.	15. What is <b>[NAME]</b> 'S age? If <5 years, enter years & months. If > or = 5 years, enter years only. (Units: 1=Months, 2=Years) If less than 1 month old, enter 0 for months. If FR is unsure of age, enter #=99 and unit=99. between 3 and 10 years old, continue. If 11 years old or older, skip to 18.	16. Is this your biologic al child? (1=Yes, 2=No) <i>If YES,</i> <i>continu</i> <i>e to 17.</i> <i>If NO,</i> <i>skip to</i> <i>18.</i>	<ul> <li>17. On what day, month and year was [name] born? (DD/MM/YYYY)</li> <li>Probe to get exact date. If DK, use "99" or "9999".</li> <li>If child is &lt;3 years old, skip to next row.</li> </ul>	<ul> <li>18. What is the highest level of education [NAME] completed?</li> <li>Use G6 codes. (99=DK)</li> <li>For those still in school, this is not current year.</li> </ul>	<ul> <li>19. What is [NAME]'s main activity or occupation? Use G9 codes. (99=DK)</li> <li>Young children not yet in school are likely code "60". Kids in ECD are "50".</li> <li>If "50", continue. ELSE, skip to next row.</li> </ul>	19a. Of the last five days school was in session, how many days did <b>[NAME]</b> attend? (99=DK)
C6				 	i. #: Unit: ii. #: Unit:		/   /   _		 	
C7				 	i. #: Unit: ii. #: Unit:					
C8		II		 	i. #: Unit: ii. #: Unit:		/   /   _			
C9					i. #: Unit: ii. #: Unit:					
C10				 	i. #: Unit: ii. #: Unit:					
C11				 	i. #: Unit: ii. #: Unit:					
C12				 	i. #: Unit: ii. #: Unit:					
C13					i. #: Unit: ii. #: Unit:					

20 / 90 FO Comments:

	11. What is the first Name of this child?	12. Did <b>[NAME]</b> sleep here last night? (1= Yes, 2=No, 9=DK) <i>If NO, probe:</i> "Does <b>[NAME]</b> usually stay here for 4 or more days a week, and eat from the same pot?" <i>If NO, delete</i> <i>person from table.</i>	13. What is <b>[NAM</b> <b>E]</b> s gende r? (1=Male 2=Fema le)	14. What is [NAME] S relationship to you? [NAME] is your Use G4 codes.	15. What is <b>[NAME]</b> 'S age? If <5 years, enter years & months. If > or = 5 years, enter years only. (Units: 1=Months, 2=Years) If less than 1 month old, enter 0 for months. If FR is unsure of age, enter #=99 and unit=99. between 3 and 10 years old, continue. If 11 years old or older, skip to 18.	16. Is this your biologic al child? (1=Yes, 2=No) <i>If YES,</i> <i>continu</i> <i>e to 17.</i> <i>If NO,</i> <i>skip to</i> <i>18.</i>	<ul> <li>17. On what day, month and year was [name] born? (DD/MM/YYYY)</li> <li>Probe to get exact date. If DK, use "99" or "9999".</li> <li>If child is &lt;3 years old, skip to next row.</li> </ul>	<ul> <li>18. What is the highest level of education [NAME] completed?</li> <li>Use G6 codes. (99=DK)</li> <li>For those still in school, this is not current year.</li> </ul>	<ul> <li>19. What is [NAME]'s main activity or occupation? Use G9 codes. (99=DK)</li> <li>Young children not yet in school are likely code "60". Kids in ECD are "50".</li> <li>If "50", continue. ELSE, skip to next row.</li> </ul>	19a. Of the last five days school was in session, how many days did <b>[NAME]</b> attend? (99=DK)
C14				 	i. #: Unit: ii. #: Unit:					
C15				 	i. #: Unit: ii. #: Unit:				 	
C16					i. #: Unit: ii. #: Unit:					
C17					i. #: Unit: ii. #: Unit:					
C18					i. #: Unit: ii. #: Unit:					
C19					i. #: Unit: ii. #: Unit:					
C20				 	i. #: Unit: ii. #: Unit:					
C21					i. #: Unit: ii. #: Unit:					

21 / 90 FO Comments:

	11. What is the first Name of this child?	12. Did <b>[NAME]</b> sleep here last night? (1= Yes, 2=No, 9=DK) <i>If NO, probe:</i> "Does <b>[NAME]</b> usually stay here for 4 or more days a week, and eat from the same pot?" <i>If NO, delete</i> <i>person from table.</i>	13. What is <b>[NAM</b> <b>E]</b> s gende r? (1=Male 2=Fema le)	14. What is [NAME] S relationship to you? [NAME] is your Use G4 codes.	15. What is <b>[NAME]</b> S age? If <5 years, enter years & months. If > or = 5 years, enter years only. (Units: 1=Months, 2=Years) If less than 1 month old, enter 0 for months. If FR is unsure of age, enter #=99 and unit=99. between 3 and 10 years old, continue. If 11 years old or older, skip to 18.	16. Is this your biologic al child? (1=Yes, 2=No) If YES, continu e to 17. If NO, skip to 18.	<ul> <li>17. On what day, month and year was [name] born? (DD/MM/YYYY) Probe to get exact date. If DK, use "99" or "9999".</li> <li>If child is &lt;3 years old, skip to next row.</li> </ul>	18. What is the highest level of education [NAME] completed? Use G6 codes. (99=DK) For those still in school, this is <u>not</u> current year.	<ul> <li>19. What is [NAME]'s main activity or occupation? Use G9 codes. (99=DK)</li> <li>Young children not yet in school are likely code "60". Kids in ECD are "50". If "50", continue. ELSE, skip to next row.</li> </ul>	19a. Of the last five days school was in session, how many days did <b>[NAME]</b> attend? (99=DK)
C22				 	i. #: Unit: ii. #: Unit:				 	
C23				 	i. #: Unit: ii. #: Unit:					
C24				 	i. #: Unit: ii. #: Unit:				 	
C25				 	i. #: Unit: ii. #: Unit:				 	

When the FR has listed all children and the table has been completed, read the full list of names through, and prompt for any other children living in the household that may have been missed. Prompt: Are there any other children in your household who "eat from the same pot" and spend 4 nights or more in an average week sleeping in your home? Confirm that the number of rows completed in the table matches the number of children entered in question 10 before continuing.

# **SECTION 5. Dwelling Characteristics**

*Read:* Now I'd like to ask you some questions about your home and household. Think of your current residence / the building you eat in.

Refer to the main house of the compound, <u>not</u> simbas (i.e., sleeping huts for young unmarried men). Also, we are only interested in the current residence, not (necessarily) ancestral land (even if the current residence is a rental).
<ul> <li>A. Do not ask the following question to the respondent. Simply record your impressions. Is this respondent in boarding school, live-in house help, or a live-in guard? (1=Yes, 2=No)    Recall this information was collected at the start of Section 3.</li> <li>If YES, skip to question 14. If NO, continue.</li> </ul>
1. Of what material are the floors made?         (1=Cement, 2=Mud, 3=Other (specify), 4=Tiles)
2. Of what material is the roof made?                  1=Iron / tin       5=Cement / concrete         2=Grass thatch (no reeds)       6=Palm leaves         3=Grass with reeds       7=Other, describe:         4=Mud, branches       10=Tiles         11=Unfinished / incomplete roof
5. How many separate rooms do you have in your house? Include those separated by sheets. Do not include store rooms or toilet rooms. Include simbas as separate rooms.
<ul> <li>3. Do you have electricity, from any source (including a car battery, generator or solar)? <i>Include electricity from any source (power grid, solar, battery, etc). It can be intermittant (not always on).</i> (1=Yes, 2=No)   </li> <li>If YES, continue. If NO, skip to question 4.</li> </ul>
3a. What is the source of your electricity? Prompt FR with each source. Indicate all that apply. (1=Yes, 2=No)         1= Connection to national grid (i.e., Kenya – KPLC, Uganda – UEB)            2= Other wired connection         3= Generator                  4= Car battery                  5= Solar home system                  6= Other (specify)          Other:         If "1" or "2", continue. Otherwise, skip to question 4.
3b. Does your household own your wired electricity connection? (1=Yes, 2=No, 99=DK)                  If YES, continue. Otherwise, skip to question 4.
3c. Did your household acquire this wired electricity connection in the past 12 months?          If YES, continue. Otherwise, skip to question 4.       (1=Yes, 2=No, 99=DK)
3d. Who paid for the electricity connection?       Choose all that apply         ,            Read responses aloud:       1=Member of this household         2=Government, NGO, or other organization       3=Someone else outside the household

Do not read aloud: 99=DK If "2", make a note to yourself to include this as govt/NGO assistance. If "3", make a note to yourself to include this as a transfer.
If "1", continue to question 3e. Otherwise, skip to question 4.
<ul> <li>3e. How much did your household pay for your electricity connection? Include the connection fee, cost of supplies, labor, and any other one-time connection costs.</li> <li>Amount (9999=DK):   </li> <li>Currency if NOT Kenyan shillings (use G12 codes):    Other:</li> </ul>
<ul> <li>4. What kind of toilet facility does your household use most often?</li> <li>(1=None, 2=Latrine, 3=Toilet, 4=Other (specify), 5= Portable toilet)    Other:</li> </ul>
4a. If 1, 3, 4 or 5, ask: Do you have a latrine? (1=Yes, 2=No)
<ul> <li>6. What was your main water source in the last 7 days? (1=Pipe, 2=Well (gather), 3=Protected spring, 4=Unprotected spring, 5=Collected rainwater, 6=Lake, 7=River, 8=Borehole well, 9=Other)</li> <li>  Other:</li> </ul>
If "pipe", continue. Otherwise, skip to question 7.         6a. Does your household own your piped water connection? (1=Yes, 2=No, 99=DK)         If YES, continue. Otherwise, skip to question 7.
6b. Did your household acquire your piped water connection in the past 12 months? (1=Yes, 2=No, 99=DK)
If YES, continue. Otherwise, skip to question 7.
6c. Who paid for the water connection?       Choose all that apply
If "1", continue to question 6d. Otherwise, skip to question 7.
6d. How much did your household pay for your water connection? Include the connection fee, cost of supplies, labor, and any other one-time connection costs. Amount (9999=DK):    Currency if NOT Kenyan shillings <b>(use G12 codes)</b> :    Other:
7. How many jerrycans of water (20 Litres) did your household use in total for the past 7 days?
<ol> <li>Has your household ever treated its water with Waterguard, Pur, LifeStraw or another chlorine treatment? (1=Yes, 2=No)    Explain what Waterguard is if FR does not know.</li> </ol>
8a. <i>If YES:</i> Has your household treated its water with Waterguard, Pur, LifeStraw or another chlorine treatment in the last 7 days? (1=Yes, 2=No)
9. How much land does your household own (in acres)? <b>1 Hectare ≈ 2.5 Acres</b> (9999=DK)
If ZERO, skip to question 10. If DK, skip to question 9b. OTHERWISE, continue.

9a. How much of this land is used for agricultural purposes (in acres)? (9999=DK) <b>1 Hectare ≈ 2.5 Acres</b>    Acres
9b. Now think of all of the land that you own that could be used for agriculture, even if it is not currently being used for agriculture. For this land that you own, if you were to rent it out, what would you charge each month to rent all of it out? <i>If not sure, ask for best estimate.</i> Amount (9999=DK):
Currency if NOT Kenyan shillings (use G12 codes):    Other:
<ul> <li>10. In the last 12 months, did you rent any of the land owned by your household to people outside of your household? (1=Yes, 2=No, 99=DK)   </li> <li>If NO or DK, skip to question 11. If YES, continue.</li> </ul>
10a. How much of this land did you rent to people outside of your household (in acres)? <i>1 Hectare ≈ 2.5 Acres</i> (9999=DK)    Acres
10b. For how many months of the last 12 months did you rent out this land?    months
10c. For how much do you rent out this land each month that it is rented? <i>If paid in kind, ask FR to estimate value of payment in shillings.</i> Amount (9999=DK):    Currency if NOT Kenyan shillings (use G12 codes):    Other:
<ul> <li>11. In the last 12 months, has your household rented land from others? <i>If the household rents an apartment / house, but no other land, answer "NO".</i> (1=Yes, 2=No, 99=DK)   </li> <li><i>If NO or DK, skip to question 12. If YES, continue.</i></li> </ul>
<ul> <li>11a. How much land has your household rented from others in the last 12 months (in acres)? (9999=DK)</li> <li>1 Hectare ≈ 2.5 Acres    Acres</li> <li>If DK, skip to question 11c. OTHERWISE, continue.</li> </ul>
11b. How much of this land was used for agricultural purposes (in acres)? (9999=DK) <i>1 Hectare ≈ 2.5 Acres</i>    Acres
11c. For how many months did you rent this land in the last 12 months?    months
11d. For how much did you rent this land each month that it was rented? If paid in kind, ask FR to estimate value of payment in shillings. If FR gives an amount per "season" or other time period, clarify with them further to calculate the monthly rate. Amount (9999=DK):    Currency if NOT Kenyan shillings (use G12 codes):    Other:
<ul> <li>12. Does your household own or rent the house / apartment where you live? (1=Own, 2=Rent, 3=Company housing, 4=Government Housing, 5=Other(specify))   </li> <li>If RENT, continue. If OWN, skip to q12e. OTHERWISE, skip to question 13.</li> </ul>
12a. How much in shillings did your household <u>agree to pay</u> for rent each month for this house / apartment? Amount (9999=DK):    Currency if NOT Kenyan shillings <b>(use G12 codes)</b> :    Other:
12b. How much in shillings did your household <u>actually pay</u> for rent for this house / apartment <u>in the last 30 days?</u> Amount (9999=DK):    Currency if NOT Kenyan shillings <b>(use G12 codes)</b> :    Other:

<ul> <li>12c. Does the house / apartment rest on land (greater than 0.25 acres) that you use for agricultural purposes? (1=Yes, 2=No)   </li> <li>If NO, skip to question 13. If YES, continue.</li> </ul>
<ul> <li>12d. How much of this land was used for agricultural purposes (in acres)? (9999=DK) Do not include land in this count that was already discussed in the previous question on "rented land".</li> <li>1 Hectare ≈ 2.5 Acres    Acres</li> <li>Skip to q13.</li> </ul>
12e. How much would you charge in rent each month if you were to rent this house / apartment to someone else? Amount (9999=DK):    Currency if NOT Kenyan shillings <b>(use G12 codes)</b> :    Other:
12f. How much in shillings does your household pay for mortgage each month? A mortgage is a type of loan a bank gives you for purchasing a property. Amount (9999= DK):    Currency if NOT Kenyan shillings <b>(use G12 codes):</b>    Other:
13. In the past 12 months, were you displaced from your home due to natural disaster (e.g. floods)? (1=Yes, 2=No) []
If NO, skip to question 15. Otherwise, continue.
13a. What was the disaster? (1=Floods, 2=Drought, 3=Other (specify), 4=Fire)
13b. When did this disaster take place? (DD/MM/YYYY)  _  / _ / _ / _ / _
If FR is NOT in boarding school/live-in house help/live-in guards, skip to the statement before question 15. OTHERWISE, continue.
14. Have you ever treated your water with Waterguard, Pur, LifeStraw or another chlorine treatment? (1=Yes, 2=No)   Explain what Waterguard is if FR does not know.
14a. <i>If YES:</i> Have you treated your water with Waterguard, Pur, LifeStraw or another chlorine treatment in the last 7 days? (1=Yes, 2=No)
<b>READ:</b> Now I would like to ask you some questions about time spent doing household chores.
15. In the last seven days, how many hours have you spent doing household chores for your household? By household chores, I mean activities such as cleaning, dusting, sweeping, washing dishes or clothes, ironing, collecting water, slashing, etc. Do not include time spent on childcare.
16. In the last seven days, how many hours have you spent doing childcare for your household, even if it overlapped with other tasks?    hours (DK=999, N/A=88)
17. <b>Do not ask the following question to the respondent. Simply record your impressions.</b> Is this respondent a live-in house help or a live-in guard with no dependents living with them, or in boarding school? <b>Recall this information was collected at the start of Section 3.</b> (1=Yes, 2=No)

If YES skip to question 19. Otherwise, continue.

18. In total, how many people within the household – not including you – have performed household chores or childcare for your household over the last seven days? Include anyone who lives in the household, "eats from the same pot" and spends 4 nights or more in an average week sleeping in their home, even if they are live-in domestic help. For frs who are live-in house help or live-in guards, consider only their spouse or dependent living with them as household members. If the fr lives alone, list "0". (DK=999) [\_\_\_] people

#### If ZERO, skip to q19. Otherwise, continue.

18a. In the last seven days, how many total hours did these individuals – not including you – spend doing household chores or childcare for your household? (DK=999) |\_\_\_| hours

- 19. In the last seven days, how many people from outside your household (such as hired maids who do not live with you) performed household chores or childcare for your household? |\_\_\_\_| people *If ZERO, skip to next section.* (DK=999)
  - 19a. In the last seven days, how many hours did these people from outside the household spend in total doing household chores or childcare? (DK=999) |\_\_\_| hours
  - 19b. In the last seven days, how much have you spent in total (including cash and in-kind payments) on people from outside the household assisting with household chores and/or childcare? *If payment is in kind, ask to estimate in shillings.*

Amount (9999=DK): |\_\_\_\_| Currency if NOT Kenyan shillings *(use G12 codes)*: |\_\_\_| Other: \_\_\_\_\_

#### **SECTION 12. Transfers**

*Read:* Now, I would like to ask you about relationships in which your household either receives or gives money or goods. By your household, I mean the place where you usually sleep, not necessarily your ancestral lands or family home. By the individuals in your household, I mean those who "eat from the same pot" and <u>spend 4 nights or days</u> (or more) in an average week sleeping in your home.

If FR is in boarding school, read: We consider boarding school students to be a 1-person household, therefore classmates and parents should not be included as part of your household.

*If FR is a live-in guard or live-in house help, read:* We consider live-in house help and live-in guards to be a 1-person household, unless you have a spouse or dependent(s) living with you. Your employer or employer's family should not be considered part of your household. Spouses or dependents living elsewhere should also not be considered part of your household.

#### Please probe well on this next question. We want ALL transfers, not just gifts.

1. Did anyone in this household <u>receive a gift / assistance</u> of money or goods from someone outside the household in the last 12 months? Do not include transfers from the government or employers; also do not include loans or interest payments, or goods that the household purchased. If FR is in boarding school count gifts from parents as transfers.

If YES, continue. If NO, skip to question 10.

For the next set of questions, please group together transfers that come from the <u>same sender</u> (or individuals in the same household) and are meant for the <u>same receiver</u>, in one "transfer relationship." If there are more than 3 transfer relationships, list only the ones that provided the largest amount of money or goods.

*Read:* Consider the [1<sup>st</sup> / 2<sup>nd</sup> / 3<sup>rd</sup>] relationship in which your household <u>received a gift</u> of money or goods from someone outside the household in the past 12 months. *Fill in the table by proceeding across for question 2 and then down each column.* 

	Transfer	Transfer	Transfer
	relation #1	relation #2	relation #3
2. What is your relationship to the sender? Use G4 codes. For instance, if the			
sender is the FR's mother, select the code for "mother".			
2a. How old is the sender? If don't know, please estimate. (999=DK)			

	Transfer	Transfer	Transfer
	relation #1	relation #2	relation #3
<ul> <li>3. Was it money or goods that your household received? (1=Money,2=Goods,3=Both)</li> <li><i>If MONEY, ask questions 3a, 3b, and 3e. If GOODS, ask questions 3c, 3d, and</i></li> <li>3e. <i>If BOTH, ask questions 3a – 3e. Do not include transport or Mpesa fees.</i></li> <li>3a. What was the amount of the most recent <u>cash</u> transfer? (99=D/K)</li> <li>3b. How were the funds transferred? <i>Use T2 codes</i></li> <li>3c. What was the value of most recent transfer of <u>goods?</u></li> <li>3d. What goods were given to you? <i>List.</i></li> <li>3e. <i>List currency of responses if not Ksh. Use G12 codes.</i></li> </ul>	 a. KSh b.    c. KSh d e.    Other:	 a. KSh  b.    c. KSh  d d e.    Other:	 a. KSh b.    c. KSh d e.    Other:
4. Where does this sender live?			
4a. Country: Use G1 codes			
4b. County: Refer to "1992 district" if FR does not know county (1992 district and county are equivalent). For senders in Uganda, ask for "district" rather than "county". Use G2a codes. If 77=FR DK COUNTY, continue. OTHERWISE, skip to question 4d.	 	 	
4c. 2010 District: <i>If FR doesn't know 2010 district, but does know an earlier district name, write old district name and make a remark in FO Comments below. Use G2b codes.</i>	 		 
4d. Town / city? <b>Use G3a codes. Code 20=Lives in a rural area.</b> If LIVES IN A RURAL AREA, continue. OTHERWISE, skip to question 5.		 	 
5. What was the main use of the most recent transfer of <u>money or goods</u> ? List all that apply, up to 3 selections. Use T1 codes.	 		 

	Transfer relation #1	Transfer relation #2	Transfer relation #3
Co. What was the total value of all transform in this relationship during the last 12		h Kab	
<ul> <li>6a. What was the <u>total value</u> of all transfers in this relationship during the last 12 months? (If goods were sent, think how much it would cost to buy them.) <i>Do not include transport or Mpesa fees.</i></li> <li>6b. <i>List currency if not Ksh. Use G12 codes.</i></li> </ul>	a.Ksh    b.   Other: 	b.Ksh    b.   Other: 	c.Ksh    b.   Other: 
7. To your knowledge, have you or anyone in your household <u>ever</u> given this person a transfer in the past? (1=Yes, 2=No) <b>Only use 99=DK if FR feels they would not be</b> <i>aware of any transfers made. If they would be aware but haven't heard of any transfers, that's a NO.</i>			

If ANOTHER TRANSFER was entered, return to question 3 and fill in the next column. If NOT, continue.

#### If MORE THAN 3 RECEIVING TRANSFER RELATIONSHIPS, continue to question 8. OTHERWISE, skip to question 10.

- 8. How many total individuals or groups of individuals did you and your household receive money from in the last 12 months? Note that we want the total number of transfer relationships here, not the number of people (i.e., if the FR received money from an aunt and uncle who live together in the same household, that counts as 1 relationship rather than 2 relationships). |\_\_\_| individuals or groups
- 9. What is the total amount (including all cash transfers and the total value of transferred goods) that your household <u>received</u> from persons outside the household during the past 12 months, including the transfers above as well as any other transfers? Amount: \_\_\_\_\_\_ Currency if NOT Ksh (use G12 codes) |\_\_\_| Other: \_\_\_\_\_\_

#### FO: Please probe well on this question. We want ALL transfers, not just gifts.

10. Did anyone in this household give or send money or goods to someone outside the household in the last 12 months? (1=Yes, 2=No) |\_\_\_ | *If YES, continue to question 11. If NO, skip to question 19.* 

For the next set of questions, please group together transfers that come from the <u>same sender</u> and are meant for the <u>same receiver</u> (or individuals in the same household), in one "transfer relationship." If there are more than 3 transfer relationships, list only the ones that provided the largest amount of money or goods.

*Read:* Consider the [1<sup>st</sup> / 2<sup>nd</sup> / 3<sup>rd</sup>] relationship in which your household <u>sent a gift</u> of money or goods to someone outside the household in the past 12 months. *Fill in the table by proceeding across for Question 11 and then down each column.* 

30 / 90 FO Comments:

	Transfer	Transfer	Transfer
	relation #1	relation #2	relation #3
11. What is your relationship to the receiving household head? Use G4 codes			
Note: Use household head, not receiving individual. For instance, if the			
receiving household head is the FR's mother, select the code for			
"mother".			
11a. How old is the receiving household head? If don't know, please estimate.			
(999=DK)	II	II	II
12. Was it money or goods that your household sent?			
(1=Money, 2=Goods, 3=Both)	a. KSh	a. KSh	a. KSh
If MONEY, ask questions 12a, 12b, and 12e. If GOODS, ask questions	b.	b.	b.
12c, 12d, and 12e. If BOTH, ask questions 12a – 12e.	0.11	×.	<b>D</b> .
Do not include here transport or Mpesa fees.	c. KSh	c. KSh	c. KSh
12a. What was the amount of the most recent <u>cash</u> transfer? (88=N/A)	d.	d	d.
12b. How were the funds transferred? Use T2 codes	··		·
12c. What was the value of most recent transfer of goods?	e.	e.	e.
12d. What were the goods that you sent? <i>List all.</i>	Other:	Other:	Other:
12e. List currency if not Ksh. Use G12 codes.			
13. Where does the recipient live?			
13a. Country: Use G1 codes			
13b. County: Refer to "1992 district" if FR does not know county (1992			
district and county are equivalent). For senders in Uganda, ask for			
"district" rather than "county". Use G2a codes.			
If 77=FR DK COUNTY, continue. OTHERWISE, skip to question 13d.			
13c. 2010 District: If FR doesn't know 2010 district, but does know an			
earlier district name, write old district name and make a remark	I	I	l
in FO Comments below. Use G2b codes.			
13d. Town / city? Use G3a codes. Code 20=Lives in a rural area.			
If LIVES IN A RURAL AREA, continue. OTHERWISE, skip to question 14.			

	Transfer	Transfer	Transfer
	relation #1	relation #2	relation #3
14. What was the main use of the most recent transfer of money or goods? List all			
that apply, up to 3 selections. Use T1 codes. (99=DK)			
15a. What was the total value of all transfers in this relationship during the last 12	a.KSh	a. KSh	a KSh
months? (if goods were sent, think how much it would cost to buy them). <b>Do not</b>		b.    Other:	
include here transport or MPESA fees.			
15b. List currency if not Ksh. Use G12 codes.			
16. Have you ever received a transfer from this person in the past? (1=Yes, 2=No)			

If ANOTHER TRANSFER was entered, return to question 12 and fill in the next column. If NOT, continue.

If MORE THAN 3 SENDING TRANSFER RELATIONSHIPS, ask question 17. If not, skip to question 19.

17. How many total individuals did you send money to in the last 12 months? Note that we want the total number of transfer relationships here, not the number of people (i.e., if the FR sent money to an aunt and uncle who live together in the same household, that counts as 1 relationship rather than 2 relationships).

18.	What is the total	amount (including all cash	transfers and the total	value of transferred	goods) that your ho	usehold <u>sent</u>
t	o persons outside	the household during the	past 12 months, includii	ng the transfers abo	ve as well as any ot	her transfers?
	Amount:	Currency if NOT Ks	h <b>(use G12 codes)</b>	_  Other:		

19. How many additional friends or relatives asked you for money in the last 12 months, that you did NOT send money to? |\_\_\_\_| individuals

# **SECTION 6. Livestock**

**Read:** Now I would like to talk to you about things your household owns and things your household has recently purchased. I would like you to consider ownership and expenditures by your household as a whole, not just by you. If you need help answering any of these questions I will be asking about household spending, we can ask anyone else from your household here to help us.

- If FR is NOT in boarding school, a live-in guard, or live-in house help, read: Recall that, by your household, I mean the place where you usually sleep, not necessarily your ancestral lands or family home. By the individuals in your household, I mean those who "eat from the same pot" and spend 4 nights or days (or more) in an average week sleeping in your home.
- If FR is in boarding school, read: We consider boarding school students to be a 1-person household, therefore classmates should not be included as part of your household.
- If FR is a live-in guard or live-in house help, read: We consider live-in house help and live-in guards to be a 1-person household, unless you have a spouse or dependent(s) living with you. Your employer or employer's family should <u>NOT</u> be considered part of your household. Spouses or dependents living elsewhere should also <u>NOT</u> be considered part of your household.

#### Note: We will use this household definition for the remainder of this survey. Read: First let's talk about animals. Note: We are interested in livestock this household owns, plus any livestock the respondent owns that is at another location. Record all amounts in this section in one currency, preferably Kenyan shillings.

ITEM	1a. How many [LIVESTOCK] did your household purchase in the past <u>12 months</u> ? (999=DK) If "0", skip to question 1c.	1b. How much money <u>in</u> <u>total</u> did your household pay for those [LIVESTOCK]? <i>If it helps</i> <i>the respondent, ask for</i> <i>the price of each</i> <i>individual animal, and</i> <i>sum to the total.</i> (9999=DK)	1c. How many [LIVESTOCK] does your household currently own in total? <b>QTY</b> <b>OWN</b> (999=DK)
1. Local/Indigenous Cattle		/=	
2. Exotic/grade cattle		/=	
3. Goats		/=	
4. Sheep		/=	
5. Chickens		/=	
6. Ducks		/=	
7. Donkeys		/=	
8. Pigs		/=	
9. Turkeys		/=	
10. Other 1 (specify):	<u> </u>	/=	
11. Other 2 (specify):		/=	

2. Did your household sell any livestock in the past <u>12 months</u>? (1=Yes, 2=No, 99=DK) |\_\_\_\_\_\_ 3. **If Yes:** How much did your household earn from the sale of that livestock? |\_\_\_\_\_\_ |/=

(DK=9999)

4. In the past <u>12 months</u> how much did your household spend in total on veterinary expenses?

5. List currency for all amounts in this section if not Ksh. Use G12 codes. |\_\_\_| Other: \_\_\_\_

#### **SECTION 7. Durables**

*Read:* Now let's talk about large or more expensive household items.

NOTE: For the following sections 7 – 11: If the FR is a boarding school student and the ITEM (e.g. food, medicine, lamps) is already included in the tuition paid, DO NOT record it. However, if the ITEM is an <u>additional</u> out-of-pocket payment the FR made, record the ITEM. Purchases that are made by the <u>boarding school</u> (e.g. a TV) SHOULD NOT be listed.

Record all values in this section in one currency, preferably Kenyan shillings.

1.			2.	4.	5.	6.	3.	7.
Has your household bought or spent mo		TEM] or		Did your		lf gift /	When was the	
eceived it as a gift during the past 12 months?			[ITEM] did your		your household			[item] does
If YES to either, mark "1". If NO to both, mark "2".			household acquire in the				household	your
	"NO" skip to a7			receive it as a	all the [item]	value of all	acquired this	household
lf "NO", skip to q7.			past 12	gift or by	that you		[ITEM]?	currently own
			months,	barter?	purchased in	you received	DK=99/9999	in total? Skip
			through gift AND purchase?	1=PURCHASE	months?	as a gift or by barter in the		if marked "" (999=DK)
				BARTER	If paid in	past 12		(999=DK)
			DN=333	3=BOTH	installments,	months?		
				0-00111	record the total			
					amount already			
					paid so far			
		r			DK=9999			
	1=YES		QTY	IF "1" → Q5			MM/YYYY	
	2=NO	CODE	ACQUIRED	IF "2" → Q6	AMOUNT (/=)	AMOUNT (/=)		QTY
	99=DK		IN PAST 12	IF "3" → Q5	/	/		<b>~</b>
	IF "2" → Q7		MONTHS	THEN 6				
Bicycles		1						
Motorcycles / scooters		2						
Cars / trucks		3						
Motor boats		4						
Other boats		5						
Lamps / lanterns		6						
Jiko Stoves		7						

1.			2.	4.	5.	6.	3.	7.
Has your household bought or spent mo		ΓEM] or	How many [ITEM] did your	Did your	How much did	lf gift /	When was the	How many
	received it as a gift during the past 12 months?			household	your household			[item] does
If YES to either, mark "1". If NO to bo	th, mark "2".		household		r - 7		household	your
If "NO", skip to q7.			acquire in the past 12	receive it as a gift or by	all the [item] that you	<u>value</u> of all [item] that	acquired this [ITEM]?	household currently own
			months,	barter?	purchased in	you received	DK=99/9999	in total? Skip
				1=PURCHASE		as a gift or by		if marked ""
			through gift AND purchase?	2=GIFT /	months?	barter in the		(999=DK)
			DK=999	BARTER		past 12		
				3=BOTH	installments, record the total	months?		
					amount already			
					paid so far			
					DK=9999			
	1=YES		QTY	IF "1" → Q5			ΜΜ/ΥΥΥΥ	
	2=NO 99=DK	CODE	ACQUIRED IN PAST 12	IF "2" → Q6 IF "3" → Q5	AMOUNT (/=)	AMOUNT (/=)		QTY
	99=DK IF "2" → Q7		MONTHS	THEN 6				
Kerosene Stoves		8						
Gas/electric stove		9						
Thermos flasks		10						
Sufuria		11						
Pots		12						
Buckets, basins		13						
Electric Coil Water Heater		14						
Refrigerator		15						
Jerry cans, Water Drums		16						
Watering cans, hoses Do NOT double-								
count jerrycans.		17						
Hand carts		18						
Wheel barrow		19						
Ox carts or other animal drawn cart		20						

1.			2.	4.		6.	3.	7.
Has your household bought or spent money on any [ITEM] or received it as a gift during the past 12 months? If YES to either, mark "1". If NO to both, mark "2". If "NO", skip to q7.		How many [ITEM] did your household acquire in the past 12 months, through gift AND purchase? <b>DK=999</b>	purchase it, or receive it as a gift or by barter? 1=PURCHASE	your household pay in total for all the [item] that you purchased in	was the total value of all [item] that you received as a gift or by barter in the past 12 months? <b>DK=9999</b>	household acquired this [ITEM]? <i>DK=99/9999</i>	How many [item] does your household currently own in total? <i>Skip</i> <i>if marked ""</i> (999=DK)	
	1=YES 2=NO 99=DK IF "2" → Q7	CODE	QTY ACQUIRED IN PAST 12 MONTHS	IF "1" → Q5 IF "2" → Q6 IF "3" → Q5 THEN 6	AMOUNT (/=)	AMOUNT (/=)	ΜΜ/ΥΥΥΥ	QTY
Ox ploughs		21						
Hoes, rakes, spades		22						
Hammers		23						
Machetes, slashers, axes		24						
Fishing rods		25						
Chairs		26						
Tables		27						
Stools		28						
Beds		29						
Shelves/ drawers		30						
Side boards/wall units		31						
Mosquito nets		32						
Mattresses		33						
Pillows		34						

1.			2.		5.	6.	3.	7.
Has your household bought or spent money on any received it as a gift during the past 12 months? If YES to either, mark "1". If NO to both, mark "2 If "NO", skip to q7.		「EM] or	How many [ITEM] did your household acquire in the past 12 months, through gift AND purchase? <b>DK=999</b>	receive it as a gift or by barter? 1=PURCHASE	How much did your household pay in total for all the [item] that you purchased in the past 12 months? <i>If paid in</i> <i>installments,</i> <i>record the total</i> <i>amount already</i> <i>paid so far</i> <i>DK=9999</i>	was the total value of all [item] that you received as a gift or by barter in the past 12 months? <b>DK=9999</b>	When was the last time your household acquired this [ITEM]? <i>DK=99/9999</i>	How many [item] does your household currently own in total? <b>Skip</b> <i>if marked ""</i> (999=DK)
	1=YES 2=NO 99=DK IF "2" → Q7	CODE	QTY ACQUIRED IN PAST 12 MONTHS	IF "1" → Q5 IF "2" → Q6 IF "3" → Q5 THEN 6	AMOUNT (/=)	AMOUNT (/=)	ΜΜ/ΥΥΥΥ	QTY
Sofa pieces (all kinds)		35						
Clocks, watches		36						
Jewelry		37						
Glasses/spectacles		38						
Toys and Games		39						
Suitcases		40						
School bags, handbags, purses/wallets		41						
Charcoal Irons		42						
Electric Irons		43						
Electric fan		44						
Sewing machines		45						
Torches		46						
Radios / cassette players		47						
Music system/home theater/HiFi		48						

1.			2.	4.	5.	6.	3.	7.
Has your household bought or spent mo		TEM] or		Did your			When was the	
received it as a gift during the past 12 m			[ITEM] did your	household	your household			[item] does
If YES to either, mark "1". If NO to bo	th, mark "2".		household acquire in the		pay in total for all the [item]		household acquired this	your household
If "NO", skip to q7.			past 12	gift or by	that you		[ITEM]?	currently own
			months,	barter?	purchased in		DK=99/9999	in total? Skip
			through gift	1=PURCHASE	the past 12	as a gift or by		if marked ""
			AND purchase?		months?	barter in the		(999=DK)
			DK=999	BARTER	If paid in	past 12		
				3=BOTH	installments, record the total	months?		
					amount already			
					paid so far			
	I	1			DK=9999			
	1=YES		QTY	IF "1" → Q5			MM/YYYY	
	2=NO 99=DK	CODE	ACQUIRED IN PAST 12	IF "2" → Q6 IF "3" → Q5	AMOUNT (/=)	AMOUNT (/=)		QTY
	IF "2" → Q7		MONTHS	THEN 6				
Speakers (purchased separately)		49						
Decorder/TV receiver e.g. Startimes,								
GOtv, DStv		50						
DVD/CD players		51						
Televisions		52						
Computers		53						
Tablets		54						
Telephones (Landline phone)		55						
Mobile Phones. If phone purchased								
partly/fully using Bonga points, get								
the value of points in Kenya Shillings.		56						
Generators		57						
Solar panels, and any other parts of		57						
home solar system e.g. M-KOPA solar								
system		58						

1.			2.	4.	5.	6.	3.	7.
Has your household bought or spent r		TEM] or		Did your	How much did	lf gift /	When was the	How many
received it as a gift during the past 12			[ITEM] did your		your household			[item] does
If YES to either, mark "1". If NO to I	both, mark "2".				pay in total for		household	your
If "NO", skip to q7.			acquire in the past 12	receive it as a gift or by	all the [item] that you	<u>value</u> of all [item] that	acquired this [ITEM]?	household currently own
			months,	barter?	purchased in	you received	DK=99/9999	in total? Skip
			through gift	1=PURCHASE		as a gift or by		if marked ""
			AND purchase?	2=GIFT /	months?	barter in the		(999=DK)
			DK=999	BARTER	If paid in	past 12		
				3=BOTH	installments, record the total	months?		
					amount already			
					paid so far			
					DK=9999			
	1=YES		QTY	IF "1" → Q5			MM/YYYY	
	2=NO	CODE		IF "2" → Q6 IF "3" → Q5	AMOUNT (/=)	AMOUNT (/=)		QTY
	99=DK IF "2" → Q7		IN PAST 12 MONTHS	THEN 6				
Car Batteries (not in the vehicle)		59						
Water pump		60						
Other small tools (specify):		61						
Other furniture (specify):		62						
Other electric appliance (specify):		63						
Other kitchen goods (specify):		64						
Other durables 1 (specify):								
		65						
Other durables 2 (specify):		66						
Other durables 3 (specify):								
<u> </u>		67						

8. List currency for purchases / gifts of all items if NOT Ksh. Use G12 code. |\_\_\_| Other: \_\_\_\_\_

#### **SECTION 8. Frequent Non-Food Purchases**

*Read:* Now I am going to ask you about items that you use in your home that you may buy often. Again, I want to ask about all purchases made for your household, regardless of which person made them. Please <u>exclude</u> from your answer anything purchased for processing or resale in a household enterprise.

#### Record all values in this section in one currency, preferably Kenyan shillings.

			PURCHASES PAST 7 DAYS	PURCHASES AVERAGE MONTH IN THE PAST 12 MONTHS	GIFTS PAST 12 MONTHS
1. Has your household bought or spent mone received it as a gift during the past 12 mon <i>If YES to either, mark "1". If NO to both,</i> <i>to next row.</i>	ths?	-	2. How much did your household spend on [ITEM] in the past 7 days? <i>DK</i> =9999	3. How much did your household spend for [ITEM] in a typical month in the past 12 months? <i>DK=9999</i>	4. What is the value of all the [ITEM] that your household received as a gift during the past 12 months? <i>DK=999</i>
	1=YES 2=NO 99=DK	CODE	AMOUNT (/=)	AMOUNT(/=)	AMOUNT (/=)
Soap to wash body (Imperial, Dettol, Sunlight)		1			
Toothpaste		2			
Vaseline or other similar lotions		3			
Hair shampoo, oil/cream/conditioner		4			
Cosmetics (face make-up, perfume, lipstick, after shave lotion, nail polish)		5			
Household cleaning articles (Washing powder, OMO, JIK, Bleach)		6			
Waterguard, chlorine, or other water treatment		7			
Toilet paper/Tissue paper		8			
Candles		9			
Matches		10			
Panadol and Aspirin		11			
Napkins/diapers/pullups for infants		12			
Contraceptives (condoms, Femiplan, pills to prevent pregnancy, etc.)		13			

			PURCHASES PAST 7 DAYS	PURCHASES AVERAGE MONTH IN THE PAST 12 MONTHS	GIFTS PAST 12 MONTHS
1. Has your household bought or spent mone received it as a gift during the past 12 mon If YES to either, mark "1". If NO to both, to next row.	ths? mark "2". If	-	2. How much did your household spend on [ITEM] in the past 7 days? <i>DK=9999</i>	3. How much did your household spend for [ITEM] in a typical month in the past 12 months? <i>DK=9999</i>	4. What is the value of all the [ITEM] that your household received as a gift during the past 12 months? <i>DK=999</i>
	1=YES 2=NO 99=DK	CODE	AMOUNT (/=)	AMOUNT(/=)	AMOUNT (/=)
Sanitary towels/tampons/cotton wool/panty liners		14			
Manual shaver and razors		15			
Tobacco, Cigarettes, Snuff or Miraa		16			
Garbage and refuse collection		17			
Transport expenses (Matatu, boda boda, Bus, train, boat)		18			
Telephone Calling card/Scratch card/Airtime		19			
Cyber café expenses/Internet usage		20			
TV Decoder charges		21			
Gambling / Lottery spending, eg. SportPesa, etc.		22			
Watchman Include salary, plus any benefits in kind (transport, etc). Do NOT include any meals provided from the household pot.		23			
Banking fees (Mpesa transaction fees, bank cheque charges, money order charges, ATM charges, etc.) <i>Do NOT include interest on</i> <i>Ioans.</i>		24			
Posho mill grinding cost		25			
Other toiletries and personal care:		26			
<b>Read:</b> Please remember that all of the information you share with us will be kept as confidential as possible and we will not share your responses outside of the survey team. Narcotics, eg. marijuana, glue		27			
Misc. other frequent non-food expense (specify): 1:		28			

41 / 90 FO Comments:

			PURCHASES PAST 7 DAYS	PURCHASES AVERAGE MONTH IN THE PAST 12 MONTHS	GIFTS PAST 12 MONTHS
1.			2.	3.	4.
Has your household bought or spent mon received it as a gift during the past 12 mo <i>If YES to either, mark "1". If NO to both to next row.</i>	nths?	-	How much did your household spend on [ITEM] in the past 7 days? <i>DK=9999</i>	How much did your household spend for [ITEM] in a typical month in the past 12 months? <i>DK=9999</i>	What is the value of all the [ITEM] that your household received as a gift during the past 12 months? <i>DK=999</i>
	1=YES 2=NO 99=DK	CODE	AMOUNT (/=)	AMOUNT(/=)	AMOUNT (/=)
Misc. other frequent non-food expense (specify): 2:		29			
Misc. other frequent non-food expense (specify): 3:		30			

5. List currency for purchases / gifts of all items if NOT Ksh. Use G12 code. |\_\_\_| Other: \_\_\_\_\_

### **SECTION 9. Non-Frequent Non-Food Purchases**

*Read:* Now I am going to ask you about items that you use in your home that you probably buy infrequently. Again, I want to ask about all purchases made for your household, regardless of which person made them. Please <u>exclude</u> from your answer anything purchased for processing or resale in a household enterprise.

#### Record all values in this section in one currency, preferably Kenyan shillings.

			PURCHASES	PURCHASES	GIFTS
			PAST 7 DAYS	AVERAGE MONTH IN THE PAST 12 MONTHS	PAST 12 MONTHS
1. Has your household boug spent money on any [ITEM received it as a gift during past 12 months?f YES to mark "1". If NO to both, "2". If NO, skip to next re	M] or the <b>either,</b> <b>mark</b>		[ITEM] in the past 7 days?	3. How much did your household spend for [ITEM] in a typical month in the past 12 months? <b>DK=9999</b>	4. What is the value of all the [ITEM] that your household received as a gift during the past 12 months? <i>Skip if marked</i> "" <i>DK=9999</i>
	1=YES 2=NO 99=DK	CODE	AMOUNT (/=)	AMOUNT (/=)	AMOUNT (/=)
Linens (sheets, blankets, towels)		1			
Women's clothing, cloth, tailoring expenses and footwear		2			
Men's clothing, cloth, tailoring expenses and footwear		3			
Children's clothing, cloth, tailoring expenses and footwear		4			
Plates, cutlery, glassware, trays, plastic containers		5			
Haircuts and hairstyling		6			
Doctor, Hospital, and clinic fees. <b>Remember to only</b> include fees paid by your household.		7			
Medicines (Not including Panadol, Aspirin) <b>(include</b> <b>herbal medicine)</b>		8			

Health insurance (e.g. NHIF)	9		
Books, stationery, newspapers	10		
Postal expenses	11		
Photocopying/scanning/typing	12		
Photography service	13		
Movie or music hire/purchase	14	 	
Cinema, Video show, including televised sports matches	15		
Sports expenses (Gym, Athletics, Soccer Clubs etc )	16		
Entry fees for agriculture shows, museums, etc.	17		
Nightclub entry fees, paying someone to dance with you, hiring a room for a partner <b>Do not include lodging that</b>			
has already be discussed.	18	 	
Repair and maintenance of appliances <i>Do NOT include</i> <i>expenses for working. This</i> <i>is captured in the self-</i>	10		
<i>employment section.</i> Bicycle, motorbike, vehicle	19		
repair / improvements, licenses, vehicle insurance and parking fees, <u>not for</u> <u>commercial use</u> (not gasoline) <b>Do NOT include</b>			
expenses for working. This is captured in the self- employment section.	20		
Home repair and improvements (i.e., mabati roof, cement floor, furniture) (include materials not yet in use, eg.			
iron sheets, nails, etc)	21		

FO Comments: 44 / 90

House Decoration (e.g.,			
curtains, carpets, mats,			
flowers)	22		
Traveling and lodging			
expenses (not transport)	23		
Charity, donations (including			
church and mosque)	24		
Local council taxes and fees			
(e.g. land rates), and			
community group fees (e.g.			
water group, women's group)			
Do not include taxes for a			
business or taxes on			
wages. This is captured in			
the self-employment and			
employment sections.	25		
School fees and other			
education/training fees.			
Remember to only include			
fees paid by your			
household.	26		
Contributions to informal			
credit schemes (ROSCAs)			
e.g Merry-go-round	27		
Losses due to theft	28		
Deposits to savings accounts			
or MPESA. We want the net			
amount of savings.	29		
Legal services/fees	30		
Marriages, births, other			
ceremonies and bride price	31		
Funeral expenses	32		
Car/Motorcyle fuel			
NOT for commercial use.	33		
Other fishing equipments (not			
fishing rods/boats)	34		
House/Apartment rent	35		
	36		
Interest payment on loans	50		
Electricity expenses (from all	37		
sources)	57		

20			
50			
39	)		
40	)		
41			
42			
43			
44			
45			
47	,		
48			
	39 40 41 41 42 43 43 44 45 46 46 47	42 43 44 45 46 47	39

5. List currency for purchases / gifts of all items if NOT Ksh. Use G12 code. |\_\_\_| Other: \_\_\_\_\_

# **BREAK**

*Read:* We will now take a 5 minute break before continuing with the remainder of the survey. We would like to offer you a drink in the form of juice at this time.

46 / 90 FO Comments:

Give the FR a juice. Make sure to check the time and ensure that the break is 5 minutes. CONTINUE TO SECTION 10A AFTER 5 MINUTES.

### SECTION 10A. Food Consumption: Staples

**Read:** Now I am going to ask you about food items. Again, I want to ask about all purchases made for your household, regardless of which person made them. Please <u>exclude</u> from your answer food purchased for processing, livestock consumption or resale in a household enterprise. First I will ask you about staples that you eat at home. Include grains used for food or alcohol. Do not double count grain that is made into flour.

						IASES IN 7 DAYS	HOME	PRODUCT	ION	MARKE	T PURCH	ASES	G	IFTS	
		your household consumed [FOOD] during the past 12 months?	lf Q1 = 1 continue. Otherwise, skip to next item		<b>Q3.</b> How m have the m your house	nuch [FOOD] nembers of shold in the last 7 FOR S ontinue,	Q4. During the last 12 months, how many months was your household consuming [FOOD] that your household grew or produced? If "0" → Q6	months th household consumed that your l grew or pu how much production	at your d [FOOD] nousehold roduced, n of this n did your d consume al week? <i>FOR</i>	Q6. During the last 12 months, how many months did your household purchase [FOOD]? If "0" → Q8	purchase how much household	at your d [FOOD], n did your d usually [FOOD] in week? FOR	[FOOD] that your received the past	ount of the consumed household as a gift in 12	UNIT CODES 01= Kenyan Shillings 02=Ugandan Shillings 03= Kilo 04= Gram 05= Gorogoro-2Kg 06= Debe-20KG 07= Gunia-90KG 08= Litre 09= 350ML 10= 500ML
	[FOOD]	1=YES 2=NO 99=DK	1=YES 2=NO 99=DK		AMT	UNIT F7 codes	MONTHS	ΑΜΤ	UNIT F7 codes	MONTHS	ΑΜΤ	UNIT F7 codes	АМТ	UNIT F7 codes	11= 700ML 12= Kauku-1KG 13= Kasuku-2KG
1	Maize			1											14= Jerry Can/Dumu-20L 15=
2	Millet			2											Number
3	Sorghum			3											16= Pack/Packet 17= Bundle
4	Rice			4											18= Other (specify)
5	Sweet potato			5											
6	Cassava			6											_
7	Irish potato			7											
8	Maize floui	r		8											
9	Wheat flour			9											
10	Plantains			10											
11	Spaghetti/ pasta			11											
12	Other staples <b>(specify):</b>			12											

# SECTION 10B. Food Consumption: Vegetables and Legumes

	Reau.		ask you		PURCHAS		umes that you e	RODUCTIO		MARK	ET PURCH	ASES	GIF	TS	UNIT CODES
					LAST 7 D				•				0.1		01= Kenyan Shillings
		Q1.	Q2.		Q3.			Q5.		Q6.	Q7		Q8.		02=Ugandan Shillings
		Has your			How much			During these					What is the	total	03= Kilo
			household				12 months, how			last 12	months that	t your	amount of t	he [FOOD]	04= Gram 05= Gorogoro-2Kg
			or produc		members o			consumed [F		months,	household		consumed	that your	05= Gologolo-2Kg 06= Debe-20KG
					household		was your	that your hou			purchased	[FOOD],	household	received	07= Gunia-90KG 08= Litre
		during the	past 12 m	ionths?	purchased last 7 days		nousenoid consuming	grew or prod how much of		months aid your	how much household	ala your	as a gift in months?	the past 12	08= Litre
			lf Q1 = 1		last / uays			production d			spend on []		monuns?		09= 350ML
			continue.	_	lf Q2 = 1			household co			in a typica				10= 500ML
			Otherwis		continue,		grew or	in a typical			PROMPT				11= 700ML 12= Kauku-1KG
			to next it	em	Otherwise			PROMPT FO	DR	· ·	SHILLING	S			13= Kasuku-2KG
					to Q6.		lf "0" <del>→</del> Q6	SHILLINGS		lf "0" →					14= Jerry Can/Dumu-20L
		1=YES	1=YES							Q8					15= Number 16= Pack/Packet
		2=NO	2=NO		AMT	UNIT	MONTHS	AMT	UNIT	MONTHS	AMT	UNIT	АМТ	UNIT	17= Bundle
	[FOOD]	99=DK	99=DK		,	•••••		,	•		,	•	,	•••••	18= Other (specify)
1	Groundnuts			1											
2	Beans			2											
3	Cowpea leaves			3											
4	Green grams			4											
5	Tomatoes			5											
6	Onions			6											
7	Kale			7											
8	Cabbage			8											
0	Mrenda (Local			0											
9	Vegetable Saka (Local			5											4
10	Vegetable)			10											
11	Amaranth			11											]
12	Pumpkin leaves			12											]
13	Managu			13											ļ
14	Garlic			14											ļ
15	Ginger			15											

Read: Now I will ask you about vegetable and legumes that you eat at home.

an	her Vegetables id legumes: <b>pecify):</b>		16												
-~ <u> </u>	SECTION 10C	. Food Co		Neat	and Da	irv				1	<u> </u>	1			
							nat you eat at ho	me.							
						HASES N 7 DAYS	HOME PR	ODUCTIO	ON	MARKE	ET PURCH	ASES	GI	FTS	UNIT CODES 01= Kenyan Shil 02=Ugandan Shi
		househol d consume d [FOOD] during	Q2. Has your household grown or produced [FOOD] during the past 12 months? If Q1 = 1 continue. Otherwise, skip to next item		your hou	have hbers of usehold ed in the ays? T FOR NGS 1 e,	Q4. During the last 12 months, how many months was your household consuming [FOOD] that your household grew or produced? If "0" → Q6	months t househo consume [FOOD] househo	hese hat your ld ed that your ld grew ced, how this on did sehold e in a veek? T FOR	last 12 months, how many months did your	household spend on [ in a typica <i>PROMPT</i> <i>SHILLING</i>	at your [FOOD], did your usually FOOD] al week? FOR	your ho received gift in th	of the led that usehold d as a le past	05= Gorogoro-2k 06= Debe-20KG 07= Gunia-90KG
	[FOOD]	1=YES 2=NO	1=YES 2=NO		ΑΜΤ	UNIT	MONTHS	AMT	UNIT	MONTHS	AMT	UNIT	AMT	UNIT	
1	Beef			1											
2	Goat			2											
3	Pork			3											
4	Mutton			4									1		
5	Liver, kidney, heart or other organ meat			5											
6	Chicken, duck, poultry			6											
7	Fish (not Omena/Fulu)			7	1			1					1		7
8	Omena/Fulu			8	1			1					1	1	1
9	Eggs			9	1			1					1		1
	Milk (fresh, fermented)			10	1			1					1		7
11	Milk powder			11	1								1	1	1
12	Other Dairy: (specify):			12											

	Other meat (eg. rabbits,							
13	game meat, etc.):	12						
13	(specify):	13	1					1

SECTION 10D. Food Consumption: Fruit Read: Now I will ask you about fruits that you eat at home.

				PURCHA LAST 7	SES IN	HOME PR	ODUCTIC	ON	MARKET	PURCH	ASES	GIFTS		<b>UNIT CODES</b> 01= Kenyan Shillings	
		Q1.	Q2.		Q3.		Q4.	Q5.		Q6.	Q7.		Q8.		02=Ugandan Shillings
		Has your	Has your		How much	[FOOD	During the last	During th	nese	During the	During th	nese	What is	the	03= Kilo
		household	household				12 months, how				months t	hat	total am	ount of	04= Gram 05= Gorogoro-2Kg
		consumed	grown or		of your hou		many months	your hou			your hou	sehold	#ho 1L()/		
		[FOOD] during			purchased		was your	consume		how many			consum	ed that	06= Debe-20KG 07= Gunia-90KG
		the past 12	[FOOD] during		last 7 days	?	household	[FOOD]		months dic			your		08= Litre
		months?	the past 12		ALWAYS		consuming	your hou	sehold		much dic		househo		09= 350ML
			months?		PROMPT I		[FOOD] that	grew or		household			received		10= 500ML
			lf Q1 = 1		SHILLING	3	your household	much of			on [FOO		gift in th		11= 700ML
			continue.		lf Q2 = 1		grew or produced?	productio			typical v		12 monu	ns :	12= Kauku-1KG
			Otherwise,		continue,		produced?				PROMP				13= Kasuku-2KG 14= Jerry Can/Dumu-20L 15=
			skip to next		Otherwise	. skip	lf "0" <del>→</del> Q6	consume			SHILLIN				Number
			item		to Q6.	, <b>.</b> p		typical v			0				16= Pack/Packet
								PROMP							17= Bundle
								SHILLIN	IGS						18= Other (specify)
		1=YES	1=YES												
		2=NO	2=NO		AMT	UNIT	MONTHS	AMT	UNIT	MONTHS	AMT	UNIT	AMT	UNIT	
	[FOOD]	99=DK	99=DK												
1	Papaya (pawpaw)			1											
2	Water Melon			2											
3	Bananas			3											
4	Orange, other citrus			4											
5	Pineapple			5											
6	Avocado			6											
7	Mango			7	1			1							1
8	Passion fruit			8											1
9	Jack fruit			9											
10	Guava			10											
1 1	Other fruits			11											
11	(specify):			11											J

SECTION 10E. Food Consumption: Other Food *Read:* Now I will ask you about other food purchases consumed at home.

	PURCHASES IN HOME PRODUCTION									070			UNIT CODES		
						DAYS	HOME P	RODUCTIO	N	MARKE	T PURCHA	SES	GIFTS		01= Kenyan Shillings
			Q2.		Q3.			Q5.			Q7		Q8.		02=Ugandan Shillings 03= Kilo
		Has your			How much		During the last				During thes		What is		03= Kilo 04= Gram
		househol	household		have the r			months tha	t your		months tha	it your	total an		05= Gorogoro-2Kg
		d	grown or		of your ho			household		months, how			of the [I		06= Debe-20KG
			produced [FOOD] during		purchased last 7 days		months was your household	consumed	FOOD		purchased how much		consur		07= Gunia-90KG
			the past 12					household	arow or		household		househ		08= Litre
			months?		FOR SHIL			produced, h			spend on [I		receive		09= 350ML
		12					your household				in a typica				10= 500ML 11= 700ML
			lf Q1 = 1		lf Q2 = 1 (			production			PROMPT I		12 mon	ths?	12= Kauku-1KG
			continue.		Otherwise	e, skip to	produced?	your house	hold	-	SHILLING	S			13= Kasuku-2KG
			Otherwise, skip	2	Q6.			consume <b>ir</b>		lf "0" → Q8					14= Jerry Can/Dumu-20L 15=
			to next item					typical wee							Number
								PROMPT F							16= Pack/Packet
			( )/=0					SHILLINGS	5			1		1	17= Bundle 18= Other (specify)
		1=YES	1=YES 2=NO		A 84T	UNIT	MONTUS	АМТ		MONTHS	ANAT	UNIT	АМТ	UNIT	
	[FOOD]	2=NO 99=DK	2=NO 99=DK		AMT	UNIT	MONTHS		UNIT	MONTHS	AMT	UNIT		UNIT	
	Oil/Cooking	33-DR	33-DR												-
	Fat/butter/lard, etc.			1											
2	Sugar			2											
	Salt			3											
4	Pepper			4											
	Other spices (ie,			_											
5	Royco)			5											_
6	Tea, Coffee			6											
	Breads, biscuits,														
	cakes, chapatti,			-											
	mandazi, etc.			/											4
	Chips, crisps, samosas, etc.			8											
	Soda, squash			9											4
_	· ·												-		4
	Alcoholic drinks			10											
	Infant food/formula			11											
	Porridge flour			12											
	Bottled water, Juice (fruit/vegetable)			13											

14	Jam, honey		14					
	Other food 1:							
15	(specify):		15					

### SECTION 10F. Food Consumption: Fuel

Read: Now I will ask you about the fuels you use.

					PURCHA LAST 7	SES IN	HOME PR	ODUCT	ON	MARKE	T PURCH	ASES	GIFTS		<b>UNIT CODES</b> 01= Kenyan Shillings	
		Q1.	Q2.		Q3.		Q4.	Q5.		Q6.	Q7.		Q8.		02=Ugandan Shillings	
		house- hold consume d [FUEL] during the past 12 months?	during the		househol	ave the of your d d in the /s? FOR GS c, se, skip	last 12 months, how many months was your household consuming [FUEL] that your household grew or produced? If "0" → Q6	[FOOD] your hou grew or produce much of productio	that isehold ed that isehold d, how this on did isehold e in a week? <i>T FOR</i>	how many months did your household	months th househol purchase	nat your d how your d usually [FOOD] <b>cal</b>	consumed household a gift in th months?	f the [FUEL] d that your d received as	02=Ogandan Shinings 03= Kilo 04= Gram 05= Gorogoro-2Kg 06= Debe-20KG 07= Gunia-90KG 08= Litre 09= 350ML 10= 500ML 11= 700ML 12= Kauku-1KG 13= Kasuku-2KG 14= Jerry Can/Dumu-20L 15= Number 16= Pack/Packet 17= Bundle 18= Other (specify)	
	[FUEL]	1=YES 2=NO 99=DK	1=YES 2=NO 99=DK		ΑΜΤ	UNIT	MONTHS	АМТ	UNIT	MONTHS	АМТ	UNIT	АМТ	UNIT		
1	Firewood			1												
2	Charcoal			2												
3	Kerosene			3					ł							
4	Cooking gas			4												
5	Other fuel: (specify):			5											]	

# SECTION 11. Daily Meal/Snack Consumption

*Read*: Now I would like to talk about prepared meals that you and members of your household purchased or received outside of the home and were consumed by any household member. You should think of all the people living in your household, meaning you and the **[SUM OF ADULTS & KIDS IN HH ROSTER]** people you told me are living in your household. Don't include food items already mentioned previously.

*If a household member is in boarding school, read:* Do not include meals provided by the school if they were included in tuition as well as food items already mentioned previously.

		ed or received PE] by any embers home during /s? For hotel, or in is home. RY TO AVOID 99)	Record the value of all of these meals (all breakfasts, not the cost per breakfast).
	CODE	NUMBER	AMOUNT
a. Breakfasts (do not include breads, biscuits, cakes, chapati, mandazi, etc.)	1		
b. Lunches	2		
c. Dinners / suppers	3		
d. Other meals 1 <b>(specify):</b>	4		
e. Other meals 2 (specify):	5		
f. Other meals 3 (specify):	6		

Use only one currency for all values, even if it is not Ksh.

3. List currency for all above meals and snacks if NOT Ksh. Use G12 code. |\_\_\_| Other: \_\_\_\_\_

Read: Now I would like to ask you some questions about your own personal food consumption.

17. How many meals did you eat yesterday? Chai (tea) itself is not to be considered as a meal. (99=DK)    If ZERO, skip to question 18.
17a. How many of these meals included meat, fish, or chicken? Omena/Fulu (small fish) should be included, but eggs should not. (99=DK)
17b. How many of these meals included eggs? (99=DK)
18. Think about the day before yesterday. How many meals did you eat the day before yesterday? Chai (tea) itself is not to be considered a meal. (99=DK) [  If ZERO, skip to question 19.
18a. How many of these meals eaten the day before yesterday included meat, fish, or chicken? Omena/Fulu (small fish) should be included, but eggs should not. (99=DK)
18b. How many of these meals eaten the day before yesterday included eggs? (99=DK)
19. Think about the day before the day before yesterday. That is, think of <b>[day of week three days earlier]</b> . How many meals did you eat on <b>[day of week three days earlier]</b> ? Chai (tea) itself is not to be considered a meal. (99=DK)
If ZERO, skip to question 20.
19a. How many of these meals eaten on <i>[day of week three days earlier]</i> included meat, fish, or chicken? Omena/Fulu (small fish) should be included, but eggs should not. (99=DK)
19b. How many of these meals eaten on <i>[day of week three days earlier]</i> included eggs? (99=DK)
20. How many days in the last 7 days did you eat meat, eggs, fish, or chicken? (99=DK)
20a. How many days in the last 7 days did any adults other than you in your household eat meat, eggs, fish, or chicken?
20b. How many days in the last 7 days did any children (<18 years) in your household eat meat, eggs, fish, or chicken?
(99=DK)
21. How many days in the last 7 days did you go to sleep hungry because there was not enough food? (99=DK)
21a. How many days in the last 7 days did any adults other than you in the household go to sleep hungry because there was not enough food?
21b. How many days in the last 7 days did any children (<18 years) in the household go to sleep hungry because there was not enough food?
22. How would you rate your appetite over the last 7 DAYS? <i>Read responses aloud.</i> [] (1=Very strong; 2= Somewhat strong; 3=Average; 4=Weak; 5=Very weak, not hungry at all)

#### SECTION 13. Interaction with Other NGOs and Government Programs

*Read:* We would now like to learn if you or members of your household have recently benefitted from any government assistance programs, or from community, church/mosque, or other non-governmental organization assistance programs. Specifically, we want to learn about assistance programs where you have received a good or service directly. By assistance program, I mean any program, including things like receiving food, medication, bednets, condoms, fertilizer, chlorine, education or training, agricultural extension services, healthcare, a job or money for a specific purpose. We would also like to know whether you have received money from a government official.

Here we want programs in which the FR received something directly, such as money, food, extension services, or a job. We do not want to include Free Primary Education, or education subsidies that go directly to the school and not to the individual.

		1. In the past 12 months, have you or members of your household received any assistance <u>in</u> <u>cash</u> from? <i>If (C):</i> Please include any gifts of money, regardless of the purpose. (1=Yes, 2=No, 99=DK) <i>If Yes,</i> <i>continue,</i> <i>Otherwise skip</i> <i>to q.3,</i>	2. What was the total amount of this cash assistance? Please estimate if you are unsure.	3.In the past 12 months, have you or members of your household received any assistance <u>in</u> <u>kind</u> not including a job from? <i>If (C):</i> Please include any gifts in kind, regardless of the purpose. (1=Yes, 2=No, 99=DK) <i>If Yes, continue,</i> <i>Otherwise skip to</i> <i>q.5,</i>	4. What was the monetary value of this assistance? Please estimate if you are unsure.	<ul> <li>5.In the past 12 months, have you or members of your household received any assistance in form of a job from?</li> <li>(1=Yes, 2=No, 99=DK) If Yes, continue, Otherwise skip to next row,</li> </ul>	<ul> <li>5a. Was this assistance received from in form of a job for self or another household member?</li> <li>(1=Self, 2=Another household member 3=Both self and household member)</li> <li>If Self or Both, remember to record the FR's job in Sec 15.3 of Wage Employment</li> </ul>
(A)	A government program If assistance from more than one program, add monetary value to get total.	If NO, skip to question 3.	Amount:    Currency if NOT Ksh:    Other:	 If NO, skip to q.5	Amount:    Currency if NOT Ksh:    Other:	 If NO, skip to next row.	

	An NGO,	12 months, have you or members of your household received any assistance <u>in</u> <u>cash</u> from? <i>If (C):</i> Please include any gifts of money, regardless of the purpose. (1=Yes, 2=No, 99=DK) <i>If Yes,</i> <i>continue,</i> <i>Otherwise skip</i> <i>to q.3,</i>	the total amount of this cash assistance? Please estimate if you are unsure.	months, have you or members of your household received any assistance <u>in</u> <u>kind</u> not including a job from? <i>If (C):</i> Please include any gifts in kind, regardless of the purpose. (1=Yes, 2=No, 99=DK) <i>If Yes, continue,</i> <i>Otherwise skip to</i> <i>q.5,</i>	monetary value of this assistance? Please estimate if you are unsure.	months, have you or members of your household received any assistance <u>in</u> <u>form of a job</u> from? (1=Yes, 2=No, 99=DK) If Yes, continue, Otherwise skip to next row,	received from in form of a job for self or another household member? (1=Self, 2=Another household member 3=Both self and household member) If Self or Both, remember to record the FR's job in Sec 15.3 of Wage Employment
(B)	church/mosque, CBO or other assistance not from the government If assistance from more than one program, add monetary value to get total.	 If NO, skip to question 3.	Amount:    Currency if NOT Ksh:    Other:	 If NO, skip to q.5.	Amount:    Currency if NOT Ksh:    Other: 	 If NO, skip to next row.	

		1. In the past 12 months, have you or members of your household received any assistance <u>in</u> <u>cash</u> from? <i>If (C):</i> Please include any gifts of money, regardless of the purpose. (1=Yes, 2=No, 99=DK) <i>If Yes,</i> <i>continue,</i>	2. What was the total amount of this cash assistance? Please estimate if you are unsure.	3.In the past 12 months, have you or members of your household received any assistance <u>in</u> <u>kind</u> not including a job from? <i>If (C):</i> Please include any gifts in kind, regardless of the purpose. (1=Yes, 2=No, 99=DK) <i>If Yes, continue,</i> <i>Otherwise skip to</i> <i>q.5,</i>	4. What was the monetary value of this assistance? Please estimate if you are unsure.	5.In the past 12 months, have you or members of your household received any assistance <u>in</u> form of a job from? (1=Yes, 2=No, 99=DK) If Yes, continue, Otherwise skip to next row,	5a. Was this assistance received from in form of a job for self or another household member? (1=Self, 2=Another household member 3=Both self and household member) If Self or Both, remember to record the FR's job in Sec 15.3 of Wage Employment
(C)	An individual politician or government official, such as a member of parliament, governor, MCA, or other government official <i>If assistance from</i> <i>more than one</i> <i>source, add</i> <i>monetary value to</i> <i>get total.</i>	Otherwise skip to q.3,    If NO, skip to question 3.	Amount:    Currency if NOT Ksh:    Other:	اــــــا If NO, skip to q.5	Amount:    Currency if NOT Ksh:    Other:	 If NO, skip to next section.	 

# **SECTION 15.1. Farming and Agriculture**

**Read:** We would now like to discuss the agricultural or pastoral activities that you and other members of your household perform.

1. Do members of your household perform any agricultural or pastoralist activities for yourselves? <i>Fish ponds count. However, la fishing are either a business (Sec. 15.2) or a job (Sec. 15.3).</i> (1=Yes, 2=No)	ke and river
1a. Have members of your household performed any agricultural or pastoralist activities for yourselves in the last 12 months	?
(1=Yes, 2=No)    If YES, proceed to question 2. If NO, skip to Section 15.2.	

Recall that FRs in boarding school count as a 1-person household. Live-in house help and live-in guards count as 1-person households unless they have a spouse or dependents living with them (in which case those individuals should also be included).

2. What are the agricultural or pastoralist activities that members of your household have performed in the last 12 months? List <u>up to 4</u> activities. Start with agriculture if the household performs it. Otherwise, start with the activity that the FR considers to be most important. "Tree planting" counts as agriculture (ignore trees that are planted solely for shade).

(1=Agriculture, 2=Livestock farming, 3=Poultry, 4=Fish pond, 5=Other)

|\_\_\_|, |\_\_\_|, |\_\_\_| Other: \_\_\_\_\_

#### Fill in the table by proceeding across for question 3, then down each column.

Questions	Codes	A: Current Activity #1	B: Current Activity #2
2a. RECORD THE ACTIVITIES INTO THESE TWO CATEGORIES AS RECORDED IN Q2 ABOVE.	1=Agriculture 2= Non-Crop Farming Agricultural Activity		
If NON-CROP FARMING AGRICULTURAL ACTIVITY, skip to question 14. OTHERWISE, continue.	e.g livestock, poultry, fish pond e.t.c	<u>1.</u>	<u> 2.</u>
<ol> <li>What is the total size of the land you used for this activity in the last 12 months?</li> <li>If AGRICULTURE, continue. OTHERWISE, skip to question 14.</li> </ol>	1 Hectare ≈ 2.5 Acres (9999=DK)	.   acres	
<ul> <li>3a. Does anyone in your household or your family own or rent the land on which you farm? List all that apply</li> <li>If YES-OWN, continue. If YES-RENT but not YES-OWN, skip to q.3d. OTHERWISE, skip to q.4.</li> </ul>	1=Yes, own, 2=Yes, rent, 3=Other(spec), 4=No, 99=DK	,   ,    	

		A: Current	B: Current
Questions	Codes	Activity #1	Activity #2
3b. Does anyone in your household or your family have the title for this land?	1=Yes, 2=No, 99=DK		
<ul> <li>3c. If you were to rent this land out, what would you charge per month in total to rent out this land?</li> <li>If YES-RENT for q.3a. continue. OTHERWISE, skip to q.4.</li> </ul>	9999=DK For currency, use G12 codes.	Amount:    Currency if NOT Ksh:    Other:	
3d. Think about all of the land that you have rented over the last 12 months to use for agriculture. What did you agree to pay in total for this land, each month that you rented it? <i>Note we want the monthly rental rate.</i>	9999=DK For currency, use G12 codes.	Amount:    Currency if NOT Ksh:    Other:	
3e. And how much did you pay in the last 30 days for the land that you were renting?	9999=DK For currency, use G12 codes.	Amount:    Currency if NOT Ksh:    Other:	
4. What crops have you grown in the last 12 months? <i>List the ten most important crops if there are more than 10 total. Include both fruit bearing and non-fruit bearing trees as long as they are not planted solely for shade purposes.</i>	Use F1 codes, 99=DK	             Other:	
4b. Do NOT ask the following question: Did the FR grow Maize crop in the last 12 months? Recall that this information has been collected in Question 4 of this section. If NO, skip to question 13a. If YES, continue.	(1=Yes, 2=No)		
<b>Read:</b> Now I want to ask you more specifically about the maize that you grow. 6. During the last 12 months, how much Maize did you produce? If 0, skip to question 9. OTHERWISE, continue.	(9999=DK)	AMT    UNIT <b>use F7 codes</b> 	

Questions	Codes	A: Current Activity #1	B: Current Activity #2
<ul><li>7. Did you sell any of the output from this crop in the last 12 months?</li><li><i>If YES, cont. ELSE, skip to q9.</i></li></ul>	(1=Yes, 2=No, 99=DK)		
8. During the last 12 months, how much did you sell?	(9999=DK) For currency, use G12 codes.	(i) Amount of Maize crop    UNIT use F7 codes    (ii) Monetary value of Maize crops sold   Currency if NOT Ksh:    Other:	
10. How much land (in acres) did you devote to farming Maize in the past 12 months?	(9999=DK) <b>1 Hectare ≈ 2.5 Acres</b>	.   acres	
11. In the past 12 months, did you plant any other crops on the land devoted to farming Maize? <i>(ie, crop rotation or intercropping)</i>	(1=Yes, 2=No, 99=DK)		
<ul><li><i>Read:</i> Now I would like to talk to you about all of your crops.</li><li>9. Have you experienced any destruction, or theft or vandalism of your crops in the past 12 months?</li></ul>	(1=Yes, 2=No, 99=DK)		

		A: Current	B: Current
Questions	Codes	Activity #1	Activity #2
<ul> <li>13a. In the last 12 months did you use any of the following for your crops: <ul> <li>i. Fertilizer?</li> <li>ii. Improved / hybrid seeds?</li> <li>iii. Formal agricultural insurance?</li> <li>iv. Any pesticides/herbicides/weed killer?</li> <li>v. A tractor?</li> <li>vi. A water tower for agricultural use?</li> <li>vii. Irrigation?</li> </ul> </li> <li>If YES to IRRIGATION, continue. Otherwise, skip to q.14.</li> </ul>	(1=Yes, 2=No, 9=DK)	i.    ii.    iii.    iv.    v.    vi.    vii.	
13b. What type of irrigation do you use? <i>List all that apply.</i>	(1=trenches, 2=drip irrigation, 3=watering can, 4=jerrycans/buckets, 5=sprinklers, 6=Flooding, 7=other (specify), 99=DK)	/  /   /  /   Other:	
14. Did you receive any instruction from an agricultural extension worker for this activity during the last 12 months?	1=Yes, 2= No, 9=DK		
15. Are you the main decision-maker for this activity? <i>If NO, continue. If YES or DK, go to q.16.</i>	1=Yes, 2=No, 99=DK	II	II
15a. What is your relationship to the main decision-maker? <b>Ex: If DM</b> is FR's uncle, enter uncle, not nephew.	Use G4 codes	// Other:	// Other:
<ul><li>16. Did you sell any of the output from this activity in the last 12 months?</li><li>If YES, continue. If NO or DK, go to q.17.</li></ul>	1=Yes, 2=No, 99=DK	I	II

Questions	Codes	A: Current Activity #1	B: Current Activity #2
16a. How much did you receive in total in sales from this activity during the last <u>12 months</u> ? If agriculture, include all crops, fruits and vegetables sold. If ZERO, skip to q17.	9999=DK For currency, use G12 codes.	Amount:    Currency if NOT Ksh:    Other:	Amount:    Currency if NOT Ksh:    Other:
16b. How much did you receive in total in sales from this activity during the last <u>30 days</u> ? <i>If agriculture, include all crops, fruits and vegetables sold.</i>	9999=DK For currency, use G12 codes.	Amount:    Currency if NOT Ksh:    Other:	Amount:    Currency if NOT Ksh:    Other:
<ul> <li>17. Now think about everything that you produced in this activity in the last 12 months. What is the total value of everything you produced in this activity in the last 12 months, whether you sold it, gave it away, stored it, or consumed it yourself? Only if FR asks: For sold goods, use sale price. For other production, use value at harvest time. For livestock, use current value and include products e.g offspring, milk, eggs e.t.c</li> <li>If ZERO, skip to q18.</li> </ul>	9999=DK For currency, use G12 codes.	Amount:    Currency if NOT Ksh:    Other:	Amount:    Currency if NOT Ksh:    Other:
17a. What about in the last <u>30 days</u> ? What is the total value of everything you produced in this activity in the <u>last 30 days</u> , whether you sold it, gave it away, stored it, or consumed it yourself?	9999=DK For currency, use G12 codes.	Amount:    Currency if NOT Ksh:    Other:	Amount:    Currency if NOT Ksh:    Other:
18. How much did you spend on salaries for workers from outside the household in this business or activity during the last 12 months? <i>If payment is in kind, ask to estimate in shillings.</i>	9999=DK For currency, use G12 codes.	Amount:    Currency if NOT Ksh:    Other:	Amount:    Currency if NOT Ksh:    Other:
19. How much did you spend on tools, machinery and animal medicine <u>for this activity</u> during the last 12 months? For example on plows, machetes, hoes, rental of tractors, etc. <i>If unsure, FR can estimate.</i>	9999=DK; For currency, use G12 codes.	Amount:    Currency if NOT Ksh:    Other:	Amount:    Currency if NOT Ksh:    Other:

Questions	Codes	A: Current Activity #1	B: Current Activity #2
<ul> <li>20. How much net profit did you generate in total from this activity during the last <u>12 months</u>?</li> <li>Here we mean the value of everything you produced, whether or not you sold it, but then subtracting out expenses for this activity, including hired workers, land rental, storage, and purchase of inputs, such as raw materials, fuel, and electricity, but before purchasing personal items for yourself or your household.</li> </ul>	9999=DK For currency, use G12 codes.	Amount:    Currency if NOT Ksh:    Other:	Amount:    Currency if NOT Ksh:    Other:
<ul> <li>21. How much net profit did you generate in total from this activity during the last <u>30 days</u>?</li> <li>Again, we mean the value of everything you produced, whether or not you sold it, but then subtracting out expenses for this activity, including hired workers, land rental, storage, and purchase of inputs, such as raw materials, fuel, and electricity, but before purchasing personal items for yourself or your household.</li> </ul>	9999=DK For currency, use G12 codes.	Amount:    Currency if NOT Ksh:    Other:	Amount:    Currency if NOT Ksh:    Other:
22. Now think about the different type(s) of tasks you engaged in during the last 7 days for this activity, for example planting, weeding, and/or harvesting. Some days you may have worked more hours, and other days you may have worked fewer hours. How many hours did you work in total at this activity in the last 7 days?	999=DK	hours	hours
23. Still keeping in mind that your hours may vary by the day and the type of task you were doing, how many hours did you work in total at this activity in the last 30 days?	999=DK	hours	hours
24. In which months did you work on this activity during the last 12 months? <i>Include all months for which the FR worked during some part of the month. If no months, skip to q25.</i>	1=Yes, 2=No, 99=DK	Jan    Jul    Feb    Aug    Mar    Sep    Apr    Oct    May    Nov    Jun    Dec	Jan    Jul    Feb    Aug    Mar    Sep    Apr    Oct    May    Nov    Jun    Dec
24a. During a typical week in those months, how many hours did you work in this activity?	999=DK	hours	hours
<ul> <li>25. In total, how many members of the household – not including you - worked on this activity over the last 12 months?</li> <li>If ZERO, skip to q26.</li> </ul>	9999=DK		

Questions	Codes	A: Current Activity #1	B: Current Activity #2
25b. Think about the different type(s) of activities your household members engaged in during the last 30 days, for example planting, weeding, and/or harvesting. Some days they may have worked more hours, and other days they may have worked fewer hours. How many hours did members of your household – not including you – work in this activity in the last <u>30 days</u> ?	999=DK	hours	hours
<ul> <li>26. In total, how many workers from outside the household (e.g. casual workers) to work on this activity did you hire during the last 12 months? <i>Count casual workers that worked part-time as well. Count each worker once.</i></li> <li>If ZERO, skip to question 27.</li> </ul>	9999=DK	II	II
26b. Think about the different type(s) of activities workers outside your household engaged in during the last 30 days, for example planting, weeding, and/or harvesting. Some days they may have worked more hours, and other days they may have worked fewer hours. How many hours did workers outside your household work in this activity in the last <u>30 days</u> ?	999=DK	hours	hours
27. Now think of everything that you own that you use for this activity, such as tools, machinery, or irrigation equipment. What is the total resale value of all of these things? Using a sheet of paper, work with the fr to list all things, and list a resale price for each one. Probe carefully to ensure nothing is forgotten. Calculate total resale value.	For currency use G12 codes 9999=DK 8888=NA	Amount:    Currency if NOT Ksh:    Other:	Amount:    Currency if NOT Ksh:    Other:

If ANOTHER ACTIVITY was entered, return to question 3 and fill in the next column. If NOT, proceed to next section.

# SECTION 15.2. Self-Employment: Current and History

*Read:* Now I would like to talk to you about any business you may run.

1. Are you currently self-employed or running a business to earn a living, not including any agricultural business that we have already discussed?

(1=Yes, 2=No) |\_\_\_\_|

#### If YES, continue. If NO, skip to question 36.

*Read:* Now we would like to learn about each of the current businesses you are running or the activities you are performing while self-employed, starting with your current most important self-employment position.

# Ask the FR to think of their current businesses, in either agriculture (that we did not already discuss), or other industries. Fill in the table by proceeding across for question 2, then down each column.

Questions	Codes	A: Current Primary Business	B: Current Business #2	C: Current Business #3
<ol> <li>In what industry is this business? List for <u>all</u> businesses or activities.</li> </ol>	Use F2 codes	<u> </u>		
	0307200003	Other:	Other:	Other:
2a. In what occupation is this business?	<b>Use G9 codes</b> (99=DK)	 Other:	 Other:	ll Other:
3. Are you the main decision-maker for this				
business? If NO, continue. If YES, skip to q4.	1=Yes, 2=No			
3a. What is your relationship to the main decision-maker? <i>Ex: If DM is FR's uncle,</i>	Use G4 codes			
enter uncle, not nephew.		Other:	Other:	Other:
4. Think about this business, the cycle of its activities, and the time you actually took working in your business in the last 7 days. How many hours did you work in total in this business / activity in the last <u>7 days</u> ?	999=DK	hours	hours	hours
5. Still keeping in mind this business, the cycle of its activities, and the time you actually took working in it, how many hours did you work in total in this business / activity in the last <u>30 days</u> ?	999=DK	hours	hours	hours

Questions	Codes	A: Current Primary Business	B: Current Business #2	C: Current Business #3
6. In which months did you work at this business during the last 12 months? <i>Include all months for</i> <i>which the FR worked during some part of the</i> <i>month.</i> <i>If NONE selected, skip to q7.</i>	1=Yes, 2=No	Jan    Jul    Feb    Aug    Mar    Sep    Apr    Oct    May    Nov    Jun    Dec	Jan    Jul    Feb    Aug    Mar    Sep    Apr    Oct    May    Nov    Jun    Dec	Jan    Jul    Feb    Aug    Mar    Sep    Apr    Oct    May    Nov    Jun    Dec
6a. During a typical week in those months, how many hours did you work in this business?	999=DK	hours	hours	hours
<ul> <li>7. How many workers have you employed in this business in the last 30 days that are members of your household?</li> <li>If ZERO, skip to q10.</li> </ul>	9999=DK			
9. Think about this business, the cycle of its activities, and the time other household members actually took working in your business in the last 30 days. How many hours did other household members – not including you - work in total in this business / activity in the last <u>30 days</u> ?	999=DK	hours	hours	hours
<ul> <li>11. How many individuals from outside of the household have you employed in this business in the last 30 days?</li> <li>If ZERO, skip to q14.</li> </ul>	9999=DK			
13. Think about this business, the cycle of its activities, and the time people from outside of the household actually took working in your business in the last 30 days. How many hours did workers outside your household work in this business/activity in the last 30 days?	999=DK	hours	hours	hours

Questions	Codes	A: Current Primary Business	B: Current Business #2	C: Current Business #3
<ul> <li>If you employed zero workers from outside the household in the last 30 days (if zero for q11), skip to q15a.</li> <li>15 In the last 30 days, what was the total amount of salary or wages paid to all workers from outside the household for this business?</li> <li>If you employed zero workers that are household members in the last 30 days (if zero for q7), skip to q16.</li> </ul>	For currency use G12	Amount:	Amount:	Amount:
	codes	Currency if NOT Ksh:	Currency if NOT Ksh:	Currency if NOT Ksh:
	9999=DK	Other:	Other:	Other:
15a. In the last 30 days, what was the total amount of salary or wages paid to all workers that were <u>household members?</u> <u>Please only include explicit wage payments, not the</u> <u>total share of profits.</u>	For currency use G12 codes 9999=DK	Amount:    Currency if NOT Ksh:    Other:	Amount:    Currency if NOT Ksh:    Other:	Amount:    Currency if NOT Ksh:    Other:
16. Do you own the premises of your business? If NO, cont. If YES, go to q. 16c. If MY BUSINESS HAS NO PREMISES, go to question 16e.	1=Yes, 2=No, 3=My business has no premises (ancestral home=1; for fisherman, do they own their boat?)			
16a. How much did you agree to pay for rent per month?	For currency use G12	Amount:	Amount:	Amount:
	codes	Currency if NOT Ksh:	Currency if NOT Ksh:	Currency if NOT Ksh:
	9999=DK	Other:	Other:	Other:
<ul><li>16b. How much did you actually pay in rent in the last 30 days?</li><li>Skip to question 16e.</li></ul>	For currency use G12	Amount:	Amount:	Amount:
	codes	Currency if NOT Ksh:	Currency if NOT Ksh:	Currency if NOT Ksh:
	9999=DK	Other:	Other:	Other:
16c. If you were to rent out these premises,	For currency use G12	Amount:	Amount:	Amount:
how much would you charge in rent each	codes	Currency if NOT Ksh:	Currency if NOT Ksh:	Currency if NOT Ksh:
month?	9999=DK	Other:	Other:	Other:
16d. What is the resale value of the premises of your business? <i>Include the structure and</i> <i>the land on which it rests, but not the</i> <i>contents like inventory, machinery, or</i> <i>tools.</i>	For currency use G12 codes 9999=DK	Amount:    Currency if NOT Ksh:    Other:	Amount:    Currency if NOT Ksh:    Other:	Amount:    Currency if NOT Ksh:    Other:

		A: Current Primary	B: Current	C: Current
Questions	Codes	Business	Business #2	Business #3
16e. Please describe the premises / location in	1 =Part of own / family			
which you do your business.	house	1 1		
If code is "6" (Space on side of street) skip	2=Kiosk	11	11	II
to q.17. Otherwise, continue.	3=Stall in market	Other:	Other:	Other:
	4=Concrete shop			•
	5=Part of someone			
	else's shop			
	6=Space on side of			
	street			
	7=Informal work area			
	20=Other (specify)			
16f. Is this a permanent, non-movable	1=Yes, 2=No, 99=DK			
structure?				·i
17. What is the total resale value of all furniture and				
fixtures in your business? Here I mean things like	For currency use G12			Amountul
work benches, tables, drawers, and chairs. <b>Using a</b>	codes	Amount:	Amount:	Amount:
sheet of paper, work with the FR to list all	9999=DK	Currency if NOT Ksh:	Currency if NOT Ksh:	Currency if NOT Ksh:
furniture and fixtures, and list a resale price for each one. Probe carefully to ensure nothing is	8888=NA	Other:	Other:	Other:
forgotten. Calculate total resale value.				
18. What is the total resale value of all machinery				
and equipment in your business? Here I mean large				
items other than hand tools, things like sewing				
machines, interlock machines for a dressmaker,				
welding machines for a welder, drilling machines for	For currency use G12	Amount:	Amount:	Amount:
a carpenter, standing hair dryers for a hairstylist,	codes	Currency if NOT Ksh:	Currency if NOT Ksh:	Currency if NOT Ksh:
and mixing machines for a mason, as well as any	9999=DK	[] Other:	[] Other:	Other:
other large piece of equipment used in the	8888=NA			
business. <i>Again, use separate worksheet to list</i>				
all machinery and equipment, and sum resale				
value.				

Questions	Codes	A: Current Primary Business	B: Current Business #2	C: Current Business #3
19. What is the total resale value of all tools in your business? Here I mean things like gloves, aprons, hammers, scissors, shovels, tape measures, combs, pencils, chalk, safety gear, handheld hair dryers, screwdrivers, and any other handheld tool used in the business. <i>Again, use separate</i> <i>worksheet to list all tools, and sum resale value.</i>	For currency use G12 codes 9999=DK 8888=N/A	Amount:    Currency if NOT Ksh:    Other:	Amount:    Currency if NOT Ksh:    Other:	Amount:    Currency if NOT Ksh:    Other:
20. Do you rent or hire out any of the furniture, machinery and equipment, or tools we just mentioned, in your business? <i>If NO or DK, skip to q21.</i>	1=Yes, 2=No, 99=DK			
20a. How much do you receive for the rental of these items, per month?	For currency use G12 codes 9999=DK 8888=N/A 7777=Does not want to respond	Amount:    Currency if NOT Ksh:    Other:	Amount:    Currency if NOT Ksh:    Other:	Amount:    Currency if NOT Ksh:    Other:
21. What is the total resale value of inventory in your business? Here I mean (1) things that are for sale, (2) things you are in the process of making to sell, and (3) raw materials that you will use in the future to make things to sell. <i>Ask the FR to estimate the amount of their inventory if they are unsure.</i>	For currency use G12 codes 9999=DK 8888=N/A 7777=Does not want to respond	Amount:    Currency if NOT Ksh:    Other:	Amount:    Currency if NOT Ksh:    Other:	Amount:    Currency if NOT Ksh:    Other:
22. What is the resale value of any other items in your business that we have not already accounted for? <i>Again, use separate worksheet to list any other items, and sum resale value.</i>	For currency use G12 codes 9999=DK 8888=NA	Amount:    Currency if NOT Ksh:    Other:	Amount:    Currency if NOT Ksh:    Other:	Amount:    Currency if NOT Ksh:    Other:

Questions	Codes	A: Current Primary	B: Current	C: Current
Questions	Codes	Business	Business #2	Business #3
23. In the last 30 days, how much did you spend, in this business, on:				
a. NSSF / Health insurance?		a. Ksh	a. Ksh	a. Ksh
b. Electricity and water? (from all sources)	9999 = DK	a. Kon []	a. Kon []	a. Ksii []
c. Insurance? (exclude health insurance	For currency use G12	b. Ksh	b. Ksh	b. Ksh
since that is already captured in part a).	codes	b. Kon []	D. Roll	5. Kan
d. Interest payments?	codes	c. Ksh	c. Ksh	c. Ksh
e. Purchases of goods for resale?		0. 1(311  ]	0. 1(311  ]	
f. Purchases of inputs ( <i>i.e., raw materials</i> )?		d. Ksh	d. Ksh	d. Ksh
g. Repairs / maintenance, or rental of				
equipment?	9999 = DK	e. Ksh	e. Ksh	e. Ksh
h. Licenses and taxes?	For currency use G12		••••••	•••••••
i. Additional payments to government	codes	f. Ksh	f. Ksh	f. Ksh
officials, police or other authorities in order		·	II	11
to do business? ( <i>i.e., bribes - but don't</i>		g. Ksh	g. Ksh	g. Ksh
use that word)				°
j. Security? (monthly costs only, like		h. Ksh	h. Ksh	h. Ksh
guards, maintaining fences, etc)				
k. Any new investments or expansions to your		i. Ksh	i. Ksh	i. Ksh
business, e.g purchase of new equipment,				
improving business infrastructure, moving		j. Ksh	j. Ksh	j. Ksh
biz locations, new business activity etc.				
I. Other operating costs, excluding salaries,		k. Ksh	k. Ksh	k. Ksh
wages, and rent? (i.e. advertising,				
transportation) For matatu owners and				
pikipiki drivers, prompt for SACCO fees				
that they do not expect to receive back.		i. Ksh	i. Ksh	i. Ksh
		Currency if NOT Ksh:	Currency if NOT Ksh:	Currency if NOT Ksh:
		Other:	Other:	Other:

Questions	Codes	A: Current Primary Business	B: Current Business #2	C: Current Business #3
<ul> <li>24. Now let's talk about your earnings. What were your total earnings (money in only – do not subtract any expenses) from this business in the:</li> <li>24a. Last 30 days?</li> <li>24b. Last 12 months?</li> <li>Here we mean the amount you received from this business for sales &amp; services provided before subtracting any expenses for payment of workers, or purchase of inputs, personal, or family items.</li> <li>If unsure, FR can estimate.</li> </ul>	For currency use G12 codes 9999=DK	a. Amount:    Currency if NOT Ksh:    Other: b.Amount:    Currency if NOT Ksh:    Other:	a. Amount:            Currency if NOT         Ksh:            Other:         b. Amount:            Currency if NOT         Ksh:            Other:	a. Amount:    Currency if NOT Ksh:    Other: b. Amount:    Currency if NOT Ksh:    Other:
<ul> <li>25. What was your total profit from this business in the:</li> <li>25a. Last 30 days?</li> <li>25b. Last 12 months?</li> <li>Here we mean the amount you received after paying for expenses for this business, including hired workers, money for household members who helped, rental of space, purchase of goods for sale or for inputs, such as raw materials, fuel, and electricity, but before purchasing personal items for yourself or your household. <i>If unsure, FR can estimate.</i></li> </ul>	For currency use G12 codes 9999=DK	a. Amount:    Currency if NOT Ksh:    Other: b.Amount:    Currency if NOT Ksh:    Other:	a. Amount:    Currency if NOT Ksh:    Other: b.Amount:    Currency if NOT Ksh:    Other:	a. Amount:    Currency if NOT Ksh:    Other: b.Amount:    Currency if NOT Ksh:    Other:
26. To whom do you primarily sell your products/services? By this I mean, who are your main customers? <i>Read options 1-6 aloud. Do</i> <i>not read "none" or "DK".</i>	1=Individuals, 2=Small Businesses, 3=Large Established Businesses, 4=Institutions, 5=Government, 6=Other (specify), 88=None, 99=DK	 Other:	 Other:	 Other:

Questions	Codes	A: Current Primary Business	B: Current Business #2	C: Current Business #3
<b>Read:</b> I'd like to remind you that the data we collect here will remain as confidential as possible, and will not be reported to the authorities. 27. Is the business licensed with the national government?	1=Yes, 2=No, 99=DK			
27a. Is the business licensed with the county government? If YES, skip to q.28. ELSE, continue.	1=Yes, 2=No, 99=DK			II
27b. Is the business recognized by the county government?	1=Yes, 2=No, 99=DK			
28. In what month and year did you start, purchase, or gain control of this business? <i>If unsure, FR can estimate.</i>	(MM/YYYY) 99=DK Month 9999=DK Year	/	/	/
29. How much did you have to invest in order to start, purchase or gain control of this business? Include all monetary assistance that others contributed to allow FR to start this business. If ZERO, skip to q.30.	0 = Business was inherited or transferred for free (gift) For currency use G12 codes 9999=DK	Amount:    Currency if NOT Ksh:    Other:	Amount:    Currency if NOT Ksh:    Other:	Amount:    Currency if NOT Ksh:    Other:
29a. How did you obtain the resources you initially invested in this business? <i>List the main source.</i>	<ul> <li>1= Own savings</li> <li>2= Loan from relatives</li> <li>3= Gift from relatives</li> <li>4= Loan from friends</li> <li>5= Gift from friends</li> <li>6= Bank Ioan</li> <li>7= Merry-go-round</li> <li>8= SACCO</li> <li>9= IPA Grant</li> <li>10= Other (specify)</li> </ul>	a   (1,2, 3, etc) Other: <i>If "2", "3", "4" or</i> <i>"5", specify using</i> <i>G4 codes:</i> b   Other:	a   (1,2, 3, etc) Other: <i>If "2", "3", "4" or</i> <i>"5", specify using</i> <i>G4 codes:</i> b   Other:	a   (1,2, 3, etc) Other: <i>If "2", "3", "4" or</i> <i>"5", specify using</i> <i>G4 codes:</i> b   Other:
<ol> <li>Have you experienced any vandalism or theft from your business in the last 12 months?</li> <li>If NO or DK, skip to q.31. Otherwise, continue.</li> </ol>	1=Yes, 2=No, 99=DK	I		

Questions	Codes	A: Current Primary Business	B: Current Business #2	C: Current Business #3
30a. Please describe this vandalism or theft. What happened? What was lost or damaged?				
30b. What was the value of the lost or damaged items?	Ask for Ksh. <b>For currency, use</b> G12 codes. 9999=DK	Amount:    Currency if NOT Ksh 	Amount:    Currency if NOT Ksh 	Amount:    Currency if NOT Ksh 
<ul><li>31. Do you have a bank account for use by your business?</li><li>If YES, continue. Otherwise, skip to q.32.</li></ul>	1=Yes 2=No, I closed it 3=No, I have never had one, 99=DK			II
31a. Do you use this account for personal transactions as well?	1=Yes, 2=No, 99=DK			
32. Do you have an M-Pesa (or other similar service) account for use by your business? If NO or DK, skip to q.33. Otherwise, continue.	1=Yes, 2=No, 99=DK			II
32a. Do you use this account for personal transactions as well?	1=Yes, 2=No, 99=DK	II		
33. Do you currently have an electricity connection at your shop or work site?	1=Yes, 2=No, 3=Don't have work/shop site, 99=DK			
34. Do you currently have a generator at your shop or work site?	1= Yes, 2=No, 3=Don't have work/shop site, 99=DK			
35. Do you keep written business records?	1=Yes, 2=No, 99=DK			

If there is ANOTHER BUSINESS OR ACTIVITY, return to question 2 and fill in the next column. If NOT, skip to question 36.

36. What is the YEAR OF LATEST PANEL INFO for this respondent?	This information can be found in the OTHER INFO section of
the TRACKING SHEET.	(YYYY)   _

36a. Since January [YEAR OF LATEST PANEL INFO], have you ever closed, sold or transferred control of a business? (1=Yes, 2=No)

If NO, skip to question 48. If YES, continue.

*Read:* We would like to learn about the history of businesses you have closed, sold or transferred control of since January [YEAR OF LATEST PANEL INFO]. *Fill in the table by proceeding across for question 37, then down each column.* 

Questions	Codes	A: Former Business #1	B: Former Business #2	C: Former Business #3	
37. In what year did you most recently close, sell or transfer control of a business? <i>List</i> <i>relevant year for <u>each</u> <i>business closed, sold or</i> <i>transferred.</i></i>	(YYYY) 9999=DK				
38. Did you close, sell or transfer control of the business? <i>If SOLD, continue. If TRANSFERR- ED, skip to q.38b. If CLOSED, skip</i> <i>to q.39.</i>	1 = Close 2 = Sell 3 = Transfer control (including transfer control for free)		II		
38a. For what amount did you sell the business?	For currency, use G12 codes. 9999=DK	Amount:    Currency if NOT Ksh:    Other:	Amount:    Currency if NOT Ksh:    Other:	Amount:    Currency if NOT Ksh:    Other:	
38b. To whom did you transfer or sell the business?	Use G4 codes 99=DK	0ther:	Other:	Other:	
39. Why did you choose to close, sell or transfer control of your business? <i>List up to 3.</i>	<b>Use F3 codes</b> 99=DK	//// Other:	 Other:	 Other:	
40. In what industry was this business?	<b>Use F2 codes</b> 9999=DK	Other:	Other:	Other:	
40a. In what occupation is this business?	<b>Use G9 codes</b> (99=DK)	0ther:	 Other:	Other:	
41. What were your total earnings (money in only – do not subtract expenses) from this business in the typical month that you had it?	For currency, use G12 codes. 9999=DK	Amount:    Currency if NOT Ksh:    Other:	Amount:    Currency if NOT Ksh:    Other:	Amount:    Currency if NOT Ksh:    Other:	
42. What were your total costs (including wages to workers, rent, and all other costs) associated with this business in the typical month that you had it?	For currency, use G12 codes. 9999=DK	Amount:    Currency if NOT Ksh:    Other:	Amount:    Currency if NOT Ksh:    Other:	Amount:    Currency if NOT Ksh:    Other:	

			-	
43. In the typical month you had this business, what was the total profit, in shillings? Here we mean the amount you received after paying for expenses for this business, including hired workers, money for household members who helped, rental of space, purchase of goods for sale or for inputs, such as raw materials, fuel, and electricity, but before purchasing personal items for yourself or your household. <i>If</i> <i>unsure, FR can estimate.</i>	For currency, use G12 codes. 9999=DK	Amount:    Currency if NOT Ksh:    Other:	Amount:    Currency if NOT Ksh:    Other:	Amount:    Currency if NOT Ksh:    Other:
44. In the typical month, how many employees did you have in the business at any one time?	999=DK			
45. In a typical week, how many hours did you work in this business?	999=DK			
46. In the last 30 days that you owned this business, how many hours did you work in that month?	999=DK			
47. In what month and year did you start, purchase or gain control of this business?	(MM/YYYY) 99=DK Month 9999=DK Year	_ _/ _ _ _	_ _/ _ _ _	_ _ <i> </i>  / _ _ _

If ANOTHER CLOSED / SOLD / TRANSFERRED BUSINESS entered, return to question 37and fill in next column. If NOT, continue.

48. Is this respondent currently running a small business? Note this is the response to question 1 in this section.

(1=Yes, 2=No) |\_\_\_

If YES, skip to SECTION 15.3. If NO, continue.

49. Would you want to start your own business in the next five years?

(1=Yes, 2=No, 99=DK) |\_\_\_\_|

## **SECTION 15.3. Employment: Current and History**

1. Are you currently employed, working for pay? (1=Yes, 2=No)	
If YES, skip to the statement before question 2. If NO, continue.	

- 1a. Are you currently working as a volunteer, intern or an attachment, with either no pay or only occasional pay? (1=Yes,2=No) [\_\_\_\_\_] *This includes working voluntarily for a relative who is not a member of the FR's household.*
- If YES, continue. If NO, skip to question 17.

**Read:** Now we would like to learn about what jobs you currently hold, including both paid and unpaid jobs (and internships and attachments), starting with your most important position. Please do not include self-employment positions in your answer. If the FR received assistance in form of a Job in Sec 13. Q5a, remember to include it here.

Ask FR to think of all their current jobs. Fill in the table by proceeding across for question 2, then down each column.

Questions	Codes	A: Current primary/ most important position	B: Current Position #2	C: Current Position #3
<ol> <li>In what month and year did you start this job? List <u>up to 3</u> of the FR's current jobs. Ask FR to estimate if unsure.</li> </ol>	(MM/YYYY)	_ _ / _ _ _	_ _//_ _ _	/
3. In what occupation is this work?	<b>Use G9 codes</b> (99=DK)	Other:	Other:	Other:
4. In what industry is this work?	<b>Use F2 codes</b> (9999=DK)	 Other:	 Other:	 Other:
5. In this position, what is your employment status? <i>If had</i> <i>different statuses at different</i> <i>points in time, use most recent.</i>	<b>Use F6 codes</b> (99=DK)	 Other:	 Other:	 Other:
<ol> <li>6. This position's working patterns could be best described as:</li> <li><i>If SEASONAL, continue.</i></li> <li><i>OTHERWISE, skip to question 7.</i></li> </ol>	1= Full time 2= Part time 3= Seasonal 4= Other ( <b>specify)</b> (99= DK)	 Other:	 Other:	 Other:

Questions	Codes	A: Current primary/ most important position	B: Current Position #2	C: Current Position #3
6a. In which months did you work during the last 12 months? Include all months for which the FR worked during some part of the month.	1=Yes, 2=No, 99=DK	Jan    Jul    Feb    Aug    Mar    Sep    Apr    Oct    May    Nov    Jun    Dec	Jan    Jul    Feb    Aug    Mar    Sep    Apr    Oct    May    Nov    Jun    Dec	Jan    Jul    Feb    Aug    Mar    Sep    Apr    Oct    May    Nov    Jun    Dec
6b. During a typical week in those months, how many hours did you work in this job?	(999=DK)	hours	hours	hours
7. Think about the type of tasks you did this week. Some days you may have worked more hours, and some days fewer hours. How many hours did you work at this job in total during the last 7 days?	(999=DK)	hours	hours	hours
7a. How many hours did you work at this job in the last <u>30 days</u> ?	(999=DK)	hours	hours	hours
8. What is the relationship between you and your employer? (direct supervisor if the FR works in government / organization)	Use G4 codes (88=N/A)	 Other <u>:</u> _	 Other <u>:</u>	 Other <u>:</u>
<ol> <li>Which ethnic group does your employer belong to? (direct supervisor if the FR works in government / organization)</li> </ol>	<b>Use G10 codes</b> (88=N/A, 99=DK)	Other: Other:		 Other <u>:</u> _
10. Approximately how many other employees work at this place / business where you work? <b>Do not</b> include FR in this count.	0=no other employees; 1=1-5; 2=6-10; 3=11-20; 4=21-99; 5=100 or more; 99=DK			
11. What is / was the amount of your cash salary for the last 30 days? Ask for pre-tax salary, where applicable.	For currency, use G12 codes. (9999=DK)	Amount:    Currency if NOT Ksh:    Other:	Amount:    Currency if NOT Ksh:    Other:	Amount:    Currency if NOT Ksh:    Other:

Questions	Codes	A: Current primary/ most important position	B: Current Position #2	C: Current Position #3
11a. In the last 30 days, how much was deducted in taxes from your salary or did you otherwise pay?	For currency, use G12 codes. (9999=DK)	Amount:    Currency if NOT Ksh:    Other:	Amount:    Currency if NOT Ksh:    Other:	Amount:    Currency if NOT Ksh:    Other:
<ul> <li>12. During the last 30 days at this job, what was the total value of your: <ul> <li>a. payment in kind in food?</li> <li>b. NSSF / health insurance?</li> <li>c. housing benefits?</li> <li>d. uniforms / clothing benefits?</li> <li>e. training allowance?</li> <li>f. other allowances and benefits?</li> </ul> </li> <li>FR can estimate if unsure.</li> </ul>	For currency, use G12 codes (9999=DK)	a. Ksh    b. Ksh    c. Ksh    d. Ksh    e. Ksh    f. Ksh    Currency if NOT Ksh:	a. Ksh    b. Ksh    c. Ksh    d. Ksh    e. Ksh    f. Ksh    Currency if NOT Ksh:    Other:	a. Ksh    b. Ksh    c. Ksh    d. Ksh    e. Ksh    f. Ksh    Currency if NOT Ksh:    Other:
13 For how many weeks were you actively looking for work before you were hired for this job? <i>If FR was</i> <i>hired immediately, or did not</i> <i>seek <u>actively</u>, put 0 weeks.</i>	111= Looking <u>actively</u> for more than one year, 999= DK	weeks	weeks	weeks
14. In this job, are you a member of a labor union?	1=Yes, 2=No, 99=DK	II	II	
15. In this job, have you ever particip- ated in any strikes or lock-outs?	1=Yes, 2=No, 99=DK			
16. On average, how many minutes does it take you to get to this job from where you normally stay? <i>Each way, not round-trip.</i>	999=DK 0=stays at place of work (i.e., house help) 888=NA (i.e. casual laborer)	minutes	minutes	minutes

If ANOTHER JOB was entered, return to question 2 and fill in the next column. If not, proceed to question 17.

Questions	Codes	Answers
17. Are you currently looking for a job, or another job? <b>This includes those</b> who are working but who are trying to find additional work.	1=Yes, 2=No	
If YES, skip to question 19. If NO, continue.		

18. Why not? Skip to question 21a.	Use F8 codes. 99=DK	Other:
<ol> <li>During the last 7 days, how many hours did you spend actively searching for jobs, applying for jobs, or in interviews?</li> <li>If ZERO, skip to question 21a. OTHERWISE, continue.</li> </ol>	999=DK	hours
20. During the last 7 days, what did you do to try to get a job, or another job? List up to 4 items.	<b>Use F4 codes.</b> 98=Nothing.	/  /   Other: <i>If friend / relative, specify</i> <i>using G4 codes:</i>    Other:

# 21a. What is the YEAR OF LATEST PANEL INFO for this respondent? This information can be found in the OTHER INFO section of the TRACKING SHEET.

21b. Since January [YEAR OF LATEST PANEL INFO], have you held any other positions, working for someone else?

(1=Yes, 2=No) |\_\_\_\_|

#### If YES, go to the statement before question 22. If NO, continue.

21c. Since January **[YEAR OF LATEST PANEL INFO]**, have you held any other work as a volunteer, intern or attachment for someone else, with either no pay or only occasional pay? *This includes working voluntarily for a relative who is <u>not a member of the</u> <i>FR's household.* (1=Yes, 2=No) |\_\_\_\_|

### If YES, continue. If NO, skip to Section 15.4.

*Read:* Now we would like to learn about the history of jobs you have held since January **[YEAR OF LATEST PANEL INFO]**, including both paid and unpaid jobs. Other than any jobs you have already described, please describe the *next* most recent job you have held.

Questions	Codes	Former Job #1	Former Job #2	Former Job #3	Former Job #4
22. In what occupation was this work? <i>List the occupations of</i>	Use G9 codes (99=DK)				
<u>up to 4</u> former jobs the FR has had.		Other:	Other:	Other:	Other:
23. In what industry was this work?	Use F2 codes (9999=DK)	 Other:	 Other:	 Other:	 Other:
24. In what month and year did you start this job?	(MM/YYYY) Ask FR to estimate if unsure. 99=DK mth,	/	/		/
25. In what month and year did you leave this job?	9999=DK yr. (MM/YYYY) Ask FR to estimate if unsure. 99=DK mth,	/	/		/
26. In this position, what was your employment status?	9999=DK yr. <b>Use F6 codes.</b> 99=DK	 Other:	 Other:	 Other:	 Other:
27. This position's working pattern could be best described as:	1 = Full time 2 = Part time 3 = Seasonal 4 = Other (specify)	 Other:	 Other:	 Other:	 Other:
28. How many hours did you work at this job during the last 7 days that you were employed there?	Refer to last work-week, not last 7 work days. 999=DK	hours	hours	hours	hours
28a. How many hours did you work at this job during the last <u>30 days</u> <u>that</u> you were employed there?	999=DK	hours	hours	hours	hours

Fill in the table by proceeding across for question 22, then down each column.

Questions	Codes	Former Job #1	Former Job #2	Former Job #3	Former Job #4
29. What was the amount					
of your <u>cash salary</u>		Amount:	Amount:	Amount:	Amount:
for the last 30 days	For currency, use				
that you worked at	G12 codes	Currency if NOT	Currency if NOT	Currency if NOT	Currency if NOT
this job? Ask for	(9999=DK)	Ksh:	Ksh:	Ksh:	Ksh:
pre-tax salary,		Other:	Other:	Other:	Other:
where applicable.					
30. What was the total					
value of the benefits					
and payment in kind					
you received during					
the last 30 days that					
you worked at this		Amount:	Amount:	Amount:	Amount:
job?	(9999=DK)	o vijot	a vnot	o vijot	o vuot
Do not include cash	For currency, use	Currency if NOT	Currency if NOT	Currency if NOT	Currency if NOT
salary. Read the	G12 codes	Ksh:	Ksh:	Ksh:	Ksh:
following prompts:		Other:	Other:	Other:	Other:
Like food? Or NSSF / health insurance? Or					
housing? Or uniforms / clothing? Or training? Or					
any other benefit?					
31. Why did you leave	Use F5 codes,				
this work?	99=DK	Other:	Other:	Other:	Other:I

If ANOTHER PREVIOUS JOB was entered, return to question 23 and fill in the next column.

## SECTION 15.4. Household Member Income

**Read:** We would now like to talk to you about the income of other members of your household. Thank you for your patience, we are nearly at the end of our survey.

### Please ask the following questions for all adults aged 18 and over on the household roster.

Read: Earlier, we talked about [NAMES OF ADULTS IN HH ROSTER]

	1. Was <b>[NAME]</b> self-employed or running a business in the last <u>30 days</u> ? Please do not include any businesses already discussed in Section 15.2. <i>If YES, continue.</i> <i>Otherwise, skip</i> <i>to q2.</i>	<ul> <li>1a. What was [NAME]'s self-employment profits in the last 30 days?</li> <li>As in the previous section, we mean the amount received after paying for expenses for this business, including hired workers, money for household members who helped, rental of space, purchase of goods for sale or for inputs, such as raw materials, fuel, and electricity, but before purchasing personal items. <i>If unsure, FR can estimate.</i></li> </ul>		2. Was <b>[NAME]</b> employed or working for pay in the last <u>30 days</u> ? Please do not include self- employment. Also, please <u>do not</u> include employment in your own <u>businesses</u> that we've discussed, <u>but do include</u> employment in the <u>businesses of other</u> <u>household</u> members. <i>If YES, continue.</i> <i>Otherwise, skip to</i> <i>the next row.</i>	<ul> <li>2a. What was [NAME]'s wage employment compensation in the last 30 days?</li> <li>This includes both cash salary and the total value of all benefits and payment in kind (e.g. food, NSSF/ health insurance, housing, uniforms/ clothing, training, etc) received in the last <u>30 days</u> worked at this job.</li> <li><i>If this employment is in the business of a hh member:</i> READ: If you are working for a household member, only include direct job compensation, but not food and housing that you share in as a household.</li> <li>Ask for pre-tax compensation, where applicable. <i>If unsure, FR can estimate.</i></li> </ul>	
	(1=Yes, 2=No, 99=DK)	AMT (9999=DK)	CURRENCY IF NOT KSH <b>G12 codes</b>	(1=Yes, 2=No, 99=DK)	AMT (9999=DK)	CURRENCY IF NOT KSH <b>G12 codes</b>
A1			 Other:			 Other:
A2			 Other:			 Other:
A3			 Other:			 Other:

	1. Was <b>[NAME]</b> self-employed or running a business in the last <u>30 days</u> ? Please do not include any businesses already discussed in Section 15.2. <i>If YES, continue.</i> <i>Otherwise, skip</i> <i>to q2.</i>	<ul> <li>1a. What was [NAME]'s self-employment profits in the last 30 days?</li> <li>As in the previous section, we mean the amount received after paying for expenses for this business, including hired workers, money for household members who helped, rental of space, purchase of goods for sale or for inputs, such as raw materials, fuel, and electricity, but before purchasing personal items. <i>If unsure, FR can estimate.</i></li> </ul>		2. Was <b>[NAME]</b> employed or working for pay in the last <u>30 days</u> ? Please do not include self- employment. Also, please <u>do not</u> <u>include</u> employment in <u>your own</u> <u>businesses</u> that we've discussed, <u>but do include</u> employment in the <u>businesses of other</u> <u>household</u> <u>members</u> . <i>If YES, continue.</i> <i>Otherwise, skip to</i> <i>the next row.</i>	<ul> <li>2a. What was [NAME]'s wage employment compensation in the last 30 days?</li> <li>This includes both cash salary and the total value of all benefits and payment in kind (e.g. food, NSSF/ health insurance, housing, uniforms/ clothing, training, etc) received in the last <u>30 days</u> worked at this job.</li> <li><i>If this employment is in the business of a hh member:</i> READ: If you are working for a household member, only include direct job compensation, but not food and housing that you share in as a household.</li> <li>Ask for pre-tax compensation, where applicable. <i>If unsure, FR can estimate.</i></li> </ul>	
	(1=Yes, 2=No, 99=DK)	AMT (9999=DK)	CURRENCY IF NOT KSH <b>G12 codes</b>	(1=Yes, 2=No, 99=DK)	AMT (9999=DK)	CURRENCY IF NOT KSH <b>G12 codes</b>
A4			 Other:			 Other:
A5			 Other:			 Other:
A6			 Other:			 Other:
A7						

86 / 90 FO Comments:

	1. Was <b>[NAME]</b> self-employed or running a business in the last <u>30 days</u> ? Please do not include any businesses already discussed in Section 15.2. <i>If YES, continue.</i> <i>Otherwise, skip</i> <i>to q2.</i>	<ul> <li>1a. What was [NAME]'s self-employment profits in the last 30 days?</li> <li>As in the previous section, we mean the amount received after paying for expenses for this business, including hired workers, money for household members who helped, rental of space, purchase of goods for sale or for inputs, such as raw materials, fuel, and electricity, but before purchasing personal items. <i>If unsure, FR can estimate.</i></li> </ul>		2. Was <b>[NAME]</b> employed or working for pay in the last <u>30 days</u> ? Please do not include self- employment. Also, please <u>do not</u> <u>include</u> employment in <u>your own</u> <u>businesses</u> that we've discussed, <u>but do include</u> employment in the <u>businesses of other</u> <u>household</u> <u>members</u> . <i>If YES, continue.</i> <i>Otherwise, skip to</i> <i>the next row.</i>	<ul> <li>2a. What was [NAME]'s wage employment compensation in the last 30 days?</li> <li>This includes both cash salary and the total value of all benefits and payment in kind (e.g. food, NSSF/ health insurance, housing, uniforms/ clothing, training, etc) received in the last <u>30 days</u> worked at this job.</li> <li><i>If this employment is in the business of a hh member:</i> READ: If you are working for a household member, only include direct job compensation, but not food and housing that you share in as a household.</li> <li>Ask for pre-tax compensation, where applicable. <i>If unsure, FR can estimate.</i></li> </ul>	
	(1=Yes, 2=No, 99=DK)	AMT (9999=DK)	CURRENCY IF NOT KSH <b>G12 codes</b>	(1=Yes, 2=No, 99=DK)	AMT (9999=DK)	CURRENCY IF NOT KSH <b>G12 codes</b>
			 Other:			Other:
A8			 Other:			 Other:
A9			 Other:			 Other:
A10						

87 / 90 FO Comments:

	1. Was <b>[NAME]</b> self-employed or running a business in the last <u>30 days</u> ? Please do not include any businesses already discussed in Section 15.2. <i>If YES, continue.</i> <i>Otherwise, skip</i> <i>to q2.</i>	<ul> <li>1a. What was [NAME]'s self-employment profits in the last 30 days?</li> <li>As in the previous section, we mean the amount received after paying for expenses for this business, including hired workers, money for household members who helped, rental of space, purchase of goods for sale or for inputs, such as raw materials, fuel, and electricity, but before purchasing personal items. <i>If unsure, FR can estimate.</i></li> </ul>		2. Was <b>[NAME]</b> employed or working for pay in the last <u>30 days</u> ? Please do not include self- employment. Also, please <u>do not</u> <u>include</u> employment in your own <u>businesses</u> that we've discussed, <u>but do include</u> employment in the <u>businesses of other</u> household <u>members</u> . <i>If YES, continue.</i> <i>Otherwise, skip to</i> <i>the next row.</i>	<ul> <li>2a. What was [NAME]'s wage employment compensation in the last 30 days?</li> <li>This includes both cash salary and the total value of all benefits and payment in kind (e.g. food, NSSF/ health insurance, housing, uniforms/ clothing, training, etc) received in the last <u>30 days</u> worked at this job.</li> <li><i>If this employment is in the business of a hh member:</i> READ: If you are working for a household member, only include direct job compensation, but not food and housing that you share in as a household.</li> <li>Ask for pre-tax compensation, where applicable. <i>If unsure, FR can estimate.</i></li> </ul>	
	(1=Yes, 2=No, 99=DK)	AMT (9999=DK)	CURRENCY IF NOT KSH <b>G12 codes</b>	(1=Yes, 2=No, 99=DK)	AMT (9999=DK)	CURRENCY IF NOT KSH <b>G12 codes</b>
			Other:			Other:
A11			 Other:			 Other:
A12			 Other:			 Other:
A13						 Other:

	<ol> <li>Was [NAME] self-employed or running a business in the last <u>30 days</u>? Please do not include any businesses already discussed in Section 15.2.</li> <li><i>If YES, continue.</i> <i>Otherwise, skip</i> <i>to q2.</i></li> </ol>	1a. What was <b>[NAME]</b> 's self-employment profits in the last 30 days? As in the previous section, we mean the amount received after paying for expenses for this business, including hired workers, money for household members who helped, rental of space, purchase of goods for sale or for inputs, such as raw materials, fuel, and electricity, but before purchasing personal items. <i>If unsure, FR can</i> <i>estimate.</i>		2. Was <b>[NAME]</b> employed or working for pay in the last <u>30 days</u> ? Please do not include self- employment. Also, please <u>do not</u> include employment in your own businesses that we've discussed, <u>but do include</u> employment in the businesses of other <u>household</u> members. If YES, continue. Otherwise, skip to the next row.	<ul> <li>2a. What was [NAME]'s wage employment compensation in the last 30 days?</li> <li>This includes both cash salary and the total value of all benefits and payment in kind (e.g. food, NSSF/ health insurance, housing, uniforms/ clothing, training, etc) received in the last <u>30 days</u> worked at this job.</li> <li>If this employment is in the business of a hh member: READ: If you are working for a household member, only include direct job compensation, but not food and housing that you share in as a household.</li> <li>Ask for pre-tax compensation, where applicable. If unsure, FR can estimate.</li> </ul>	
	(1=Yes, 2=No, 99=DK)	AMT (9999=DK)	CURRENCY IF NOT KSH <b>G12 codes</b>	(1=Yes, 2=No, 99=DK)	AMT (9999=DK)	CURRENCY IF NOT KSH <b>G12 codes</b>
			Other:			
A14			 Other:			 Other:
A15			 Other:			 Other:

# Section 18. Conclusion

# Please make a note if you believe that the information given to you is suspicious:

Do not read the questions in this box aloud. Simply record your own impressions.
0a. Did the respondent terminate the survey early? (1=Yes, 2=No)
If YES, continue. If NO, skip to question 1.
0b. Why did the respondent terminate the survey early?
1 = Temporary stop only – Wishes to continue survey at a later time. See "Temporary Stop Instructions" below.
2 = Tired
3 = Too busy, does not have time
4 = Offended at question
5 = Suspicious of FO / survey intent / IPA
6 = Does not feel like continuing survey
7 = Other (eleza)
Temporary Stop Instructions: You have indicated that the FR wishes to continue the survey
in the future. Please ask the FR when they are next available, and then call your team lead (or
other senior team member) to confirm this day and time. If you are unable to confirm this day
and time, make a tentative appointment with the FR. Then, let the FR know that you will
contact them to confirm when you will return. Record this information and the current time on
the tracking sheet now.
1. Time end interview:         (24 hr clock)
2. How was the respondent's skill in enaching and understanding Kiewshili?
2. How was the respondent's skill in speaking and understanding Kiswahili?
2 = Displayed a little difficulty speaking or understanding Kiswahili
3 = Displayed moderate difficulty speaking or understanding Kiswahili
4 = Displayed serious problems speaking or understanding Kiswahili
3. Who among the following answered questions in this module? (Indicate all that apply 1=Yes,
2=No)
A). Focus respondent
B). Focus respondent's parents
C). Focus respondent's sibling(s)
D). Focus respondent's spouse(s)
E). Focus respondent's other relatives
F). Focus respondent's other household members who are not relatives
4. Did the respondent become tired or impatient during the survey?
(1= Not at all, 2=Somewhat tired/impatient, 3=Very tired/impatient)
5. How reliable do you think the information in this survey is?
(1= Very reliable, 2=Somewhat reliable, 3= Not at all)
5a. If SOMEWHAT or NOT RELIABLE: Why?
6a. Is this interview being performed at the respondent's current residence, place of work, or school?
(1=Yes, 2=No)
If YES, continue. If NO, skip to read end statement.
6b. Please record a GPS reading now.
(i) Elevation  /m
(ii) N / S (Circle one)   o  '
(iii) E / W (Circle one) [[0]].[]'
FO NOTES:
FO NOTES:

Read: Thank you for your time.